

**United States Army  
Strategic Arms Reduction Treaty  
and  
Strategic Arms Reduction Treaty II**

**Implementation and Compliance  
Plan**

**Headquarters, Department of the Army  
Office of the Deputy Chief of Staff for Operations and Plans  
The Pentagon  
Washington DC 20310-0430**

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## **U.S. ARMY START AND START II IMPLEMENTATION AND COMPLIANCE PLAN**

### **EXECUTIVE SUMMARY**

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The United States is a signatory to two strategic arms control treaties that reduce the number of deployed nuclear weapons, eliminate certain launcher systems for nuclear weapons, and contain on-site inspection provisions. The Strategic Arms Reduction Treaty (START) is a multilateral treaty between the United States, the Russian Federation, Belarus, Kazakhstan, and Ukraine which will reduce signatories' strategic offensive arms to 1,600 delivery vehicles and 6,000 accountable warheads. Strategic offensive arms include intercontinental ballistic missiles (ICBMs), submarine-launched ballistic missiles (SLBMs), and heavy bombers dedicated to the delivery of strategic weapons. The Treaty entered into force on 5 December 1994 and will be in force for 15 years, at which time it can be extended in five-year increments. The Treaty includes provisions for on-site inspection (OSI) activity and semi-annual data exchanges.

START II is a bilateral Treaty between the United States and Russia which will further reduce strategic offensive arms to levels below that agreed to in START. The Treaty was signed on 3 January 1993 and ratified by the U.S. Congress in January 1996. START II implementation awaits U.S. Senate approval of amendment changing the deactivation deadline to 31 December 2007. START II imposes a limit of 3,500 deployed warheads for each side. The Treaty bans all multiple independently targeted re-entry vehicles (MIRVs) on ICBMs as well as all heavy ICBMs. Finally, START II limits each side to no more than 1,750 warheads deployed on SLBMs.

U.S. Army implementation and compliance responsibilities for START and START II are executed through Headquarters, Department of the Army (HQDA), Office of the Deputy Chief of Staff for Operations and Plans (ODCSOPS), Operations and Contingency Plans Division and Mobilization (DAMO-ODO-M). The Assistant Secretary of the Army (Installations and Environment) (ASA (I&E)) serves as the Army's compliance review manager. The Army START and START II Implementing Agent (IA) is Headquarters, U.S. Army Space and Missile Defense Command (HQ, SMDC). The Treaty Advisor (CDRUSASMD C ARLINGTON VA//SMDC-TA//) within HQ, SMDC executes all IA functions.

This Implementation and Compliance (I&C) Plan addresses all aspects of U.S. Army START and START II implementation and compliance. It provides background information and details on START and START II provisions, Army requirements, and organizational responsibilities for I&C and START and START II data reporting requirements.

# **U.S. ARMY START AND START II IMPLEMENTATION AND COMPLIANCE PLAN**

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## **1. THE START AND START II ARMS CONTROL TREATIES**

### **A. Purpose**

The purpose of the U.S. Army START and START II Implementation and Compliance (I&C) Plan is to assign Department of the Army responsibilities for implementing and complying with the START and START II Treaties. The plan provides the definitions, background information, and references on START and START II needed to ensure Army-wide implementation and compliance.

### **B. Scope and Applicability**

This Army START and START II I&C Plan applies to Headquarters, Department of the Army and all Major Commands (MACOMs), activities, agencies, installations, and facilities (including Government-Owned, Contractor-Operated (GOCO) facilities) on U.S. national territory,<sup>1</sup> and any other Army locations where, in the future, START items listed in the Memorandum of Understanding (MOU) to the Treaty may be located or stored. In addition, it applies to all missile research, development, testing, and production programs conducted by or for the Army. This plan replaces all previous Army START and START II I&C Plans, is effective upon receipt, and is unclassified.

### **C. Background to Nuclear Arms Control**

Since the development of the atomic bomb during World War II, there have been numerous attempts to eliminate, reduce, or constrain the spread of nuclear weapons. Early plans, such as the Baruch Plan (1946), were abandoned due to the pressure of the Cold War. The earliest successful example of nuclear arms control was the 1963 Limited Test Ban Treaty. This agreement confined the testing of nuclear weapons to underground test sites and was the first step towards the 1995 Comprehensive Test Ban Treaty (CTBT), which upon entry into force (EIF) prohibits all nuclear testing. The broadest example of multilateral nuclear arms control was the 1968 Non-Proliferation Treaty (NPT). The goal of the NPT is to prevent the spread of nuclear weapons to non-nuclear weapons states. Non-nuclear weapons states agreed to forego the development of nuclear weapons in return for assistance from nuclear weapons states (NWS) in peacefully developing nuclear power. The NWS also agreed, in Article VI of the NPT, to pursue negotiations vigorously towards

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<sup>1</sup> In the context of the START Treaty negotiating record, U.S. "National Territory" includes Guam, Puerto Rico, American Samoa, and the Virgin Islands in addition to the fifty states and the District of Columbia. In addition, in accordance with (IAW) paragraph (c) of the Eighth Agreed Statement of the START Treaty, the parties "do not rule out the possibility" that inspections or visits might be used in the resolution of compliance debates involving third countries. Although a remote possibility, this might involve a visit with right of special access (SAV) or inspection at an Army OCONUS (Outside Continental United States) facility not located on U.S. "national territory."

eliminating nuclear weapons. The NPT has more signatories than any other arms control agreement.

Several important bilateral nuclear arms control agreements between the United States and the Soviet Union entered into force during the 1970s and 1980s. The 1972 Strategic Arms Limitations Talks (SALT) Treaty limited the number of strategic offensive launchers. The 1979 Strategic Arms Limitations Talks II (SALT II) Treaty, though never ratified by the United States Government (USG), also limited the number of launchers and constrained force modernization. The 1987 Intermediate-Range Nuclear Forces (INF) Treaty eliminated intermediate range, ground-launched missiles with ranges of 500 to 5,500 km. It was the first treaty to actually eliminate an entire class of nuclear weapons. The START negotiations, centering on reducing and limiting the number of strategic nuclear weapons, began midway through President Reagan's first term in 1982.

#### **D. START Treaty**

The START talks began in June 1982. The Treaty was signed nine years later, on 31 July 1991, after 15 rounds of negotiation. Due to the break-up of the Soviet Union, negotiations on Treaty implementation began with the successor republics. Consequently, on 23 May 1992, representatives of Russia, Ukraine, Belarus, Kazakhstan and the United States agreed to the Lisbon Protocol, which codified Treaty implementation in the former Soviet Union (FSU). The U.S. Senate provided its advice and consent on 1 October 1992. Upon ratification of the Treaty by all other parties, the START Treaty entered into force on 5 December 1994. The Treaty will be in effect for fifteen years, at which time it will be reviewed for renewal. Subsequently, its application may be extended in five-year increments, if all Treaty signatories agree.

#### **E. START Treaty Major Provisions**

The START Treaty limits the total number of deployed ICBMs and their launchers, the total number of SLBMs and their launchers,<sup>2</sup> and heavy bombers allowed for all signatories. Items subject to limitations and START Treaty-mandated inspections, notifications, and data exchanges/updates are listed in the START Treaty *Memorandum of Understanding* (MOU) as Items of Inspection (IOIs). Existing types of U.S. IOIs are shown in Table One.

Non-deployed ballistic missiles, except for mobile ICBMs, are not limited in numbers, but are accountable by location. The START Treaty reductions are phased. Specific numbers of deployed delivery vehicles and warheads are allowed at the end of each phase of the reduction period: three (1997), five (1999), and seven years (2001) after START entry-into-force (EIF).

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<sup>2</sup> The first stage of ICBMs and SLBMs constrained by the START Treaty is considered the defining component of the missile.

**Table One**  
Existing Types of U.S. Items of Inspection Listed in the START Treaty MOU

ICBMs	SLBMs	Bombers
Minuteman II	Poseidon	B-1
Minuteman III	Trident I	B-2
Peacekeeper	Trident II	B-52

At the end of the seven-year reduction period, the Parties to the Treaty may deploy no more than:

- 1,600 Deployed Strategic Systems
- 6,000 Accountable Warheads
- 4,900 Warheads on Deployed ICBMs and SLBMs
- 1,540 Warheads on 154 Heavy ICBMs
- 1,100 Warheads on Mobile ICBMs
- Non-ALCM equipped heavy bombers count as one warhead under 6,000 limit

The START Treaty also restricts the research, development, testing, and procurement (RDT&P) of missiles and missile components.

## **F. Impact of the START Treaty on the U.S. Army**

Items listed in the Treaty MOU are subject to reporting, location, and movement requirements and monitoring through on-site inspections. The Army does not own any items listed in the START Treaty MOU. However, the Army is responsible for storing START IOIs under contracts with the US Air Force and Navy. As a result, the Army has I&C obligations and inspection vulnerabilities that are specifically derived from Treaty provisions. These provisions, and the associated START Treaty I&C obligations, are detailed below.

### **1. Possession of Former-Type Strategic Offensive Arms**

The USG is required to report semi-annually its holdings of former-type strategic offensive arms, such as the Minuteman I.<sup>3</sup> Specifically, the Army owns Polaris A-3 SLBMs, a former-type strategic offensive arm, for use in its Strategic Target System

<sup>3</sup> Former-type Strategic Offensive Arms are defined by START Treaty Article III, paragraph 10(c) and paragraph 34(11) of the START Treaty Definitions Annex. Reporting requirements for former-type SOAs are detailed in Agreed Statements 37(e) of the START Treaty and Sections I (17) and I (18) of the Protocol on Notifications Relating to the Treaty Between the United States of America and the Union of Soviet Socialist Republics on the Reduction and Limitation of Strategic Offensive Arms (hereafter referred to as the Notification Protocol).

(STARS) program.<sup>4</sup> Annex D (Notifications, Reports, and Documentation) of this Army START and START II I&C Plan provides additional information on START Treaty notifications and former-type updates.

## 2. Supporting START On-Site Inspection (OSI) Activity

The Army has two facilities subject to START OSI activity: the START-declared facility at the Arizona Army National Guard's Camp Navajo, Arizona, which provides storage for items listed in the START Treaty MOU, and the U.S. Army Materiel Command - Afloat (AMC-Afloat) which is located within the inspectable perimeter of a START formerly-declared facility, the Navy's Strategic Weapons Facility Atlantic Detachment (SWFLANT DET) in Goose Creek, South Carolina.<sup>5</sup> Annex E (Inspections and Visits) of this Army START and START II I&C Plan provides additional information on START OSI activity.

## 3. Supporting START Visits with Special Right of Access

All Army facilities on U.S. national territory, including GOCOs, are subject to a START Visit with Right of Special Access (SAV).<sup>6</sup> Annex E (Inspections and Visits) of this Army START and START II I&C Plan provides additional information on START SAVs.

## 4. Research, Development, Testing, Production, and Storage of START Treaty Items of Inspection

The START Treaty places limitations on RDT&P and storage of items listed in the START Treaty MOU. Should the Army obtain any of the items listed in the START Treaty MOU, the item would be subject to all applicable limitations, inspections, and notification obligations contained in the START Treaty. In addition, should the Army store (as opposed to own) any of these items for another Service, the location will be subject to inspections, as called for in Article XI of the START Treaty and as detailed in the Inspection Protocol. Additional information on START Treaty notifications and reports associated with the RDT&P and storage of START Treaty IOIs is found in

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<sup>4</sup> The two-stage Polaris A-3 SLBMs are used in the three-stage STARS program. The STARS boosters are exempted from any START Treaty restraints by START Treaty Agreed Statement 29.

<sup>5</sup> START Treaty inspections are detailed in Article XI of the Treaty; the *Protocol on Inspections and Continuous Monitoring Relating to the Treaty Between the United States of America and the Union of Soviet Socialist Republics on the Reduction and Limitation of Strategic Offensive Arms* (hereafter referred to as the Inspections and Continuous Monitoring Protocol), Sections VII, XII, and XIII; and Section III of the *Protocol on the Joint Compliance and Inspection Commission Relating to the Treaty Between the United States of America and the Union of Soviet Socialist Republics on the Reduction and Limitation of Strategic Offensive Arms* (hereafter referred to as the JCIC Protocol).

<sup>6</sup> While specific modalities of a SAV are not detailed in the START Treaty, the JCIC Protocol, Section III.3.b. states that: "visits with special right of access may be conducted in accordance with the provisions of the Inspection Protocol, as applicable."

Annex D (Notifications, Reports, and Documentation) of this Army START and START II I&C Plan.

## 5. Telemetry

Should the Army flight test a START MOU-listed ICBM or SLBM, it will be subject to the provisions of Article X of the Treaty (Telemetry) and the provisions of the *Protocol on Telemetric Information Relating to the Treaty Between the United States of America and the Union of Soviet Socialist Republics on the Reduction and Limitation of Strategic Offensive Arms* (hereafter known as the Telemetry Protocol). Additional information on START Treaty notifications and reports associated with telemetry is contained in Annex D (Notifications, Reports, and Documentation) of this Army START and START II I&C Plan.

## 6. Concealment

The Army must ensure that it meets all Treaty provisions contained in Article XI of the START Treaty related to non-interference with national technical means (NTM) of verification as applied to any ICBMs, SLBMs, and their launchers at test ranges, should the Army come to possess such items.

## G. START II Treaty

The START II Treaty is a bilateral agreement between the United States and the Russian Federation. It was signed by Presidents Bush and Yeltsin on 3 January 1993. START II mandates further reductions in strategic offensive arms for each side. In addition, the START II Treaty bans MIRVs on ICBMs and bans all heavy ICBMs (which only Russia possesses). On 14 April 2000, the Russian Duma Ratified START II and its subsequent protocols. The U.S. Senate gave its advice and consent to the Treaty on 29 January 1996, but had not approved the protocols as of May 2000.

## H. START II Treaty Major Provisions

The START II Treaty sets a lower limit on deployed warheads and obligates the Treaty Parties to reach the limits in two stages. By the end of the second stage (31 December 2007) Treaty Parties are limited to:

- 3,500 Deployed Warheads
- No More Than 1,750 Warheads Deployed on SLBMs
- No Heavy ICBMs
- No ICBMs with MIRVs

Systems to be eliminated under START II must be deactivated by 31 December 2003.

## **I. Impact of the START II Treaty on the U.S. Army**

The provisions of the START II Treaty do not add any additional Army I&C obligations or affect existing Army START I&C obligations.

## **2. START AND START II RESPONSIBILITIES**

### **A. Scope and Organization**

This section identifies and assigns I&C responsibilities and provides coordinating instructions for START and START II for the U.S. Army.

### **B. Responsibilities**

#### **1. Office of the Secretary of Defense (OSD)**

- a. Office of the Under Secretary of Defense for Policy (OUSD (P)). OUSD(P) develops and coordinates DoD policies and positions for START and START II implementation and compliance issues. OUSD(P) represents DoD at meetings with foreign governments and at all National Security Council (NSC) and interagency meetings.
- b. Office of the Under Secretary of Defense (Acquisition, Technology and Logistics) (OUSD(AT&L)). OUSD(AT&L) is responsible for:
  - (1) Overseeing DoD START implementation planning, execution, and monitoring implementation to ensure that all activities fully comply with Treaty requirements.
  - (2) Establishing implementation working groups (IWGs), as needed, to coordinate DoD implementation, including operational, administration, financial, and logistical matters related to the START Treaty.
  - (3) Establishing a START compliance review group (CRG) which monitors and coordinates guidance on the compliance of planned or ongoing DoD activities. CRG membership includes representatives from OUSD(P), OSD(GC) and JCS(J-5).
  - (4) Coordinating with the OUSD(P), DoD Comptroller, Office of the Assistant Secretary of Defense (Force Management and Planning) (OASD(FM&P)), and the Office of the Assistant Secretary of Defense (Program Analysis and Evaluation) (OASD(PA&E)), to ensure that DoD components plan, program, budget, and allocate resources (including manpower) needed for the Treaty.

- c. Office of the Assistant Secretary of Defense (Program Analysis and Evaluation) (OASD(PA&E)). OASD(PA&E), in coordination with OUSD(AT&L) and OASD(FM&P), coordinates future program resources, including manpower, for START and START II Treaty I&C.
- d. Office of the Assistant Secretary of Defense (Force Management and Planning) (ASD(FM&P)). OASD(FM&P), in coordination with the OASD(PA&E) and OUSD(AT&L), ensures START program resources, including manpower, for START and START II Treaty I&C.
- e. Office of the General Counsel (OGC). The OGC has responsibility, within OSD, for legal interpretation of the provisions of the START and START II Treaties. The OGC provides advice and assistance on START and START II I&C, as required.

## 2. Defense Threat Reduction Agency

The Defense Threat Reduction Agency (DTRA) is responsible for:

- a. Providing initial voice and General Service (GENSER) message notification to the Services for START inspections and SAVs.
- b. Coordinating and executing point-of-entry (POE) procedures, IAW USG and OSD policies and procedures.
- c. Escorting the START inspection team from the POE to the inspected facility, including provision of transportation, lodging, work space, and meals for the START inspection team and all USG personnel involved in inspection and escort duties for the duration of the inspection period. Providing administrative, training, briefing, scheduling, and communication support.
- d. Planning and executing Defense Treaty Inspection Readiness Program (DTIRP) support.

## 3. Joint Staff

Within the Joint Staff (JS) the Office of the Director for Strategic Plans and Policy (J-5) is responsible for:

- a. Coordinating Services' issues with OUSD(P) and OUSD(AT&L) and NSC-mandated interagency meetings.
- b. Evaluating Army I&C, issues, in coordination with the Army Staff (ARSTAF).



- c. Representing Services issues during meetings of the JCIC.
- d. Coordinating the semi-annual START MOU and former-type data updates.

#### 4. Office of the Secretary of the Army

- a. The Secretary of the Army has overall responsibility for Army arms control treaty implementation and compliance and for specific execution of those START Treaty responsibilities assigned by DoD to the Service Components.
- b. Ensures Army compliance and provides advice and assistance, as requested, on the impact of START Treaty provisions on their Component.
- c. Establishes detailed procedures for the implementation of, and compliance with, the START Treaty within their Component and designates a Component I&C review manager.
- d. Provides periodic reports, as required, on the START compliance status of their Component to OUSD(AT&L).
- e. Seeks OUSD(AT&L) clearance through the START CRG before taking an action that raises an issue of DoD START compliance. OSA also ensures component preparation to support at least one SAV visit per year and Service responsibility for funding in support of inspection at their facilities, except those costs incurred by DTRA.

#### 5. Department of the Army

- a. Assistant Secretary of the Army (Installations and Environment (ASA(I&E))) is the HQDA arms control treaty compliance review manager. The (ASA(I&E)):
  - (1) Provides advice and assistance to OSD on the impact of the interpretation of START and START II provisions and the implementation of, and compliance with, arms control treaties and agreements within the Army.
  - (2) Provides policy and program guidance relative to the START and START II Treaties IAW Army, DoD, and USG I&C plans and policies.
  - (3) Provides START and START II program and policy oversight, including inter-Service, USG, and international activities for HQDA.
  - (4) Guidance for implementation and compliance of contractual obligations initiated by a START SAV or a mock SAV at a Government-Owned, Contractor-Operated (GOCO) facility can be found at Annex E, Appendix

(SAV Contractual Procedures for Department of Defense Contractor Facilities) of this Army START and START II I&C Plan.

- b. Assistant Secretary of the Army (Acquisition, Logistics, and Technology (ASA(ALT))). ASA(ALT) will assist DAMO-ODO-M, ODCSOPS in identifying and collecting START data required for the semi-annual START MOU and former-type data exchanges from appropriate Army Acquisition, Logistics, and Technology programs. Army Program Executive Officers (PEOs) shall certify through DAMO-ODO-M to ASA(ALT) that all ALT activities conducted under the auspices of their programs comply with the START and START II Treaties. Certification for START will occur when requested by OUSD and within 90 days of START II EIF, and then as directed. Additional information on the semi-annual MOU and former-type data exchanges and the START compliance certification process is found in Annex D (Notifications, Reports, and Documentation) of this Army START and START II I&C Plan.
- c. Deputy Under Secretary of the Army for International Affairs (DUSA(IA)). DUSA(IA) reviews U.S. Army arms control treaty and agreement I&C plans and policies to ensure they are integrated into and coordinated with the Army International Activities Plans.
- d. Army General Counsel. The General Counsel, in coordination with the Judge Advocate General, provides legal review of START and START II implementation activities (see Annex J, Legal Responsibilities).
- e. Army Chief of Public Affairs. The Army Chief of Public Affairs develops, coordinates, and distributes public affairs guidance for Army implementation of START and START II (see Annex I, Public Affairs).
- f. Office of the Deputy Chief of Staff for Intelligence (ODCSINT). The ODCSINT has staff responsibility for START and START II-related intelligence and counterintelligence policy activities, to include support of sensitive activities, such as special access programs. The ODCSINT has ARSTAF responsibility for:
  - (1) Assessing the foreign intelligence threat resulting from implementation of the START and START II Treaties.
  - (2) On request, providing intelligence advice and assistance to the Director, Operations Readiness and Mobilization Directorate (DAMO-OD), through DAMO-ODO-M, pertaining to specific intelligence questions of the START and START II Treaties.

g. Office of the Deputy Chief of Staff for Operations and Plans (ODCSOPS). ODCSOPS has staff proponentcy for the START and START II Treaties within the U.S. Army Staff (ARSTAF). Within ODCSOPS:

- (1) DAMO-OD is the ARSTAF proponent for program management, I&C, and resource management for the START and START II Treaties. The Director of DAMO-OD is the Army certifying official for Army START and START II Treaty compliance and required data declarations. The Director also shall ensure the Army has an internal START and START II compliance process IAW Reference Eight (8) of Annex A (START and START II Treaty References) of this Army START and START II I&C Plan. This process is detailed in Annex H (U.S. Army Compliance Review Process) of this Army START and START II I&C Plan.
- (2) Within DAMO-OD, DAMO-ODO-M has ARSTAF responsibility for:
  - (a) Implementing USG, DoD, and Army START and START II I&C policies and plans, including:
    - (i) Monitoring START and START II implementation for Army MACOMs, agencies, and activities.
    - (ii) Developing, implementing, and periodically testing START notification plans.
    - (iii) Ensuring that affected Army MACOMs, agencies, and activities are notified of impending START inspections and other implementation activities.
    - (iv) Ensuring that the Joint Staff (JS) is notified of all Army START implementation issues.
    - (v) Responding to START and START II I&C issues initiated by OSD and the JS.
    - (vi) Updating the Army START and START II I&C Plan, as required, in coordination with the Strategic Plans and Policy Division (DAMO-SSD) and Headquarters, U.S. Army Space and Missile Defense Command (HQ, SMDC), the Army START and START II Implementing Agent (IA).
    - (vii) Acting as the ARSTAF point of contact for the Army START and START II IA for implementation issues.

- (viii) Assisting the Army START and START II IA with the development of criteria for use in preparing, evaluating, and reporting on the readiness of Army facilities for START activities, such as site assistance visits, readiness training exercises, and notification tests.
  - (ix) Performing all other Army START and START II implementation requirements, as necessary.
- (b) Compliance with START and START II Treaties, to include:
  - (i) Ensuring Army compliance with USG and OSD-directed START and START II policies in conjunction with DAMO-SSD.
  - (ii) Ensuring Army compliance with OSD and Joint Staff START notification system requirements.
  - (iii) Ensuring Army compliance with OSD and Joint Staff START data management plan requirements, in conjunction with the Army START and START II IA.
  - (iv) Monitoring the Army-wide data collection process for the START Treaty in conjunction with the Army START and START II IA; reviewing data submissions from the Army START and START II IA for completeness, accuracy, and continuity; obtaining Director, DAMO-OD certification; and submitting the Army START data submissions and, as required, Army compliance certification with the START and START II Treaties to the Joint Staff.
  - (v) Acting as the ARSTAF POC for the Army START and START II IA for compliance issues.
  - (vi) Executing all other Army START and START II compliance requirements.
- (c) Resource management for the START and START II Treaties, IAW guidance provided by ASA (I&E), Army, DoD, and USG I&C plans and policies. This includes:
  - (i) Identifying, justifying, acquiring, programming, and budgeting sufficient resources to ensure that the Army's I&C responsibilities can be met.

- (ii) Constructing the biannual Program Objectives Memorandum (POM) and mini-POMs in off years, in coordination with the Program Evaluation Group (PEG).
  - (iii) Refining established POM requirements and constructing the Budget Estimate Submission (BES) and the President's Budget, in coordination with the PEG, the Army Budget Office (SAFC-BUO-S) and the Army START and START II IA.
  - (iv) Overseeing the expenditure of funds and payment of bona fide costs directly related to START and START II missions, in conjunction with the Army Budget Office.
  - (v) Monitoring and analyzing START and START II-related financial program execution and exercising general arms control resource oversight.
- (d) Program management for Army I&C of the START and START II Treaties, IAW USG, DoD, and Army I&C plans and policies, including:
- (i) Ensuring continuity between policy, implementation, compliance, and resource allocation.
  - (ii) Seeking Army policy, implementation, and compliance continuity between the START and START II Treaties and all other arms control treaties and agreements.
  - (iii) Seeking cross-treaty synergies.
  - (iv) Providing ARSTAF program management oversight for the START and START II Treaties.
  - (v) Providing ARSTAF oversight of the Army START and START II IA's activities to ensure Army-wide continuity of I&C with the START and START II Treaties.
  - (vi) Participating in the internal Army START and START II Compliance Review Board, as required.
  - (vii) Monitoring all aspects of the Army's START and START II mission through inputs from other ARSTAF START POCs, MACOM staffs, and the Army START and START II IA.

Updating Army senior leadership, through DAMO-OD, as required.

- (3) Within DAMO-OD, the Chief, Security, Force Protection and Law Enforcement Division (DAMO-ODL) has staff responsibility for START and START II-related operations security (OPSEC), including:
  - (a) Preparing, coordinating, updating, and monitoring the implementation of Annex F (Operations Security).
  - (b) Monitoring and advising DAMO-OD, through DAMO-ODO-M, on the status of OPSEC aspects of the START and START II Treaties.
- (4) Within ODCSOPS, the Director, Strategy, Plans, and Policy Directorate (DAMO-SS) is the ARSTAF proponent for START and START II policy analysis and development. Within DAMO-SS, the Chief, National Security Policy Division (DAMO-SSD) has ARSTAF responsibility for START and START II policy analysis and development, negotiation support, I&C planning, ratification support, and post-ratification policy development and negotiation support. These responsibilities include:
  - (a) Monitoring and advising DAMO-OD, through DAMO-ODO-M, on the status of START and START II policy analysis and development, negotiation support, I&C planning, ratification support, and post-ratification policy development and negotiation support.
  - (b) Analyzing and developing START and START II policy, including:
    - (i) Assessing Army interests.
    - (ii) Identifying Army nuclear arms control policy precedents.
    - (iii) Determining the effects of proposed and actual negotiating positions.
    - (iv) Developing and proposing modifications or inputs to Army, JS, and OSD START and START II policies.
    - (v) Responding to START and START II Treaty policy issues initiated by OSD and the JS.
    - (vi) Distributing START and START II policies to the appropriate Army leaders and ARSTAF.

- (vii) Executing all other Army START and START II policy development requirements.
- (c) Supporting START and START II negotiations, including:
  - (i) Monitoring the conduct of START and START II negotiations and JCIC proceedings.
  - (ii) Responding to START and START II issues initiated by OSD and the JS.
  - (iii) Executing all other Army START and START II negotiation support requirements, as necessary.
  - (iv) Assisting and/or supporting DAMO-ODO-M and DAMO-TR and/or other staff sections in identifying impending START and START II obligations.
- (d) Supporting START implementation and START II ratification, including supporting Army, OSD, and interagency officials' requirements for expertise and assistance in preparing Congressional testimony and public speeches during the ratification process.
- (e) Developing START and START II post-ratification policy and supporting negotiations, including:
  - (i) Monitoring the conduct of START and START II post-ratification negotiations.
  - (ii) Continually assessing Army START and START II interests.
  - (iii) Determining the effects of proposed and actual post-ratification negotiating positions.
  - (iv) Developing and proposing modifications or inputs to Army, JS, and OSD START and START II policies and negotiating positions.
  - (v) Responding to START and START II policy and post-ratification negotiation issues initiated by OSD and the JS.

- (vi) Updating START and START II policies with appropriate Army leaders, ARSTAF, and MACOM arms control policy and implementation POCs.
    - (vii) Acting as the ARSTAF POC for the Army START and START II IA for policy issues.
  - (5) Within ODCSOPS, the Training Directorate (DAMO-TR) is the ARSTAF proponent for education and training policy issues for the START and START II Treaties. DAMO-TR also administers the Training PEG. These responsibilities include:
    - (a) Overseeing START and START II education and training plans to ensure that these plans are consistent with doctrine, policy, strategies and resources for Army training.
    - (b) Validating funding requirements of all program year resources (dollars and personnel) contained in the START and START II Management Decision Package (MDEP) to the Army Resources Board.
    - (c) Validating manpower and funding resource levels in START and START II arms control MDEPs to the Program Budget Committee (PBC), and coordinating MDEP data transaction and resource adjustments. Within DAMO-TR, the Chief, Resources and Programming Team (DAMO-TRP) will execute these tasks in coordination with the assigned MDEP manager in DAMO-ODO-M.
  - (6) Within ODCSOPS, the National Security Policy Division (DAMO-SSD) is the ARSTAF proponent for chemical, biological, and space arms control policy analysis and development. IAW Reference 11 of Annex A (START and START II Treaty References) of this Army START and START II I&C Plan, DAMO-SSD must ensure that Treaty requirements are considered during the requirement development stage and that non-compliant products are not considered. DAMO-SSD will coordinate with DAMO-ODO-M to ensure compliance of Army activities with provisions of the START and START II Treaties as detailed in Annex D of this Army START and START II I&C Plan, as required (see Annex D, Notifications, Reports and Documentation, Paragraph 8, START Compliance Certification, and paragraph 9, DoD Required START and START II Reports).
- h. The Office of the Judge Advocate General (OTJAG). The OTJAG is the ARSTAF proponent for the legal review of all Army activities for START and



START II I&C. Within the OTJAG, the International and Operational Law Division (DAJA-IO) has ARSTAF responsibility for providing legal advice for START and START II IAW policy guidance provided by USG, DoD, Army, and ASA(I&E) I&C policies and plans, including:

- (1) In coordination with the Army General Counsel, conducting a legal review of all Army START and START II negotiating positions, I&C plans, notification plans, and resource management plans.
  - (2) Assisting ARSTAF arms control action officers in identifying and defining START and START II issues.
  - (3) Providing draft legal opinions on START and START II policy, implementation, compliance, and fiscal issues.
  - (4) Forwarding the draft opinion through the Army General Counsel to the DoD General Counsel as necessary.
  - (5) Monitoring and advising DAMO-OD, through DAMO-ODO-M, on the legal status of Army START and START II I&C.
  - (6) Participating in the internal Army START and START II Compliance Review Board, as required.
  - (7) Preparing, coordinating, updating, and monitoring the implementation of Annex J (Legal Responsibilities) of this Army START and START II I&C Plan.
- i. Army Budget Office (SAFC-BUO-S). The Director, Army Budget Office, is responsible for ensuring that Treaty costs are considered in Army budget development.
- j. Department of the Army Technology Management Office (DACS-DMP). DACS-DMP has ARSTAF responsibility for START and START II I&C within all Army special access programs. DACS-DMP will assist the Chief, DAMO-ODO-M and the Army START and START II IA in identifying and collecting START data required for the semi-annual START MOU data exchange from appropriate Army special access programs. Additional information on the semi-annual MOU and former-type data exchanges and the START compliance certification process is found in Annex D (Notifications, Reports, and Documentation) of this Army START and START II I&C Plan.
- k. Office of the Chief, Army Reserve (OCAR). OCAR has staff responsibility for execution for this Army START and START II I&C Plan within the Army Reserve. These responsibilities include:

- (1) Acting as the executive agent for policies and resourcing pertaining to the U.S. Army Reserve's implementation of, and compliance with, the START and START II Treaties.
  - (2) Ensuring that U.S. Army Reserve Command (USARC) units, installations, and activities are prepared to implement and comply with the START and START II Treaties, IAW this Army START and START II I&C Plan.
- I. Director, Army National Guard (ARNG). Director, ARNG has staff responsibility for the implementation of this Army START and START II I&C Plan within the Army National Guard (ARNG). These responsibilities include:
- (1) Notifying ARNG units, installations, activities, and tenants of START and START II Treaty requirements.
  - (2) Ensuring that ARNG units, installations, and activities are prepared to implement and comply with the START and START II Treaties, IAW this Army START and START II I&C Plan.
- m. Army START and START II Implementing Agent (IA). IAW Reference 34, Annex A (START and START II Treaty References) of this Army START and START II I&C Plan, Headquarters, Space and Missile Defense Command (HQ, SMDC) is designated as the Army IA for START and START II Treaty implementation. HQ, SMDC is responsible for the implementation of all internal Army programs necessary for full implementation of START and START II. As the Army START and START II IA, HQ, SMDC will accomplish all actions necessary to ensure Army-wide implementation of START and START II, with the exception of missions, roles, and agreements specifically reserved in Section 2 (START and START II Responsibilities), paragraph B, of this Army START and START II I&C Plan. HQ, SMDC has authority to act on behalf of the Department of the Army in the accomplishment of its IA duties with all DA MACOMs, agencies, and activities with regard to START and START II-affected installations, equipment, or activities. Implementation includes all actions taken by the Army to prepare for and execute all START and START II obligations. Such actions include:
- (1) Assisting the ARSTAF by developing and maintaining the Army START and START II I&C Plan (responsible ARSTAF office is DAMO-ODO-M), SAV or inspection operations plans (responsible ARSTAF office is DAMO-ODO-M), education and training plans (responsible ARSTAF office is DAMO-TR), and other plans required to implement START and START II.
  - (2) Assisting MACOMs, activities, and agencies in preparing all Army START and START II facilities for on-site inspections or visits based on

criteria developed by the ARSTAF (responsible ARSTAF office is DAMO-ODO-M, with subject matter expertise provided by DAMO-SSD); conducting periodic evaluations of the readiness of those facilities; assisting in the readiness evaluation of START and START II activities assigned to other MACOMs; and reporting the status of implementation readiness to the ARSTAF, and, through the ARSTAF, to the ASA (I&E).

- (3) Developing START and START II-required data bases and data notification systems and preparing START and START II-required data exchanges, notifications, and reports in conjunction with the ARSTAF (responsible ARSTAF office is DAMO-ODO-M) (see Annex D, Notifications, Reports and Documentation).
- (4) Developing and testing notification procedures and reporting formats in conjunction with the ARSTAF (responsible ARSTAF office is DAMO-ODO-M).
- (5) Providing implementation expertise to the ARSTAF (responsible ARSTAF office is DAMO-SSD) and ASA (I&E) during policy development, negotiations, and participation in the OSD-directed START Implementation Working Group (SIWG) process.
- (6) Exercising total Planning, Programming, Budgeting, and Execution System (PPBES) responsibility for all funds provided to or required by the Army for the performance of approved START and START II implementation activities in coordination with the ARSTAF (responsible ARSTAF office is DAMO-ODO-M).
- (7) Developing and managing an accounting and tracking system for START MOU-listed equipment and former-type missiles to ensure the accuracy of compliance reporting.
- (8) Assisting DAMO-ODO-M with the Army START and START II compliance certification process.
- (9) Providing all Army MACOMs, agencies, and activities with a standardized START SAV Operations Plan.
- (10) Participating in the internal Army START and START II Compliance Review Board, as required.
- (11) Other actions or activities to implement START and START II provisions within the Army, as required.

n. Major Army Commands (MACOMs), Agencies, and Activities. MACOMs, agencies, and activities will:

- (1) Comply with the provisions of the START and START II Treaties and USG, OSD, and DA I&C policies and procedures.
- (2) Ensure subordinate units, installations, and activities are prepared to implement and comply with the START and START II Treaties.
- (3) Notify subordinate units, installations, activities, and tenants, including Army Reserve units in the MACOM area of responsibility (AOR), as appropriate, of START and START II I&C activities (see Annex E: Inspections and Visits).
- (4) Support all START and START II I&C activities, including START OSI activity, as required.
- (5) Identify program and budget requirements for START and START II Treaty implementation within the command and forward to the Army START and START II IA for review and consolidation.
- (6) Track the cost of START and START II I&C, and submit annual reports, through the Army START and START II IA for review and consolidation, to DAMO-ODO-M.
- (7) Support the Army START and START II IA in compiling the semi-annual START Treaty MOU and former-type data updates, as required.
- (8) Develop procedures to ensure that all materiel requirements and RDT&P efforts related to Army missiles within their command, if any, meet DoD requirements and comply with all applicable START and START II Treaty requirements, including those related to testing/telemetry, as well as notification and reporting procedures.
- (9) Certify to DAMO-ODO-M, when directed, that all RDT&P activities conducted by their command comply with the START and START II Treaties.
- (10) Ensure that all START-declared facilities in their command incorporate provisions for START SAVs into existing OPSEC and Force Protection Plans.
- (11) Establish a START and START II POC to receive and disseminate current DoD and HQDA guidance and interpretation on compliance issues.

### 3. COORDINATING INSTRUCTIONS

#### A. Implementation

1. Within HQDA, DAMO-ODO-M is the proponent for the implementation of this plan.
2. Implementing Agent: HQ, U.S. Army Space and Missile Defense Command (HQ, SMDC) has been appointed the Army START and START II Treaty Implementing Agent (see Reference 34, Annex A (START and START II Treaty References) of this Army START and START II I&C Plan).
3. Direct Coordination: HQ, SMDC is authorized direct coordination with the ARSTAF, Army MACOMs, activities, and agencies, and non-Army agencies (e.g. the DTRA) in the execution of this plan.
4. Department of the Army MACOMs, agencies, and activities will assist HQ, SMDC in the execution of its mission as the Army START and START II IA. MACOM commanders will coordinate with the Army START and START II IA to ensure that command-specific START and START II Treaty I&C Plans do not contravene Army START and START II I&C Plans.
5. The Arizona Army National Guard provides storage for items listed in the START Treaty MOU at Camp Navajo, Arizona. This includes the US Navy's transfer of the Trident I and Poseidon missiles to Camp Navajo. The Air Force owns the remaining items and is responsible for all related START and START II Treaty I&C requirements. The Chief, Arizona Army National Guard shall coordinate directly with the Air Force and DTRA, in accordance with inter-service agreements, to develop those plans and agreements needed to ensure that all START and START II I&C requirements associated with inspections at Camp Navajo are met. Copies of all plans and agreements, and revisions, will be provided to DAMO-ODO-M and the Army START and START II IA (Attention: CDRUSASMDC ARLINGTON VA//SMDC-TA//) for review prior to finalization and signature.
6. Army Materiel Command - Afloat (AMC-Afloat) is a tenant unit at a Navy START-declared facility, SWFLANT DET, located in Goose Creek, South Carolina. The Navy is responsible for all START and START II Treaty I&C requirements related to that facility. HQ, AMC shall coordinate directly with the Navy and DTRA to develop those plans and agreements needed to ensure that all START and START II I&C requirements associated with AMC-Afloat are met. Copies of all plans and agreements will be provided to DAMO-ODO-M and the Army START and START II IA, HQ, SMDC (Attention: CDRUSASMDC ARLINGTON VA//SMDC-TA//) for review prior to finalization and signature.
7. The ASA(I&E), in coordination with DAMO-ODO-M, DAMO-SS, and DAJA-IO, will coordinate with the Office of the Director of Defense Procurement and OSD

General Counsel for the establishment of contractor mechanisms with DoD-contractor facilities that will support U.S. SAV Site Assessment Team (SAT) and Preparation Team (SPT) visits (see Annex E, Inspections and Visits) and visits by Treaty Signatories to any facility under Army control. These procedures have been published and will be revised as necessary as an update to the Army START and START II I&C Plan, Annex E (Inspections and Visits).

8. Proponents or agencies responsible for Army regulations and directives governing RDT&P activities related to Army missiles will incorporate applicable restrictions, as stated in the START and START II Treaty, upon the next revision, as required. Text incorporating the applicable restrictions will be provided to DAMO-ODO-M and the Army START and START II IA (Attention: CDRUSASMDC ARLINGTON VA//SMDC-TA//) for review and approval prior to finalization and publication of revised Army regulations and directives.
9. Commanders and agency heads will review all existing and future planning documents, budget requests, and contracts for RDT&P programs to ensure compliance with START and START II Treaties. Research, development, testing, and evaluation (RDT&E) efforts shall not be directed at the acquisition or use of any type of ICBM or SLBM (missiles or launchers), support equipment, or missile stages. Contractual guidance and interpretation concerning Treaty issues rests with HQDA.
10. For in-house Army missile and missile component RDT&P programs, commanders, and agency heads shall establish written procedures and a formal review system to ensure START and START II Treaty compliance. The written procedures and the review process shall be submitted to DAMO-ODO-M, and the Army START and START II IA (Attention: CDRUSASMDC ARLINGTON VA//SMDC-TA//), and other parties as necessary for review and approval. The results of any subsequent review actions will be submitted to DAMO-ODO-M for assessment and approval prior to local implementation.

## **B. Compliance**

1. Notifications: Notifications, as outlined in the START Treaty's *Notification Protocol*, are carried out by the Nuclear Risk Reduction Centers (NRRC) of the respective Parties to the Treaty. Once received, the U.S. NRRC notifies DTRA's Operation Center. DTRA provides the notification to the Army Operation Center (AOC) and other Services and agencies, as required.
2. During the conduct of START OSI activity, DTRA escorts provide the DTRA Operation Center *voice formats*, as specified in Annex D (Notifications, Reports, and Documentation), which detail the inspection and escort teams' location, activities, and inspection team notifications, as they occur. The DTRA Operations Center then provides a voice message format retransmission of the escort team's

message. Upon receipt of a notification and/or retransmission of a voice message format from the DTRA Operation Center, the AOC will notify DAMO-ODO-M of the message content and execute a retransmission to the affected MACOMs and facilities.

3. Former-Type Data Updates: Under START and START II, Army MACOMs, agencies, and activities support semi-annual data declarations coordinated by the Army START and START II IA and DAMO-ODO-M.
4. Compliance Review Process: Consistent with the provisions of Reference Eight (8), Annex A (START and START II Treaty References) of this Army START and START II I&C Plan, questions or issues on compliance with the provisions of the START and START II Treaties are identified through HQDA to OUSD(AT&L) and resolved by the START CRG. The START CRG meets when a compliance issue is raised. START CRG membership includes representatives from the OSD General Counsel, OUSD (P), OUSD(AT&L), the Joint Staff, and Service and agency representatives, as required. ASA (I&E), DAMO-SSD, DAMO-ODO-M, and the Army START and START II IA may participate in the START CRG process, as required.
5. MACOM Commanders shall certify to DAMO-ODO-M, when directed, that all RDT&P activities for missiles and missile components applicable to their organizations comply with the Treaty. Applicable PEOs shall certify through ASA(ALT)) to DAMO-ODO-M. Initial certification is required NLT 90 days after START II Treaty EIF.
6. Each milestone review or other decision review for missiles and missile components will specifically address compliance with provisions of both the START and START II Treaties. Decision documents from such reviews will include a formal statement by the decision authority reflecting Treaty compliance of the item under consideration.
7. Army agencies responsible for developing missile requirement studies must consider all provisions of the START and START II Treaties and ensure that system requirements comply with all provisions of the START and START II Treaties.
8. DAMO-ODO-M will coordinate reports required by the START and START II Treaties. Specifically, DAMO-ODO-M will initiate a message to the MACOMs requesting data for the semi-annual START former-type data updates. Responses will be sent to the Army START and START II IA (Attention: CDRUSASMDC ARLINGTON VA/SMDC-TA/) directly. HQ, SMDC will consolidate and forward the reports/data to DAMO-ODO-M. The data is entered by DAMO-ODO-M into the START Central Data System (SCDS) for consolidation by the JS and eventual transmission in the agreed format by the NRRC to other Treaty Signatories. The former-type data update procedures are detailed in paragraph seven (7) of Annex D (Notifications and Reports) of this Army START and START II I&C Plan.

9. IAW Reference 12, Annex A (START and START II Treaty References) of this Army START and START II I&C Plan, any request for the transfer of items listed in the START Treaty MOU (e.g. ICBMs, SLBMs, heavy bombers, launchers, and support equipment) and former-type missiles to or from the Army must be reviewed by OUSD(AT&L) at least 30 days prior to the proposed transfer. DAMO-ODO-M must notify OUSD(AT&L) of the transfer, if approved, within ten days after it occurs. Any MACOM, agency, or activities anticipating such a transfer must inform DAMO-ODO-M of those plans in a timely manner in order to ensure that both DoD and Treaty notification requirements are met.

### **C. Post-Ratification Policy Development**

Post-ratification discussions or negotiations occur in the JCIC whenever a Party to the Treaty identifies an implementation or compliance issue after EIF of the START (5 December 1994) and START II (TBD) Treaties. The Director, DAMO-SS is ARSTAF proponent for START and START II policy formulation and post-EIF negotiation. ARSTAF divisions, MACOMs, agencies, and activities which identify issues associated with Army I&C will direct these issues, through the chain of command and in coordination with Army START and START II IA, to DAMO-ODO-M which will forward the issues to DAMO-SS for action.

### **D. Oversight**

ASA (I&E) is responsible for program and policy guidance and oversight for START and START II I&C activity for HQDA. DAMO-ODO-M is responsible for arms control program management of all activities associated with the START and START II Treaties for the ARSTAF. The ARSTAF, MACOMs, agencies, and activities will coordinate with DAMO-ODO-M, on all START and START II or cross-treaty issues not specifically identified in Section II of this Army START and START II I&C Plan.

### **E. Resource Management**

1. HQ, SMDC, as the Army's START and START II IA will exercise PPBES responsibility for all funds provided to or required by the Army for the performance of approved implementation activities. DAMO-ODO-M, in coordination with the Army Budget Office (SAFC-BUO-S) acquires, maintains, and oversees the expenditure of funds in the Operations and Maintenance, Army (OMA) appropriation for the payment of *bona fide* costs directly related to the START and START II arms control mission. These funds are recorded in a Management Decision Package (MDEP). DAMO-ODO-M retains primary responsibility for resource management of START and START II arms control implementation, MDEP definition, presentation, and defense.



2. Annually, NLT 1 December, separate Army activities, other Army MACOMs, agencies, and activities identify, program, and budget for START and START II arms control implementation requirements within their commands for the next three (3) fiscal years. The requirements are forwarded to the Army START and START II IA for review and consolidation. Negative inputs are required. HQ, SMDC will provide the required funds to requesting MACOMs, agencies, and activities as early as possible in the fiscal year. Annually, NLT 30 October, MACOMs, agencies, and other activities will provide a letter to HQ, SMDC (Attention: CDRUSASMD ARLINGTON VA//SMDC-TA/) reporting on the expenditures of START funds for the preceding fiscal year.
3. Prior coordination must be accomplished with DAMO-ODO-M and approval obtained from the Army Budget Office (SAFC-BUO-S) before the obligation of Army arms control funds for non-arms control programs can be authorized.

#### **F. Points of Contact (POCs)**

1. POCs for the Army START and START II I&C Plan are as follows:

Army Staff:

HQDA

ODCSOPS (DAMO-ODO-M)

Washington, DC 20310-0400

(TEL: (703) 697-9854)

(DSN: 227-9854)

(FAX (U): 225-5570)

(FAX (S): (703) 614-1373)

Army START and START II IA:

SMDC Treaty Advisor

(CDRUSASMD ARLINGTON VA//SMDC-TA/)

1941 Jefferson Davis Highway

Suite 900

Arlington, VA 22202

(TEL: (703) 697-1949)

(DSN: 327-1949)

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Each MACOM, affected agency and activity shall identify a single POC to receive and disseminate current DoD and HQDA guidance and interpretation on compliance issues. Names and telephone numbers of these POCs will be communicated to DAMO-ODO-M. Changes will be reported as necessary. Negative reports (no change) are required annually (NLT 31 January).

## **U.S. ARMY START AND START II IMPLEMENTATION AND COMPLIANCE PLAN LIST OF ANNEXES**

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- A. START and START II Treaty References
- B. Acronyms and Glossary
- C. START-Declared Facilities in the United States
- D. Notifications, Reports and Documentation
  - Appendix 1: AOC Duty Officer Instructions for START
- E. Inspections and Special Right of Access Visits
  - Appendix 1: SAV Contractual Procedures for Department of Defense Contractor Facilities
- F. Operations Security
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- H. U.S. Army Compliance Review Process
- I. Public Affairs
- J. Legal Responsibilities
- K. Resource Management
  - Appendix 1: Standard Arms Control Task Definitions
  - Appendix 2: Resource Management (Object Class Definitions)
  - Appendix 3: Resource Management (Funding Estimation Schedule)
- L. Distribution

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## ANNEX A

### START AND START II TREATY REFERENCES

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1. *The Treaty Between the United States of America and the Union of Soviet Socialist Republics on the Reduction and Limitation of Strategic Offensive Arms (START)*, signed in Moscow on 31 July 1991, and related annexes, protocols, documents, agreements, statements, memorandums of understanding, and correspondence.

The START Treaty articles and protocols include:<sup>1</sup>

#### Treaty

Article I	(General Obligation to Reduce and Limit)
Article II	(Central Limits)
<b>Article III</b>	<b>(Counting Rules)</b>
Article IV	(Non-Deployed Limits and Restrictions)
Article V	(Prohibitions)
Article VI	(Mobile ICBMs)
Article VII	(Conversion or Elimination)
<b>Article VIII</b>	<b>(Memorandum of Understanding and Notifications)</b>
<b>Article IX</b>	<b>(National Technical Means (NTM) of Verification)</b>
<b>Article X</b>	<b>(Telemetry)</b>
<b>Article XI</b>	<b>(Inspections and Continuous Monitoring)</b>
Article XII	(Cooperative Measures)
Article XIII	(Exercise Dispersals and Major Strategic Exercises)
Article XIV	(Operational Dispersals)
<b>Article XV</b>	<b>(Joint Compliance and Inspection Commission (JCIC))</b>
Article XVI	(Conflicting International Obligations)
Article XVII	(Entry-Into-Force, Duration, and Withdrawal)
Article XVIII	(Amendments)
Article XIX	(Registration and Signature)

#### Annexes

##### **Agreed Statement Annex**

29th Agreed Statement states that the STARS booster shall not be considered the Polaris A-3 SLBM since that booster has a different number of stages.

37th Agreed Statement, paragraph (e) notes that data on “former-type” will not be contained in the START Treaty MOU.

##### **Definitions Annex (defines former-types)**

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<sup>1</sup> Those that are particularly relevant to the Army are in bold print. The Articles of the START Treaty are not titled.

### Protocols

Protocol on Procedures Governing Conversion or Elimination  
Protocol on Inspections and Continuous Monitoring Activities  
Protocol on Notifications  
Protocol on ICBM and SLBM Throw-Weight  
Protocol on Telemetric Information  
Protocol on the Joint Compliance and Inspection Commission (JCIC)

### Lisbon Protocol

The Lisbon Protocol requires the four successor states to the Soviet Union that held nuclear weapons – Russia, Ukraine, Belarus, and Kazakhstan – to negotiate implementing arrangements among themselves to carry out START responsibilities, and commits Ukraine, Belarus, and Kazakhstan to join the Non-Proliferation Treaty (NPT) as non-nuclear states.

### Associated Documents

There are a variety of supporting documents related to the Treaty. These include correspondence between the countries' representatives to clarify issues relating to strategic offensive arms.

### Memorandum of Understanding

The MOU consists of Ten Annexes, which provide all information to be exchanged among the Parties.

*2. The Treaty Between the United States of America and the Russian Federation on Further Reductions and Limitations of Strategic Offensive Arms (START II)*, signed in Moscow on 3 January 1993, and related protocols, memoranda, and documents. The START II Treaty includes:

### Treaty

Preamble	
Article I	(Lower Warhead Limits) <sup>2</sup>
Article II	(ICBM Launcher Elimination or Conversion)
Article III	(Warhead Downloading)
Article IV	(Heavy Bomber Warhead Attribution)
Article V	(Bilateral Implementation Commission)
Article VI	(Treaty Documents Duration, and Provisional Application)
Article VII	(Amendments)
Article VIII	(Registration)

### Protocols

Protocol on Procedures Governing Elimination of Heavy ICBMs and  
Procedures Governing Conversion of Silo Launchers of Heavy ICBMs  
Protocol on Exhibitions and Inspections of Heavy Bombers  
START II Protocol and Letters on Early Deactivation

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2 The Articles of the START II Treaty are not titled.

Memorandum of Understanding on Warhead Attribution and Heavy Bomber Data

3. United States Arms Control and Disarmament Agency (ACDA), *START Treaty Article-by-Article Analysis*.
4. ACDA, *START II Treaty Article-by-Article Analysis*.
5. Office of the Secretary of Defense (OSD) Memorandum, *Planning for Compliance With a START Treaty (U)*, February 9, 1990.
6. United States Department of Defense (DoD) Directive Number 2060.1, *Implementation of, and Compliance With, Arms Control Agreements*, July 31, 1992.
7. Office of the Under Secretary of Defense for Acquisition (OUSD(A)) Memorandum, *Planning Guidance for START Compliance (U)*, August 28, 1991.
8. OUSD(A) Memorandum, *Implementation of START Pre-entry into Force (EIF) and Post-EIF Telemetry Provisions*, October 31, 1991.
9. OUSD(A) Memorandum, *Acquisition Program Compliance with Arms Control Agreements*, October 31, 1991.
10. OUSD(A) Memorandum, *Planning Guidance for Compliance with START Regarding the Transfer of ICBMs, SLBMs, or Other START Accountable Items (SAIs)*, February 10, 1992.
11. OUSD(A) Memorandum, *Planning Guidance for Responding to a Request for a START JCIC Special Session/Visit with Special Right of Access*, February 26, 1992.
12. OUSD(A), Memorandum, *START Compliance Responsibilities*, April 17, 1992.
13. OUSD(A) Memorandum, *Special Right of Access Visits (SAV) at Non-DoD Facilities*, October 9, 1992.
14. Chief, National Security Policy Division (DAMO-SSP), Memorandum, *Implementation of, and Compliance With, Arms Control Agreements*, November 2, 1992.
15. OUSD(A) Memorandum, *Planning Guidance for the Draft Treaty on Further Reductions of Strategic Offensive Arms*, November 4, 1992.

16. OUSD(A), *Memorandum of Agreement Between OUSD(A)/S&SS(SAC&C) and DTRA Regarding Implementation of START JCIC Special Session/Visit with Special Right of Access Provisions*, June 21, 1993.
17. OUSD(A) Memorandum, *Extension of Encryption and Jamming Ban During Flight Tests of ICBMs and SLBMs*, December 7, 1993.
18. Office of the Under Secretary of Defense for Acquisition and Technology (OUSD(A&T)), Memorandum, *Additional Planning Guidance for the Treaty on the Further Reduction and Limitation of Strategic Offensive Arms (START II Treaty)*, April 5, 1995.
19. OUSD(A&T) Memorandum, *START Treaty Implementation/Compliance Guidance*, April 14, 1994.
20. OUSD(A&T) Memorandum, *Additional Planning Guidance for the Treaty on the Further Reduction and Limitation of Strategic Offensive Arms (START II Treaty)*, April 5, 1995.
21. Headquarters, United States Air Force (USAF) Materiel Command *START Compliance Plan*, May 1, 1994.
22. Memorandum of Understanding (MOU), USPFO for Arizona and Headquarters, Ballistic Missile Organization Norton AFB: Subject: *Storage of Air Force Reentry Systems Launch Program (RSLP) Missile Systems at Navajo Depot Activity (NADA)*, March 1992.
23. *Memorandum of Agreement (MOA) between HQ USAF, NGB, and OSIA [now DTRA] for Conducting START-Related Inspections.*
24. *MOA for Compliance with the Requirements of the Strategic Arms Reduction Treaty (START I) at POMFLANT.*
25. *SWFLANTDET [Charleston] START Implementation and Compliance Plan*, Volume 1, Change 5, June 22, 1995.
26. *SWFLANT DET [Charleston] START Procedures Manual*, Volume 2, Change 5, June 22, 1995.
27. Defense Nuclear Agency, *DoD Standard Operating Procedure (SOP) and Site Assessment Team (SAT) Workbook for Responding to a JCIC Special Session/Special Right of Access Visit (SAV) Request Under START*, June 9, 1993.
28. USD(A&T) Memorandum, *Revised Arms Control Treaty Planning Assumptions*, July 9, 1999.

29. OUSD(A&T) *Arms Control Program Plan: Fiscal Years 1999-2000* (Washington, DC: OUSD(A&T), August 16, 1999).
30. Department of the Army Letters, *Designation of Headquarters, U.S. Army Space and Missile Defense Command (HQ, SMDC) as Army Implementing Agent for the Strategic Arms Reduction Treaty (START) and START II Implementation* (DRAFT), July 1, 1996.
31. Office of the Deputy Chief of Staff for Operations and Plans (DAMO-OD), *Army Arms Control Treaty Reference Handbook* (McLean, Virginia: Science Applications International Corporation (SAIC), June 30, 1999).



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## **ANNEX B**

### **ACRONYMS AND GLOSSARY**

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#### **1. Purpose**

This Annex contains acronyms used in this Army START and START II I&C plan (excluding those found in Appendix 1, Annex D, Army Operations Center Duty Officer Instructions for START) and definitions of important terms including those that are used in the *Treaty Between the United States of America and the Union of Soviet Socialist Republics on the Reduction and Limitation of Strategic Offensive Arms*, and its Annexes, Protocols, and Memorandum of Understanding.

#### **2. Acronyms**

AZNG	Arizona Army National Guard
AAPWG	Army Assessment and Preparation Working Group
ABO	Army Budget Office
ADP	Automated Data Processing
AFB	Air Force Base
AGI	Intelligence Collector
AGM	Air To Ground Missile
ALCM	Air-Launched Cruise Missile
AMC	Army Materiel Command
AMC-Afloat	Army Materiel Command - Afloat (formerly Combat Equipment Group - Asia (CEG-A))
AOC	Army Operation Center
AOR	Area of Responsibility
ARNG	Army National Guard
ARSTAF	U.S. Army Staff
ASA (I&E)	Assistant Secretary of the Army (Installations and Environment)
ASA(FM&C)	Assistant Secretary of the Army (Financial Management and Comptroller)
ASA (ALT)	Assistant Secretary of the Army (Acquisition, Logistics, and Technology)
ASBM	Air-to-Surface Ballistic Missile
ASD(FM&P)	Assistant Secretary of Defense (Force Management and Planning)
ASD(PA&E)	Assistant Secretary of Defense (Program Analysis & Evaluation)
BES	Budget Estimate Submission
CI	Counterintelligence
CIS	Commonwealth of Independent States
CONUS	Continental United States
CRG	Compliance Review Group

CDRUSASMDC ARLINGTON VA//SMDC-TA// CTBT	Treaty Advisor, U.S. Army Space and Missile Defense Command  Comprehensive Test Ban Treaty
DACS DACS-DMP	Chief of Staff of the Army Department of the Army Technology Management Office
DAJA-IO	International and Operational Law Division
DAMI-CH	Counterintelligence and Human Intelligence Directorate
DAMO-FD	Force Development Directorate
DAMO-OD	Director, Operations, Readiness and Mobilization Directorate
DAMO-ODL	Chief, Security Force Protection and Law Enforcement Division
DAMO-ODO-M	Chief, Operations and Contingency Plans Division
DAMO-SS	Director, Strategy, Plans and Policy Directorate
DAMO-SSD	Chief, National Security Policy Division
DAMO-TR	Director, Training Directorate
DAMO-TRP	Chief, Resources and Planning Team
DCSINT	Deputy Chief of Staff for Intelligence
DCSLOG	Deputy Chief of Staff for Logistics
DCSOPS	Deputy Chief of Staff for Operations and Plans
DCSPER	Deputy Chief of Staff for Personnel
DoD	Department of Defense
DSN	Defense Switching Network
DTRA	Defense Threat Reduction Agency
DTIRP	Defense Treaty Inspection Readiness Program
DUSA(IA)	Deputy Undersecretary of the Army (International Affairs)
EEFI	Essential Elements of Friendly Information
EIF	Entry Into Force
FBI	Federal Bureau of Investigation
FSU	Former Soviet Union
GC	General Counsel
GOCO	Government-Owned, Contractor Operated
HQ	Headquarters
HQ, AMC	Headquarters, U.S. Army Materiel Command
HQ, SMDC	Headquarters, U.S. Army Space and Missile Defense Command
HQDA	Headquarters, Department of the Army
HUMINT	Human Intelligence
HVAC	Heating, Ventilation and Air Conditioning
I&C	Implementation and Compliance

IA	Implementing Agent
IAW	In Accordance With
ICBM	Intercontinental Ballistic Missile
IMINT	Image Intelligence
INF	Intermediate-Range Nuclear Forces Treaty
INSCOM	U.S. Army Intelligence and Security Command
IOI	Item of Inspection
IWG	Implementation Working Group
J-5	Office of the Director for Strategic Plans and Policy
JAG	Judge Advocate General
JCIC	Joint Compliance and Inspection Commission
JCS	Joint Chiefs of Staff
JS	Joint Staff
MACOM	Major Command
MDEP	Management Decision Package
MI	Military Intelligence
MIRV	Multiple Independently Targeted Re-entry Vehicles
MOA	Memorandum of Agreement
MOU	Memorandum of Understanding
MSC	Major Subordinate Command
NALCM	Nuclear Air-Launched Cruise Missile
NGB	National Guard Bureau
NLT	No Later Than
NPT	Non-Proliferation Treaty
NRRC	Nuclear Risk Reduction Center
NSC	National Security Council
NTM	National Technical Means
NWS	Nuclear Weapons States
OASA(FM&C)	Office of the Assistant Secretary of the Army (Financial Management and Comptroller)
OASA(I&E)	Office of the Assistant Secretary of the Army (Installations and Environment)
OASD(C3I)/DASD CI&SCM	Office of the Assistant Secretary of Defense (Command, Control, Communications, and Intelligence), Deputy Assistant Secretary of Defense (Counterintelligence and Security Countermeasures)
OASD (FM&P)	Office of the Assistant Secretary of Defense (Force Management & Policy)
OASD(ISP)	Office of the Assistant Secretary of Defense (International Security Policy)
OASD(PA&E)	Office of the Assistant Secretary of Defense (Program Analysis & Evaluation)

OASD(RD&A)	Office of the Assistant Secretary of Defense (Research, Development, and Acquisition)
OCAR	Office of the Chief, Army Reserve
OCONUS	Outside Continental United States
ODCSINT	Office of the Deputy Chief of Staff for Intelligence
ODCSOPS	Office of the Deputy Chief of Staff for Operations and Plans
OGC	Office of the General Counsel
OMA	Operations and Maintenance Account
OPSEC	Operations Security
OSD	Office of the Secretary of Defense
OSI	On-Site Inspection
OTJAG	Office of the Judge Advocate General
OUSD(AT&L)	Office of the Under Secretary of Defense (Acquisition, Technology and Logistics) (formerly Office of the Under Secretary of Defense (Acquisition and Technology (A&T))
OUSD(AT&L)/ ACI&C	Office of the Under Secretary of Defense for Acquisition, Technology and Logistics/Arms Control Implementation and Compliance
OUSD(P)	Office of the Under Secretary of Defense for Policy
PAO	Public Affairs Office
PBC	Program Budget Committee
PEG	Program Evaluation Group
PEO	Program Executive Officer
PIMR	Pre-Inspection Movement Restriction
POC	Point of Contact
POE	Point of Entry
POM	Program Objective Memorandum
PPBES	Planning, Programming, Budgeting, and Execution System
RD&A	Research, Development, and Acquisition
RDT&E	Research, Development, Test, and Evaluation
RDT&P	Research, Development, Testing, and Procurement
RV	Re-Entry Vehicle
RVOSI	Re-Entry Vehicle On-Site Inspection
SAFC-BUO-S	U.S. Army Budget Office
SAI	START Accountable Items
SALT I	Strategic Arms Limitations Talks Treaty I
SALT II	Strategic Arms Limitations Talks Treaty II
SAPA	Chief, Army Public Affairs
SAPA-PP	Chief of Army Public Affairs, Policy Branch
SAT	Site Assessment Team
SAV	Visit with Special Right of Access
SCDS	START Central Data System

SECDEF	Secretary of Defense
SIGINT	Signal Intelligence
SIWG	START Implementation Working Group
SJA	Staff Judge Advocate
SLBM	Submarine-Launched Ballistic Missile
SLUC	Standard Level User Charges
SOP	Standing Operating Procedure
SO	Special Operations
SPT	Site Preparation Team
SMDC	U.S. Army Space and Missile Defense Command
STARS	Strategic Target System
START	Strategic Arms Reduction Treaty
START II	Strategic Arms Reduction Treaty II
SWFLANT DET	Strategic Weapons Facility Atlantic Detachment
TDY	Temporary Duty
US	United States
USAF	United States Air Force
USARC	U.S. Army Reserve Command
USD(A&T)	Under Secretary of Defense for Acquisition and Technology
USD(P)	Under Secretary of Defense for Policy
USG	United States Government

### 3. Glossary

1. **Air-launched cruise missile (ALCM).** An air-to-surface cruise missile of a type, any one of which has been flight-tested from an aircraft or deployed on a bomber after December 31, 1986.

2. **Air-to-surface ballistic missile (ASBM).** A ballistic missile with a range in excess of 600 kilometers that is installed in an aircraft or on its external mountings for the purpose of being launched from this aircraft.

3. **Ballistic missile.** A missile that is a weapon-delivery vehicle that has a ballistic trajectory over most of its flight path.

4. **Continuous monitoring.** Carrying out procedures in accordance with the Inspection Protocol that involve inspection of containers, launch canisters, and vehicles leaving a monitored facility.

#### 5. Conversion or elimination facility:

(a) For ICBMs or SLBMs, a specified facility for the elimination of ICBMs, SLBMs, and launch canisters that remain after flight tests of ICBMs for mobile launchers

of ICBMs, or ICBMs for mobile launchers of ICBMs or first stages of such ICBMs that remain after static testing;

(b) For mobile launchers of ICBMs, a specified facility for the elimination of mobile launchers of ICBMs;

(c) For SLBM launchers, a specified facility for the conversion or elimination of SLBM launchers.

6. **Cruise missile.** A missile that is an unmanned, self-propelled weapon-delivery vehicle that sustains flight through the use of aerodynamic lift over most of its flight path.

7. **Deployed ICBM.** An ICBM that is contained, or considered to be contained, in a deployed launcher of ICBMs.

8. **Deployed launcher of ICBMs:**

(a) Any silo launcher of ICBMs other than a silo test launcher, a silo training launcher, or a silo launcher located at a space launch facility; or

(b) Any deployed mobile launcher of ICBMs.

9. **Deployed mobile launcher of ICBMs.** Any mobile launcher of ICBMs, other than a mobile test launcher or a mobile launcher of ICBMs at a space launch facility that contains, or is considered to contain, an ICBM.

10. **Deployed SLBM.** An SLBM that is contained, or is considered to be contained, in a deployed launcher of SLBMs.

11. **Each year.** During a period of 12 months commencing on the date of entry into force of the Treaty or on an anniversary of that date.

12. **Encapsulation.** For telemetric information, recording and not broadcasting such information during the flight test of a missile, and recovering it subsequently.

13. **Encryption.** For telemetric information, the reversible transformation of such information that gives it a random character to prevent unauthorized access to such information.

14. **Facility.** An ICBM base, submarine base, air base, rail garrison, maintenance facility, restricted area, parking site, silo launcher group, ICBM loading facility, SLBM loading facility, production facility, repair facility, storage facility, training facility, conversion or elimination facility, test range, heavy bomber flight test center, space launch facility, or static display site.

15. **Flight test.** For a missile, the launch and subsequent flight of a missile.
16. **Former-type.** For ICBMs or SLBMs, a type of existing ICBM or SLBM, any one of which had been deployed prior to entry into force of the Treaty, but none of which was deployed when the Treaty entered into force and none of which are currently deployed.
17. **Heavy ICBM.** An ICBM of a type, any one of which has a launch weight greater than 106,000 kilograms or a throw-weight greater than 4350 kilograms.
18. **Heavy SLBM.** An SLBM of a type, any one of which has a launch weight greater than 106,000 kilograms or a throw-weight greater than 4350 kilograms.
19. **In-country escort.** A group of individuals designated by the inspected Party to accompany and assist inspectors, monitors, and aircrew members throughout the in-country period, as provided for in the Inspection Protocol.
20. **In-country period.** The period of time from the arrival of the inspection team, monitors, or aircrew members at the point of entry until their departure from the country through the point of entry.
21. **Inspected Party.** The Party to the Treaty whose facilities and locations are subject to inspection or continuous monitoring pursuant to Article XI of the Treaty.
22. **Inspecting Party.** The Party to the Treaty that conducts inspections or continuous monitoring activities.
23. **Inspection site.** A facility or location at which inspections may be conducted in accordance with the Inspection Protocol.
24. **Inspection team.** The group of inspectors assigned by the inspecting Party to conduct a particular inspection.
25. **Inspector.** An individual specified by one of the Parties to conduct inspections and included on that Party's list of inspectors.
26. **Intercontinental ballistic missile (ICBM).** A land-based ballistic missile with a range in excess of 5,500 kilometers.
27. **Jamming.** For telemetric information broadcast from a missile, creating interference on frequencies used for broadcasting such information.
28. **Long-range ALCM.** An ALCM with a range in excess of 600 kilometers.



29. **Long-range non-nuclear ALCM.** A long-range ALCM that is not nuclear-armed.

30. **Long-range nuclear ALCM.** A long-range ALCM that is nuclear-armed.

31. **Maintenance facility.** A facility that is part of an ICBM base and at which ICBMs and ICBM launchers are maintained and their operation is supported.

32. **Monitor.** An individual specified by one of the Parties to conduct continuous monitoring activities and included on that Party's list of monitors.

33. **Monitored facility.** A facility at which continuous monitoring has commenced.

34. **Monitoring team.** The group of monitors specified by the inspecting Party to conduct continuous monitoring activities.

35. **New Type.** For ICBMs or SLBMs, a type of ICBM or SLBM, the technical characteristics of which differ from those of an ICBM or SLBM, respectively, of each type declared previously in at least one of the following respects:

(a) Number of stages;

(b) Type of propellant of any stage;

(c) Launch weight, by ten percent or more;

(d) Length of either the assembled missile without front section or length of the first stage, by ten percent or more;

(e) Diameter of the first stage, by five percent or more; or

(f) Throw-weight, by an increase of 21 percent or more, in conjunction with a change in the length of the first stage by five percent or more.

36. **Non-deployed ICBM.** An ICBM not contained, and not considered to be contained, in a deployed launcher of ICBMs.

37. **Non-deployed SLBM.** An SLBM not contained, and not considered to be contained, in a deployed launcher of SLBMs.

38. **Perimeter and portal continuous monitoring system.** The physical barriers, buildings, and equipment along the perimeter, at the portal, and at the other exits of a monitored facility, that may be established, operated, and maintained by the monitors for purposes of continuous monitoring of such a facility.

**39. Perimeter continuous monitoring area.** The space within which the inspecting party has the right to establish, operate, and maintain a perimeter and portal continuous monitoring system and to carry out continuous monitoring.

**40. Period of inspection.** The period of time from completion of the pre-inspection procedures until the commencement of post-inspection procedures.

**41. Production facility.** For ICBMs or SLBMs, a facility which assembles:

(a) ICBMs that are maintained, stored, and transported as assembled missiles in their launch canisters, including the joining of all stages and the loading of such missiles into launch canisters;

(b) ICBMs or SLBMs that are maintained, stored, and transported as assembled missiles without launch canisters, including the joining of two or more stages;

(c) First stages of ICBMs or SLBMs that are maintained, stored, and transported in stages.

**42. Prototype.** For ICBMs or SLBMs, an ICBM or SLBM of a new type, none of which has been attributed with warheads or accountable throw-weight, no more than 20 of which have been flight-tested, and no launchers of which have been deployed.

**43. Repair facility.** For ICBMs or SLBMs, a specified facility, outside an ICBM base or a submarine base, for the repair or maintenance of ICBMs or SLBMs.

**44. Retired type.** For ICBMs or SLBMs, a type of ICBM or SLBM, any one of which was deployed when the Treaty entered into force, but none of which are currently deployed due to the conversion or elimination of all launchers of ICBMs or SLBMs of the same type of ICBM or SLBM other than test launchers and launchers at space launch facilities.

**45. Space launch facility.** A specified facility from which objects are delivered into the upper atmosphere or space using ICBMs or SLBMs.

**46. Stage.** For ICBMs or SLBMs, a section of a missile that is equipped with a propulsion unit and that can provide its payload with an additional velocity of more than 1,000 meters per second.

**47. Storage facility.** For ICBMs or SLBMs, a specified facility, outside an ICBM base, a submarine base, a test range, or a space launch facility, for the storage of ICBMs or SLBMs.

48. **Submarine-launched ballistic missile (SLBM).** A ballistic missile with a range in excess of 600 kilometers of a type, any one of which has been contained in or launched from a submarine.

49. **Support equipment.** Vehicles and mobile or transportable equipment used to support the operation of an ICBM or SLBM.

50. **Telemetric information.** Information that originates on board a missile during its flight test that is broadcast or recorded for subsequent recovery.

51. **Test launcher.** An ICBM launcher or an SLBM launcher located within a test range, unless otherwise provided for in the Treaty.

52. **Test range.** A designated land area, other than an ICBM base, from which launches of ICBMs or SLBMs are conducted.

Other terms, not defined in the Treaty, relevant to the Army include:

53. **Operations Security (OPSEC).** The process of identifying critical information and subsequently analyzing friendly actions attendant to military operations and other activities to:

(a) Identify those actions that can be observed by adversary intelligence systems.

(b) Determine indicators adversary intelligence systems might obtain that could be interpreted or pieced together to derive critical information in time to be useful to adversaries.

(c) Select and execute measures that eliminate or reduce to an acceptable level the vulnerabilities of friendly actions to adversary exploitation. (In the case of START and START II, this includes inspection and protection plans.)

54. **Special Access Visit (SAV).** A visit with special right of access allowed under the Treaty JCIC Protocol to address compliance concerns.

START and START II Life-Cycle Function definitions include:

55. **Compliance.** The process which assures Army programs and activities legally and technically comply with the Treaty (e.g., ensuring the implementation and compliance plan is written and executed in accordance with Treaty procedures and does not impede the rights and obligations of Treaty signatories).

56. **Education and Training.** The means by which the Treaty will be institutionalized within the Army's training base. Incorporation of START and START II within the appropriate training curriculum as part of the Army's

leadership training, and within the appropriate level Army institutions (CAS3, CGSC, War College, etc.).

**57. Implementation.** Those actions taken by the Army as part of the USG effort to prepare for and undertake the rights and obligations under the Treaty (e.g., developing and testing notification procedures, preparing Army installations and facilities for inspections including facility protection planning).

**58. Implementation and Compliance Planning.** The translation of known or anticipated Treaty provisions into plans for implementation and compliance (Implementation and Compliance Plan), education and training (Education and Training Plan), operational security (Operational Security Plan), and reporting and notifications (Notification Plan).

**59. Negotiation Support.** The translation of Army policies into negotiating positions during the Treaty negotiations. This may include direct participation by Army representatives in the negotiations or input by the Army to the Joint Staff for inclusion in the USG negotiating position.

**60. Oversight.** The process of maintaining continuity from policy through implementation for the Treaty, continuity between the Treaty and all other treaties and agreements, and compliance oversight of all treaty management activities to ensure timeliness, completeness, and accuracy.

**61. Policy Formulation.** The process of assessing Army interests affected by the proposed START and START II Treaty language and the development of Army policies to further or protect those interests.

**62. Post-Ratification Policy Formulation and Negotiation.** The continuous process of assessing Army interests during the implementation of the Treaty, the development of policies to further or protect those interests, and the translation of those policies into negotiating positions during negotiations or discussions in the START JCIC, the treaty implementing body. This may include direct participation by Army representatives in the negotiations or input by the Army to the JS for inclusion in the USG negotiating position.

**63. Ratification Support.** Support provided by the Army during the USG Treaty ratification process, such as Army leaders providing testimony to Congress.

**64. Research, Development, and Acquisition (RD&A) Program Review.** The process of ensuring Treaty rights and obligations are considered throughout the RD&A process in areas such as sensitive missile testing.

**65. Resource Management.** The process of identifying resource requirements to implement and comply with the Treaty and ensuring the Army is capable of fulfilling these requirements.

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## **ANNEX C**

### **START-DECLARED FACILITIES IN THE UNITED STATES**

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#### **1. Purpose**

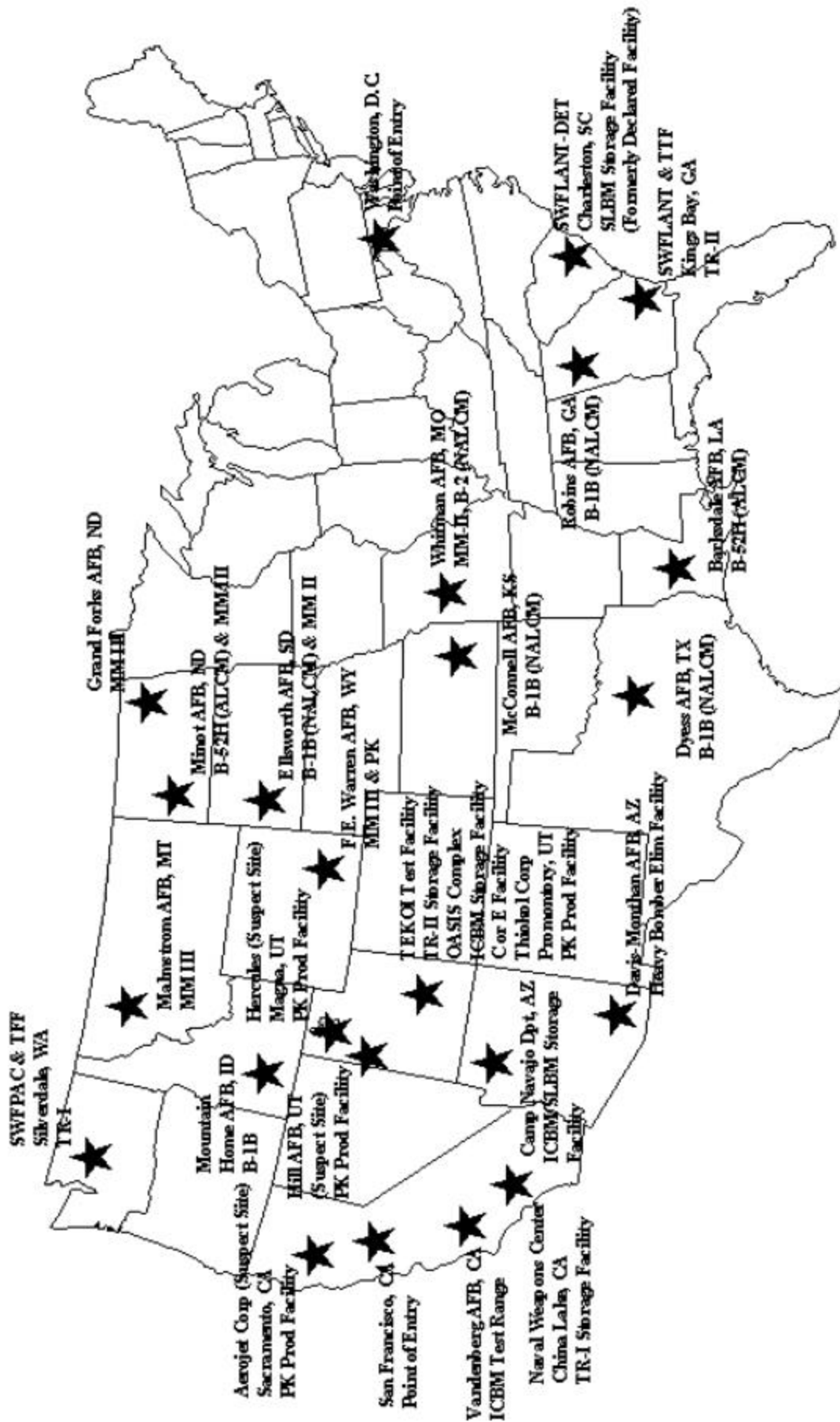
This Annex contains definitions of START-Declared Facilities, and the locations of U.S. and Army (Maps C-1 and C-2) START-Related Facilities.

#### **2. General**

U.S. START-Related Facilities, as of 1 December 1999, are detailed in Table C-1 (page C-2 of this Annex) and illustrated in Map C-1 (page C-3 of this Annex). U.S. Army Facilities Subject to Routine START Inspections are illustrated in Map C-2 (page C-4 of this Annex).

**Table C-1**  
**U.S. START-Declared Facilities**  
**(1 December 1999)**

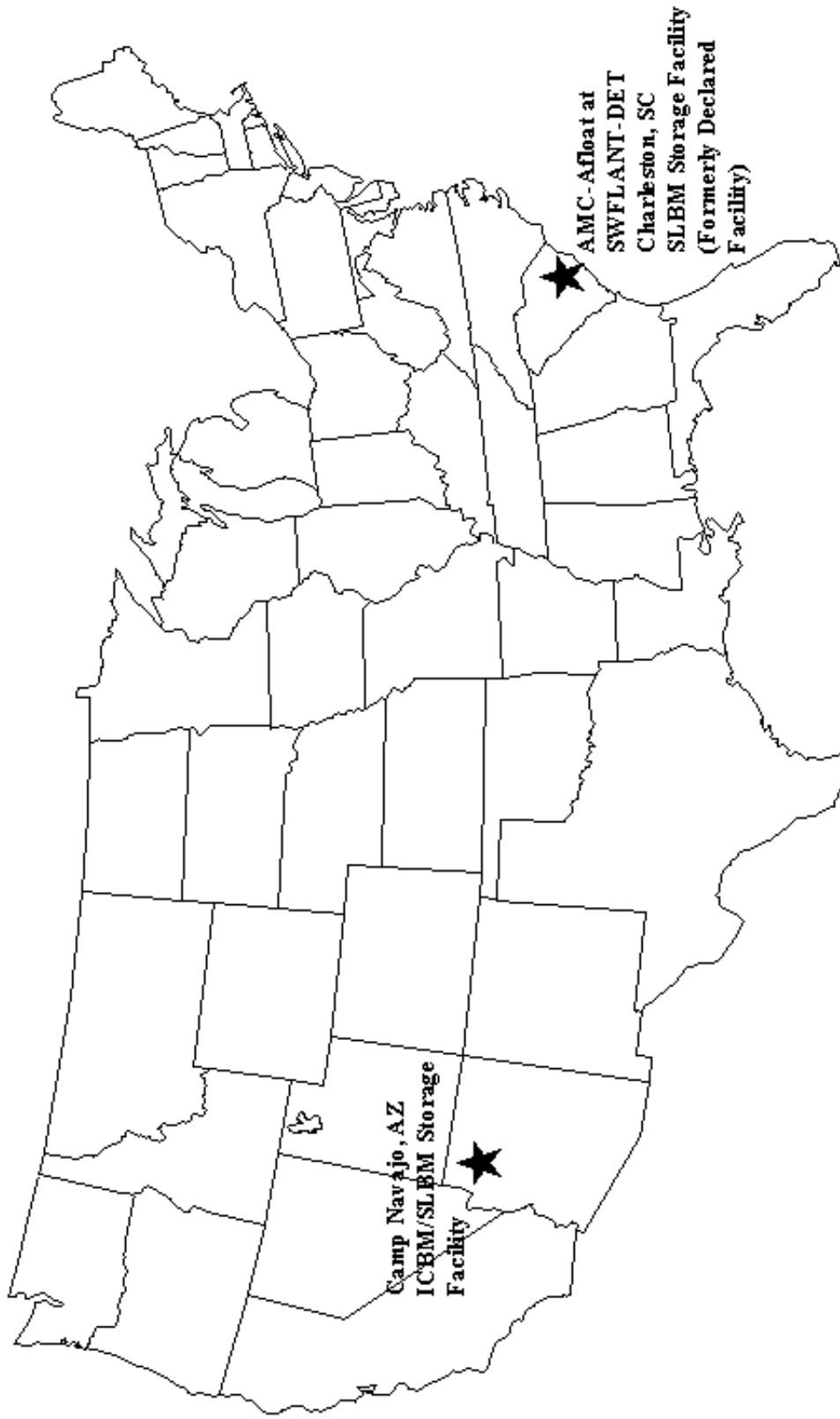
<b>FACILITY DECLARATION</b>	<b>FACILITY LOCATIONS</b>
ICBM Base for Silo Launchers of Minuteman II (MMII), Minuteman III (MMIII), and Peacekeeper (PK) ICBMs	<ul style="list-style-type: none"> <li>• Ellsworth Missile Complex, South Dakota (MMII)</li> <li>• Grand Forks Missile Complex, North Dakota (MMIII)</li> <li>• Malmstrom Missile Complex, Montana (MMIII)</li> <li>• Minot Missile Complex, North Dakota (MMIII)</li> <li>• F.E. Warren Missile Complex, Wyoming (MMIII&amp;PK)</li> </ul>
Storage Facilities for ICBMs	<ul style="list-style-type: none"> <li>• OASIS Complex, Utah</li> <li>• Camp Navajo, Arizona</li> </ul>
Production Facility for ICBMs	<ul style="list-style-type: none"> <li>• Thiokol Corporation, Strategic Operations, Peacekeeper Stage Final Assembly Facility, Promontory, Utah</li> </ul>
Repair Facility for ICBMs	<ul style="list-style-type: none"> <li>• Hill AFB, Utah</li> </ul>
ICBM and SLBM Conversion or Elimination Facility	<ul style="list-style-type: none"> <li>• OASIS Complex, Utah</li> </ul>
Test Ranges	<ul style="list-style-type: none"> <li>• Vandenberg Air Force Base, California</li> </ul>
Submarine Bases	<ul style="list-style-type: none"> <li>• Strategic Weapons Facility Atlantic, Kings Bay, Georgia</li> <li>• Strategic Weapons Facility Pacific, Silverdale, Washington</li> </ul>
Storage Facilities for SLBMs	<ul style="list-style-type: none"> <li>• Naval Air Warfare Center, China Lake, California</li> <li>• Camp Navajo Depot, Bellemont, Arizona</li> <li>• TEKOI Test Facility, Goshute Indian Reservation, Utah</li> </ul>
Training Facilities	<ul style="list-style-type: none"> <li>• Trident Training Facility, Silverdale, Washington</li> <li>• Trident Training Facility, Kings Bay, Georgia</li> </ul>
Air Base for Heavy Bombers Equipped for Long-Range Nuclear ALCMs	<ul style="list-style-type: none"> <li>• Barksdale AFB, Louisiana (B-52H)</li> <li>• Minot AFB, North Dakota (B-52H)</li> </ul>
Air Base for Heavy Bombers Equipped for Nuclear Armaments other than Long-Range Nuclear ALCMs	<ul style="list-style-type: none"> <li>• Dyess AFB, Texas (B-1B)</li> <li>• Ellsworth AFB, South Dakota (B1-B)</li> <li>• McConnell AFB, Kansas (B-1B)</li> <li>• Mountain Home AFB, Idaho (B-1B)</li> <li>• Robins AFB, Georgia (B-1B)</li> <li>• Whiteman AFB, Missouri (B-2)</li> </ul>
Conversion or Elimination Facilities for Heavy Bombers and Former Heavy Bombers	<ul style="list-style-type: none"> <li>• Davis-Monthan AFB, Arizona</li> </ul>
Formerly Declared Facilities	<ul style="list-style-type: none"> <li>• Whiteman Missile Complex, Missouri</li> <li>• Strategic Weapons Facility Atlantic Detachment, Charleston, South Carolina</li> </ul>
Suspect Sites	<ul style="list-style-type: none"> <li>• Alliant Techsystems Plant #1, Magna, Utah</li> <li>• Ogden Facility, Hill AFB, Utah</li> <li>• Aerojet Corporation, Sacramento, California</li> </ul>
Space Launch Facilities	<ul style="list-style-type: none"> <li>• Vandenberg Space Launch Complex, California</li> </ul>
Point of Entry	<ul style="list-style-type: none"> <li>• Washington, D.C.</li> <li>• San Francisco, California</li> </ul>



Map C-1  
U.S. START-Declared Facilities



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Map C-2  
**U.S. Army Facilities Subject to Routine START Inspections**

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## **ANNEX D**

### **NOTIFICATIONS, REPORTS, AND DOCUMENTATION**

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#### **1. Purpose**

This annex provides a description of START and START II related notifications and reports as required by the Treaties, DoD documents, and this Army START and START II I&C Plan. It provides guidance on those actions needed to ensure Army compliance with all notification and reporting requirements.

#### **2. Applicability**

All Army-affiliated staffs, agencies, and commands who have responsibility for START and START II I&C.

#### **3. General**

- a. Information on START-required notifications is contained in Article VIII of the START Treaty. START requires over 150 notifications, divided into ten categories. These notifications are activity/movement related, inspection related, and data/data update related. The United States or other parties to the treaty can initiate notifications. Notifications are officially transmitted and received in the U.S. by the Nuclear Risk Reduction Center located in the Department of State. The Notifications Protocol of the START Treaty provides detailed information on the specific content and timing of notifications in all ten categories. Each of the notifications has a specific, agreed, written format.
- b. START II does not alter the required notifications, but adds a new data document used to track heavy ICBM, MIRVed ICBM, and heavy bomber data. This data is not relevant to Army I&C obligations.

#### **4. START and START II Inspection Notifications**

- a. Two Army sites are currently subject to START inspections: Camp Navajo, AZ and AMC-Afloat located within the Navy SWFLANT DET at Charleston, SC. In the event of a SAV at an Army location, DTRA will be responsible for providing voice message formats as discussed in paragraph 5.b. of this Annex.
- b. Notifications associated with inspections include:
  - (1) Format 1: Notification of Intent to Conduct Inspection
  - (2) Format 7: Declaration of Initial Inspection Site and Type of Inspection
  - (3) Format 8: Departure from the POE for the Inspection Site
  - (4) Format 9: Arrival of Inspectors and DTRA Escorts at the Inspection Site
  - (5) Format 9A: Notification of Intent to Conduct a Sequential Inspection

- (6) Format 9B: Time of Sequential Site Declaration
- (7) Format 9C: Declaration of Sequential Inspection Site
- (8) Format 10: Completion of the Site Inspection and Inspection Report
- (9) Format 11: Departure from the Inspection Site
- (10) Format 19: Notification of a JCIC Special Session/Visit with Special Right of Access Request

Copies of all Army Operations Center (AOC) START voice formats are included as Appendix 1 of this Annex.

## **5. Procedures for START and START II Inspection Notifications**

- a. In the event of a START inspection at either Camp Navajo or SWFLANT DET (location of AMC-Afloat), DTRA will notify the facility's START point of contact. Simultaneously, the DTRA Operation Center will notify the AOC of the inspection team's site declaration, using voice format number 7 or 9C. The AOC then will notify DAMO-OMO-M, SMDC, AMC, and the affected facility. Such notifications are made telephonically and followed with an IMMEDIATE GENSER message in the appropriate format.
- b. In the event of a SAV at any Army facility or Army contractor facility, DTRA will contact the AOC using Format 19. The AOC will notify DAMO-ODO-M and arrange a conference call with the affected MACOM and others, as directed. DTRA will initiate subsequent voice formats to the AOC using the appropriate message format. The AOC will notify DAMO-ODO-M of message content and, as directed, retransmit the messages. Redundancy in message traffic up and down the chain of command is extremely important during SAV notifications due to the continuous, sensitive nature of a SAV inspection.

## **6. START and START II Data Notifications**

- a. START Treaty Agreed Statement 37(e) states that data on former-types of ICBMs and SLBMs are not contained in the Treaty MOU.
- b. While data on former-types is not contained in the MOU, the Notification Protocol (Section I, (17) and (18)) requires notification of data on former-types:
  - (17) notification, no later than 90 days after entry into force of the Treaty, providing data current as of the date of entry into force of the Treaty for ICBMs and SLBMs of former-types. Such notification shall include: the type, number, and location for each such ICBM and SLBM.
  - (18) notification, no later than 30 days after the expiration of each six-month period following entry into force of the Treaty, providing updated data for ICBMs and SLBMs of former-types. The first of these six-month periods shall begin the first day of the calendar month following

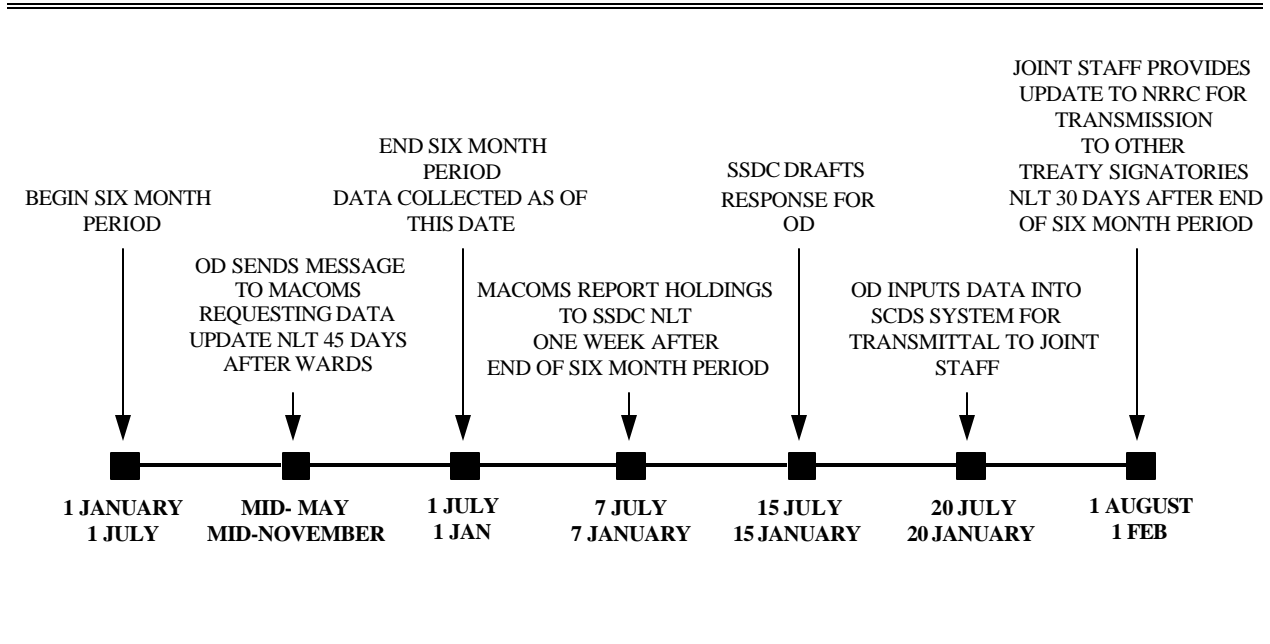
the month in which the Treaty enters into force. Such notifications shall include: the type, number, and location for each such ICBM and SLBM.

Therefore, the Army, following the procedures outlined in Section 7 of this Annex, must provide the Joint Staff the data needed to make the required former-type notifications in a timely manner.

## 7. START Former-Type Data Exchanges

Figure D-1 provides an overview of the START former-type data update timeline.

**Figure D-1**  
**Former-Type Update Timeline**



- a. NLT forty-five (45) days preceding the expiration of each six-month period following START EIF (period as defined by Section I, (18) of the Notification Protocol of the Treaty), DAMO-ODO-M will send a message to Army MACOMs, ASA(ALT)), and DACS-DMP requesting the following information:

- (1) Location (name and geographical coordinates of the facility), type, and number of any ICBMs (Minuteman II and III, and Peacekeeper), former-type ICBMs (Minuteman I), SLBMs (Poseidon C-3, Trident C-4 and Trident D-5), or former-type SLBMs (Polaris A-3) owned by the MACOM, ASA(ALT)), and DACS-DMP as of the end of the most recent six month period as defined by Section I, (18) of the Notification Protocol of the Treaty. It is anticipated that the Army does not possess any MOU listed items and only Polaris A-3 data will be received.

- (2) Changes since the last report.
- (3) Reason for change specified by individual missile.

This information will be provided in message format to HQ, SMDC (Attention: CDRUSASMDC ARLINGTON VA//SMDC-TA//) within seven days of the expiration of each six month period following START EIF as defined by Section I, (18) of the Notification Protocol of the Treaty. The Army will receive notification for Camp Navajo or AMC-Afloat from the US Air Force or US Navy, respectively.

- b. NLT fifteen (15) days following the expiration of each six-month period following START EIF HQ, SMDC, will forward to the DAMO-ODO-M a composite report of former-type data collected from the MACOMs, ASA(ALT)) and DACS-DMP.
- c. NLT twenty (20) days following the expiration of each six month period following START EIF, DAMO-ODO-M will transmit through the START Central Data System (SCDS) the former-type data update to the Joint Staff for generation of the required notification.
- d. NLT thirty (30) days following the expiration of each six-month period following START EIF, the Joint Staff will provide the required notification to the NRRC for transmission to other Treaty Signatories.

## **8. START Compliance Certification**

The Army will certify compliance with the START and START II Treaties when requested by the OUSD(AT&L). The process is outlined below.

- a. Upon receipt of a request by OUSD(AT&L) for a report on Army compliance with the START and START II Treaties, DAMO-OD, as the certifying official, will direct DAMO-ODO-M to compile the necessary information.
- b. DAMO-ODO-M will transmit a message to appropriate Army addressees requesting certification of compliance of their activities with the provisions of the START and START II Treaties.
- c. NLT ninety (90) days after receipt of the compliance certification message, appropriate Army addressees will provide the requested information to the Army START and START II IA, HQ, SMDC (Attention: CDRUSASMDC ARLINGTON VA//SMDC-TA//).
- d. NLT than ten (10) days after receipt of the compliance certification information from the appropriate Army addressees, the Army START and START II IA will forward to DAMO-ODO-M a composite report detailing Army compliance with the START and START II Treaties.

- e. NLT than five (5) days after receipt from the Army START and START II IA of the composite report on Army compliance, DAMO-OD will provide the OUSD(AT&L) a memorandum certifying Army compliance with the START Treaties.

## **9. DoD Required START and START II Reports**

- a. **Milestone Review for Missiles and Missile Components.** IAW Reference 11 of Annex A of this Army START and START II I&C Plan, the Army must ensure that current and anticipated arms control compliance requirements, obligations, and constraints are considered as an integral part of the acquisition process. As a consequence, the Army requires that each milestone review or other decision review for missiles and missile components will specifically address compliance with provisions of both START and START II.
- b. **Update of START and START II I&C Plans.** IAW Reference 12 of Annex A (START and START II Treaty References) of this Army START and START II I&C Plan, if the Army receives a START IOI from another Service, it must receive documentation on all its Treaty obligations resulting from possession of the item from the providing Service. The Army must update the Army START and START II I&C Plan to ensure it meets those obligations. The Army must provide documentation on Treaty obligations to recipients of any items it transfers.
- c. **Notification to START CRG of Planned Test Launches.** IAW Reference 10 of Annex A of this Army START and START II I&C Plan, the Army, should it obtain and flight test an ICBM or SLBM constrained by the Treaty, must, within 40 days of a test, notify the START CRG of possible compliance issues. Upon conclusion of the test, the Army has 65 days to submit to the START CRG a summary of telemetry tapes, indicating whether or not an issue exists which may raise compliance concerns.
- d. **Provision of Recommendations in Response to a SAV Request.** IAW Reference 13 of Annex A of this Army START and START II I&C Plan, if an Army location or an Army-operated DoD contractor facility is the subject of a SAV request, the Army will be responsible for providing a recommended response to the request to the DoD START CRG working group (see Annex E, Inspections and Special Right of Access Visits, of this Army START and START II I&C Plan).
- e. **Provision of Compliance Advice to OUSD(AT&L).** IAW Reference 8 of Annex A of this Army START and START II I&C Plan, the Army must be prepared to provide, as required, periodic reports advising the OUSD(AT&L) of arms control compliance status of activities under the purview of the component.



## **10. DoD Required START and START II Reporting Procedures**

- a. To ensure that current and anticipated arms control compliance requirements, obligations, and constraints are considered as an integral part of the Army acquisition process, decision documents from such reviews will include a formal statement by the decision authority reflecting Treaty compliance of the item under consideration. Copies of these documents will be provided to DAMO-ODO-M as they become available.
- b. Transfer of START IOIs to the Army requires prior DoD approval and consideration by the START CRG. Although such a transfer is unlikely, if an Army organization receives such items, it must inform DAMO-ODO-M by message NLT 90 days prior to the date such items are to be received. This message will include a description of the item/items to be received, their current and planned location, a list of all the Treaty obligations the receiving organization will incur as a result of possessing START-limited items, and plans to meet those obligations, including recommended changes to the Army START and START II I&C Plan. If approved by HQDA, DAMO-ODO-M will notify the OUSD(AT&L) at least 30 days prior to the proposed transfer. If such a transfer is approved by OSD, the receiving organization will notify DAMO-ODO-M of receipt of the items NLT 48 hours after they arrive. DAMO-ODO-M then will notify the OUSD(AT&L) of the transfer NLT ten days after it occurs.
- c. If an Army organization transfers any START-reportable former-type SLBMs to another DoD or other USG organization, it will provide DAMO-ODO-M a copy of data provided to the receiving organization as called for in Reference 12 of Annex A of this Army START and START II I&C Plan within 30 days of the transfer. Reference: USD(A) Memorandum, *Planning Guidance for Compliance with START Regarding the Transfer of ICBMs, SLBMs, or Other START Accountable Items (SAls)*, dated 10 February 1992.
- d. If an Army organization obtains and flight tests an ICBM or SLBM constrained by the Treaty, the responsible organization will, within 30 days of that test, submit a summary of telemetry tapes and indicate whether or not an issue exists which may raise compliance concerns to DAMO-ODO-M for forwarding to the START CRG.
- e. If an Army installation or an Army-operated DoD contractor facility is the subject of a SAV request, DAMO-ODO-M will, immediately upon learning of the request, coordinate with HQ, SMDC and the MACOM, activity, or agency START POC to develop a recommended response to the SAV request for the DoD START CRG.
- f. To ensure that the Army is prepared to meet a OUSD(AT&L) request for a periodic report on the status of Army START and START II Treaty compliance the Army has developed a compliance certification process as detailed in Section Eight (8) of Annex D of this Army START and START II I&C Plan.

## **11. Army START and START II I&C Plan Required Documentation and Reports**

- a. Army MACOMs, agencies, and activities that wish to obligate START funds for non-arms control program use will coordinate such a request, in writing, in advance with DAMO-ODO-M. Approval must be granted by the Army Budget Office.
- b. Proponents or agencies responsible for Army regulations and directives governing RDT&P activities related to Army missiles will provide DAMO-ODO-M text incorporating the applicable START and START II restrictions prior to finalization and publication of the revision.
- c. Commanders and agency heads, after reviewing all existing and future planning documents, budget requests, and contracts for RDT&E and procurement programs to ensure compliance with START and START II, will seek, in writing, contractual guidance and interpretation concerning Treaty issues from HQDA, as needed.
- d. For in-house RDT&P of missiles and missile components, commanders and agency heads will submit the written procedures and the review process they plan to implement to ensure START and START II compliance to DAMO-ODO-M for review. The results of any subsequent review actions also will be submitted to DAMO-ODO-M for review prior to local implementation.
- e. MACOMs will consolidate and provide to DAMO-ODO-M the MACOM, Major Subordinate Commands (MSCs), agency, and activity data on START POCs to be notified in case of a SAV. Data will include name, rank, duty, and non-duty telephone numbers and will be promptly updated as needed.

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## **ANNEX D, APPENDIX 1**

### **AOC DUTY OFFICER INSTRUCTIONS FOR START**

**NOTE:** The following section is taken directly from the AOC Duty Officer Instructions for START. **Due to the temporal nature of the information presented herein, periodic updates may be required.**

1. The Defense Threat Reduction Agency will conduct a conference call with the AOC and other addressees. Complete Voice Format at the appropriate Tab. Follow instructions at the appropriate section in paragraph 4 below. The heading for each Voice Format contained in these instructions is formatted for the AOC to use and re-transmit the message, as appropriate. Provide a copy of the completed voice message to DAMO-ODO-M as soon as possible (see POC list on pages D-16 and D-17).
2. **VOICE FORMATS 1, 7, AND 9c REQUIRE ADDITIONAL HANDLING. THE AOC IS REQUIRED TO RE-TRANSMIT THE IMMEDIATE GENSER MESSAGE TO THE ADDRESSEES LISTED AT THE APPROPRIATE TAB. (IMMEDIATE GENSER Message follow-up; see instructions at para. 4 below).**
3. AOC actions for the Strategic Arms Reduction Treaty (START).
  - a. Complete appropriate START Voice Format from the Defense Threat Reduction Agency (DTRA).
  - b. Refer to the **AOC START Voice Format Instructions, paragraph 4**, for specific instructions for each START Voice Format.
  - c. The AOC will send the completed START Voice Formats to the DAMO-ODO-M POC listed on page D-16, paragraph 1 as soon as possible.
4. **AOC START Voice Format Instructions**
  - a. START Voice Format #1: Notification of Intent to Conduct Inspection (**IMMEDIATE GENSER MSG required**).
    - (1) Follow voice notification and enter data as indicated on START Voice Format #1 (TAB 1).
    - (2) Upon completion of START Voice Format #1, notify DAMO-ODO-M, SMDC, and TMO listed on the START Voice Format #1 at Tab 1. Phone numbers are listed under the START critical POC List on pages D-16 and D-17.

(3) Conduct a conference call with AMC, National Guard Bureau, and 902d MI listed on the START Voice Format #1 at Tab 1. Phone numbers are listed under the START critical POC List at pages D-16 and D-17.

(4) DTRA will generate an IMMEDIATE GENSER message.

(5) If not in receipt of this message within one hour of the voice notification, the AOC should notify the DTRA Operations Center (COM: 703-325-2102).

(6) The AOC will transmit the IMMEDIATE GENSER message to addressees listed at Tab 21.

(7) Give the completed START Voice Format #1 to DAMO-ODO-M.

b. START Voice Format #2: DTRA Escorts Departure for Different POE.

(1) Follow voice notification and enter data as indicated on START Voice Format #2 (TAB 2).

(2) Place the completed START Voice Format #2 in the DAMO-ODO-M in-box.

c. START Voice Format #3: Flight Plan Data.

(1) Follow voice notification and enter data as indicated on START Voice Format #3 (TAB 3).

(2) Place the completed START Voice Format #3 in the DAMO-ODO-M in-box.

d. START Voice Format #4: Flight Plan Approval.

(1) Follow voice notification and enter data as indicated on START Voice Format #4 (TAB 4).

(2) Place the completed START Voice Format #4 in the DAMO-ODO-M in-box.

e. START Voice Format #5: DTRA Escorts Arrival at Different POE.

(1) Follow voice notification and enter data as indicated on START Voice Format #5 (TAB 5).

(2) Place the completed START Voice Format #5 in the DAMO-ODO-M in-box.

f. START Voice Format #6: Inspection Team's Initial Arrival at the POE.

(1) Follow voice notification and enter data as indicated on START Voice Format #6 (TAB 6).

(2) Upon completion of START Voice Format #6, notify DAMO-ODO-M, SMDC, and TMO listed on the START Voice Format #6 at Tab 6. Phone numbers are listed under the START critical POC List on pages D-16 and D-17.

(3) Conduct a conference call with AMC, National Guard Bureau, and 902d MI listed on the START Voice Format #6 at Tab 6. Phone numbers are listed under the START critical POC List on pages D-16 and D-17.

(4) Place the completed START Voice Format #6 in the DAMO-ODO-M in-box.

g. START Voice Format #7: Declaration of Inspection Site and Type of Inspection (**IMMEDIATE GENSER MSG required**).

(1) Follow voice notification and enter data as indicated on START Voice Format #7 (TAB 7).

(2) Upon completion of START Voice Format #7, notify DAMO-ODO-M, SMDC, and TMO listed on the START Voice Format #7 at Tab 7. Phone numbers are listed under the START critical POC List on pages D-16 and D-17.

(3) Conduct a conference call with AMC, National Guard Bureau, and 902d MI listed on the START Voice Format #7 at Tab 7. Phone numbers are listed under the START critical POC List on pages D-16 and D-17.

(4) DTRA will generate an IMMEDIATE GENSER message.

(5) If not in receipt of this message within one hour of the voice notification, the AOC should notify the DTRA Operations Center (COM: 703-325-2102).

(6) The AOC will transmit the IMMEDIATE GENSER message to addressees listed at Tab 22.

(7) Give the completed START Voice Format #7 to DAMO-ODO-M.

h. START Voice Format #8: Departure from the POE for the Inspection Site.

(1) Follow voice notification and enter data as indicated on START Voice Format #8 (TAB 8).

(2) If an Army Site **has not been** designated, proceed to (5) below.

(3) If an Army site (Camp Navajo or Strategic Weapons Facility, Atlantic Detachment (Strategic Mobility Logistics Base)) **has been** designated, notify DAMO-ODO-M, SMDC, and TMO listed on the START Voice Format #8 at Tab 8. Phone numbers are listed under the START critical POC List on pages D-16 and D-17.

(4) If an Army site **has been** designated, conduct a conference call with AMC, National Guard Bureau, and 902d MI listed on the START Voice Format #8 at Tab 8. Phone numbers are listed under the START critical POC List on pages D-16 and D-17.

(5) DTRA will generate an IMMEDIATE GENSER message. **Retransmittal is not required.**

(6) If not in receipt of this message within one hour of the voice notification, the AOC should notify the DTRA Operations Center (COM: 703-325-2102).

(7) Give the completed START Voice Format #8 to DAMO-ODO-M.

i. START Voice Format #9: Arrival of Inspectors and DTRA Escorts at the Inspection Site.

(1) Follow voice notification and enter data as indicated on START Voice Format #9 (TAB 9).

(2) If an Army Site **has not been** designated, proceed to (5) below.

(3) If an Army site (Camp Navajo or Strategic Weapons Facility, Atlantic Detachment (Strategic Mobility Logistics Base)) **has been** designated, notify DAMO-ODO-M, SMDC, and TMO listed on the START Voice Format #9 at Tab 9. Phone numbers are listed under the START critical POC List on pages D-16 and D-17.

(4) If an Army site **has been** designated, conduct a conference call with AMC, National Guard Bureau, and 902d MI listed on the START Voice Format #9 at Tab 9. Phone numbers are listed under the START critical POC List on pages D-16 and D-17.

(5) DTRA will generate an IMMEDIATE GENSER message. **Retransmittal is not required.**

(6) If not in receipt of this message within one hour of the voice notification, the AOC should notify the DTRA Operations Center (COM: 703-325-2102).

(7) Give the completed START Voice Format #9 to DAMO-ODO-M.

j. START Voice Format #9A: Notification of Intent to Conduct a Sequential Inspection. **(IMMEDIATE GENSER MSG required).**

(1) Follow voice notification and enter data as indicated on START Voice Format #9A (TAB 9A).

(2) Upon completion of START Voice Format #9A, notify DAMO-ODO-M, SMDC, and TMO listed on the START Voice Format #9A at Tab 9A. Phone numbers are listed under the START critical POC List on pages D-16 and D-17.

(3) Conduct a conference call with AMC, National Guard Bureau, and 902d MI listed on the START Voice Format #9A at Tab 9A. Phone numbers are listed under the START critical POC List on pages D-16 and D-17.

(4) DTRA will generate an IMMEDIATE GENSER message.

(5) If not in receipt of this message within one hour of the voice notification, the AOC should notify the DTRA Operations Center (COM: 703-325-2102).

(6) The AOC will transmit the IMMEDIATE GENSER message to addressees listed at Tab 23.

(7) Give the completed START Voice Format #9A to DAMO-ODO-M.

k. START Voice Format #9B: Time for Sequential Site Declaration.

(1) Follow voice notification and enter data as indicated on START Voice Format #9B (TAB 9B).

(2) Notify DAMO-ODO-M POC (see page 9) and place the completed START Voice Format #9B in the DAMO-ODO-M in-box.

l. START Voice Format #9C: Declaration of Sequential Inspection Site **(IMMEDIATE GENSER MSG required).**

(1) Follow voice notification and enter data as indicated on START Voice Format #9C (TAB 9C).

(2) Upon completion of START Voice Format #9C, notify DAMO-ODO-M, SMDC, and TMO listed on the START Voice Format #9C at Tab 9C. Phone numbers are listed under the START critical POC List on pages D-16 and D-17.

(3) Conduct a conference call with AMC, National Guard Bureau, and 902d MI listed on the START Voice Format #9C at Tab 9C. Phone numbers are listed under the START critical POC List on pages D-16 and D-17.

(4) DTRA will generate an IMMEDIATE GENSER message.

(5) If not in receipt of this message within one hour of the voice notification, the AOC should notify the DTRA Operations Center (COM: 703-325-2102).

(6) The AOC will transmit the IMMEDIATE GENSER message to addressees listed at Tab 24.

(7) Give the completed START Voice Format #9C to DAMO-ODO-M.

m. START Voice Format #10: Completion of the Site Inspection and Inspection Report.

(1) Follow voice notification and enter data as indicated on START Voice Format #10 (TAB 10).

(2) If an Army site **has not been** designated, place the completed START Voice Format #10 in the DAMO-ODO-M in-box.

(3) If an Army site (Camp Navajo or Strategic Weapons Facility, Atlantic Detachment (Strategic Mobility Logistics Base)) **has been** designated, notify DAMO-ODO-M, SMDC, and TMO listed on the START Voice Format #10 at Tab 10. Phone numbers are listed under the START critical POC List on pages D-16 and D-17.

(4) If an Army site **has been** designated, conduct a conference call with AMC, National Guard Bureau, and 902d MI listed on the START Voice Format #10 at Tab 10. Phone numbers are listed under the START critical POC List on pages D-16 and D-17.

(5) Give the completed START Voice Format #10 to DAMO-ODO-M.

n. START Voice Format #11: Departure from the Inspection Site.

(1) Follow voice notification and enter data as indicated on START Voice Format #11 (TAB 11).

(2) Place the completed START Voice Format #11 in the DAMO-ODO-M in-box.

o. START Voice Format #12: Inspection Team Arrival at the POE from the Inspection Site.

(1) Follow voice notification and enter data as indicated on START Voice Format #12 (TAB 12).

(2) Place the completed START Voice Format #12 in the DAMO-ODO-M in-box.

p. START Voice Format #13: Inspection Team Final Departure from POE.

(1) Follow voice notification and enter data as indicated on START Voice Format #13 (TAB 13).

(2) Place the completed START Voice Format #13 in the DAMO-ODO-M in-box.

q. START Voice Format #14: DTRA Escorts Return to Assigned POE.



**DTRA will conduct conference calls on an as-needed basis**

r. START Voice Format #15: Miscellaneous Report.

(1) Follow voice notification and enter data as indicated on START Voice Format #15 (TAB 15).

(2) Notify DAMO-ODO-M POC (see page 9) to determine the need for a conference call.

(3) If a conference call **is not** necessary, place the completed START Voice Format #15 in the DAMO-ODO-M in-box.

(4) If a conference call **is** necessary, conduct a conference call with SMDC, TMO, AMC, National Guard Bureau, and 902d MI listed on the START Voice Format #15 at Tab 15. Phone numbers are listed under the START critical POC List on pages D-16 and D-17.

(5) Place the completed START Voice Format #15 in the DAMO-ODO-M in-box.

s. START Voice Format #16: Request for Display in the Open of Rail-Mobile Launchers of ICBMs.

(1) Follow voice notification and enter data as indicated on START Voice Format #16 (TAB 16).

(2) Place the completed START Voice Format #16 in the DAMO-ODO-M in-box.

t. START Voice Format #17: Request for Display in the Open of Heavy Bombers.

(1) Follow voice notification and enter data as indicated on START Voice Format #17 (TAB 17).

(2) Place the completed START Voice Format #17 in the DAMO-ODO-M in-box.

u. START Voice Format #18: Request for Display in the Open of a Special Purpose Submarine.

(1) Follow voice notification and enter data as indicated on START Voice Format #18 (TAB 18).

(2) Place the completed START Voice Format #18 in the DAMO-ODO-M in-box.

v. START Voice Format #19: Notification of a JCIC Special Session / Visit with Special Right of Access Request.

(1) Follow voice notification and enter data as indicated on START Voice Format #19 (TAB 19).

(2) Notify DAMO-ODO-M POC (see page 9) to determine the need for a conference call.

(3) Notify SMDC and TMO. Phone numbers are listed under the START Critical POC List on pages D-16 and D-17.

(4) If a conference call **is not** necessary, place the completed START Voice Format #19 in the DAMO-ODO-M in-box.

(5) If a conference call **is** necessary, conduct a conference call with SMDC, AMC, National Guard Bureau, 902d MI, USARPAC, USAMEDCOM, FORSCOM, TRADOC, COE, USASOC, INSCOM, MDW, and MTMC. Phone numbers are listed under the START Critical POC List on pages D-16 and D-17.

(6) Place the completed START Voice Format #19 in the DAMO-ODO-M in-box.

## **STRATEGIC ARMS REDUCTION TREATY CRITICAL POC LIST**

### **1. DAMO-ODO-M**

LTC KENNETH TOPPING

WORK:	DSN: 227-9854
HOME:	COM: 703-256-9120
BEEPER:	COM: 1-800-759-8888
UNCLASSIFIED FAX:	COM: 703-614-1373

### **2. ARMY IMPLEMENTING AGENT FOR THE STRATEGIC ARMS REDUCTION TREATY (START), U.S. ARMY SPACE AND MISSILE DEFENSE COMMAND (SMDC)**

#### **A. DR. MATT NICHOLS (PRIMARY AT CRYSTAL CITY)**

WORK:	DSN: 327-1949
	COM: 703-607-1949
HOME:	COM: 703-455-6891
UNCLASSIFIED FAX:	DSN: 327-3853

#### **B. MAJOR SAAVEDRA (ALTERNATE AT CRYSTAL CITY)**

WORK:	DSN: 327-3853
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#### **C. LYNN LIGHT (SMDC IN HUNTSVILLE)**

WORK:	DSN: 645-4946
UNCLASSIFIED FAX:	DSN: 645-3958

### **3. DEFENSE THREAT REDUCTION AGENCY (DTRA) OPERATIONS CENTER:**

COM: 703-325-2102/-06  
FREE: 877-240-1187

### **4. US ARMY MATERIEL COMMAND (AMC)**

#### **A. MS. STEPHANIE SAMERGEDES**

COM: 703-617-9066

AMC HQ, ALEXANDRIA VA

#### **B. MR. NED COLBURN**

DSN: 584-3915

DIRECTOR

CENTER FOR TREATY IMPLEMENTATION

AND COMPLIANCE (CTIC)

EDGEWOOD AREA, APG, MD

### **5. NATIONAL GUARD BUREAU OPERATIONS CENTER:**

DSN: 327-9350

COM: 703 607-9350

NGB WMD TASK FORCE

COM: 703-607-9436

### **6. 902D MILITARY INTELLIGENCE GROUP**

MR. BRYON LINE

COM: 301-677-4822

MR. PETE SCHAEFFER

COM: 301-677-5160

7. TECHNOLOGY MANAGEMENT OFFICE (TMO)  
MR. JAMES TORRE (IF NOT PRESENT LEAVE  
VOICE MAIL AND SEND FAX MESSAGE) COM: 703-693-8783  
24 HOUR SECURE FAX COM: 703-697-1158  
NONSECURE FAX COM: 703-697-4009
8. USARPAC  
ODCSOPS NUC-CHEM DIV COM: 808-438-2661  
DSN: 315-438-2661
9. USAMEDCOM  
MR. MARVIN RING DSN: 471-6242  
COM: 210-221-6242
10. FORSCOM  
MR. DAYTON CALHOUN, DCSOPS POLICY COM: 404-464-6339
11. TRADOC  
MR R. DAVIS DSN: 680-2256
12. USACE  
MR LARRY ROBINSON COM: 202-761-8774
13. USASOC  
MAJ JACK SPARKS COM: 910-432-9094
14. INSCOM  
MR RICK GRADY COM: 703-706-2621
15. MDW  
MR. LAWRENCE CERRUTI DSN: 325-2867  
COM: 202-685-2867
16. MTMC  
COMMAND OPERATIONS CENTER DSN: 761-6125/6126  
COM: 703-681-6125/6126  
LTC JANICE FERGUSON COM: 703-681-6125/6126  
Beeper: 800-207-3563

17. ATEC

MR ANDRE FOURNIER

DSN: 298-1290

**ARMY STRATEGIC ARMS REDUCTION TREATY (START) SITE DATA**

1. START ARMY-DECLARED SITE DATA

**ARMY DECLARED SITES**

**PHONE**

A. CAMP NAVAJO, ARIZONA

**ORGANIZATION:**

NATIONAL GUARD BUREAU

DSN: 327- 9350

B. ARMY MATERIEL COMMAND - AFLOAT (AMC-Afloat)

CHARLESTON, SOUTH CAROLINA

**MACOM:**

ARMY MATERIEL COMMAND

DSN: 767-8406

2. START TREATY MESSAGE ADDRESSES

AMC	CDR AMC ALEX VA//AMXMI-SCM/AMCLG-OP
902d MI	CDR 902 MI GP FT MEADE MD//IAGPA-OP-T//
SMDC	CDR SMDC HUNTSVILLE AL//RUEP-ZAA//
TMO	HQDA WASH DC//DACS-DMP//
NAT. GUARD BUREAU	ARNGRC ARLINGTON VA//APO-O-OMC//

## **START Voice Message**

### **A. INDEX OF START VOICE MESSAGE FORMATS**

START Voice Format #1:	<b>Notification of Intent to Conduct Inspection</b>
START Voice Format #2:	<b>DTRA Escorts Departure for Different POE</b>
START Voice Format #3:	<b>Flight Plan Data</b>
START Voice Format #4:	<b>Flight Plan Approval</b>
START Voice Format #5:	<b>DTRA Escorts Arrival at Different POE</b>
START Voice Format #6:	<b>Inspection Team's Initial Arrival at the POE</b>
START Voice Format #7:	<b>Declaration of Inspection Site and Type of Inspection</b>
START Voice Format #8:	<b>Departure from the POE for the Inspection Site</b>
START Voice Format #9:	<b>Arrival of Inspectors and DTRA Escorts at the Inspection Site</b>
START Voice Format #9A:	<b>Notification of Intent to Conduct a Sequential Inspection</b>
START Voice Format #9B:	<b>Time for Sequential Site Declaration</b>
START Voice Format #9C:	<b>Declaration of Sequential Inspection Site</b>
START Voice Format #10:	<b>Completion of the Site Inspection and Inspection Report</b>
START Voice Format #11:	<b>Departure from the Inspection Site</b>
START Voice Format #12:	<b>Inspection Team Arrival at the POE from the Inspection Site</b>
START Voice Format #13:	<b>Inspection Team Final Departure from POE</b>
START Voice Format #14:	<b>DTRA Escorts Return to Assigned POE</b>
START Voice Format #15:	<b>Miscellaneous Report</b>
START Voice Format #16:	<b>Request for Display in the Open of Rail-Mobile Launchers of ICBMs</b>
START Voice Format #17:	<b>Request for Display in the Open of Heavy Bombers</b>
START Voice Format #18:	<b>Request for Display in the Open of a Special Purpose Submarine</b>
START Voice Format #19:	<b>Notification of a JCIC Special Session / Visit With Special Right of Access Request</b>

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## B. START VOICE FORMATS

(CLASSIFICATION) UNCLASSIFIED	REVISION: 1 May 2000	Pg. 1 of 1 pg.
<b>START VOICE FORMAT 1: NOTIFICATION OF INTENT TO CONDUCT INSPECTION</b>		
<p>AOC INSTRUCTIONS: WHEN NOTIFIED BY THE DTRA OPERATIONS CENTER OF AN INITIAL NOTIFICATION OF INTENT TO CONDUCT AN INSPECTION, COPY THE FOLLOWING INFORMATION.</p> <p>"THIS IS THE DEFENSE THREAT REDUCTION AGENCY, ON-SITE INSPECTION ACTIVITIES, WITH A START TREATY VOICE FORMAT #1: NOTIFICATION OF INTENT TO CONDUCT INSPECTION."</p> <p>(PAUSE)                      (REPEAT ONCE)</p> <p>LINE 1:                      INSPECTION ID: _____  <div style="text-align: right; margin-right: 100px;">(DATE/TIME GROUP)</div></p> <p>LINE 2:                      POINT OF ENTRY (POE): _____</p> <p>LINE 3:                      ETA: _____ Z</p> <p>LINE 4:                      DTG WHEN INSPECTION SITE/TYPE OF INSPECTION WILL BE DECLARED OR N/A: _____  <div style="text-align: right; margin-right: 100px;">_____</div></p> <p>LINE 5:                      TYPE OF INSPECTION: (CIRCLE (A) OR ANNOTATE TYPE &amp; SITE FOR (B))</p> <p style="margin-left: 40px;">A                      <b>SHORT NOTICE</b> INSPECTION PURSUANT TO PARAGRAPH 2,3,4,5,6,7 OR 10 OF ARTICLE XI</p> <p style="margin-left: 40px;">B                      SCHEDULED: (CONVERSION OR ELIMINATION) (CLOSEOUT) (TECHNICAL EXHIBITION)  (DISTINGUISHABILITY EXHIBITION) (BASELINE EXHIBITION) INSPECTION AT: _____</p> <p>LINE 6:                      REMARKS: _____  <div style="text-align: right; margin-right: 100px;">_____</div></p> <p>LINE 7:                      TIME OF REPORT: _____ Z  <div style="text-align: right; margin-right: 100px;">(DATE/TIME GROUP)</div></p> <p>-----</p> <p>STAND BY FOR POLL, RESPOND WITH YOUR INITIALS, MY INITIALS ARE: _____</p> <p>ARMY _____ NAVY _____ AIR FORCE _____ ACC _____ TRANSCOM _____ FBI _____  PEOC _____ DOE _____ NMCC _____</p> <p>DTRA HEARS ALL CONFEREES, DTRA OUT. (OR) STANDBY FOR RETRANSMISSION, ALL OTHERS ARE RELEASED.</p> <p><b>NOTE: THIS NOTIFICATION REQUIRES AN IMMEDIATE GENSER FOLLOW-UP MESSAGE AND ADDITIONAL HANDLING. SEE PAGE 4, PARAGRAPH 4a, "START VOICE FORMAT #1." (SEE POC LIST ON PAGE 9).</b></p> <p><b>NOTIFY DAMO-ODO-M POC _____, SMDC _____, AND TMO _____</b></p> <p><b>CONDUCT A CONFERENCE CALL:</b>  "THIS IS THE ARMY OPERATIONS CENTER WITH A START VOICE FORMAT #1: NOTIFICATION OF INTENT TO CONDUCT AN INSPECTION."  "STANDBY FOR POLL, RESPOND WITH YOUR INITIALS, MY INITIALS ARE _____." (SEE POC LIST ON PAGE 9)</p> <p>AMC _____ NATIONAL GUARD BUREAU _____ 902D MI _____</p> <p>"AOC HEARS ALL CONFEREES, THIS CONCLUDES THE CONFERENCE."                      <b>OR</b>                      "_____ STANDBY FOR RETRANSMISSION, ALL OTHER CONFEREES ARE RELEASED."</p> <p><b>NOTE: GIVE THE COMPLETED START VOICE FORMAT #1 TO DAMO-ODO-M.</b></p>		
<b>REFERENCES: START TREATY, ARTICLE XI, PARAGRAPH 2-13</b>		(CLASSIFICATION) UNCLASSIFIED



(CLASSIFICATION) UNCLASSIFIED	REVISION: 1 MAY 2000	pg. 1 of 1 pg.
START VOICE FORMAT 2: DTRA ESCORTS DEPARTURE FOR DIFFERENT POE		
AOC INSTRUCTIONS: WHEN NOTIFIED BY THE DTRA OPERATIONS CENTER THAT DTRA ESCORTS HAVE DEPARTED FOR THE POE, COPY THE FOLLOWING INFORMATION. A CONFERENCE CALL IS NOT REQUIRED.		
"THIS IS THE DEFENSE THREAT REDUCTION AGENCY, ON-SITE INSPECTION ACTIVITIES, WITH A START TREATY VOICE FORMAT #2: DTRA ESCORTS DEPARTURE FOR DIFFERENT POE."		
(PAUSE)	(REPEAT ONCE)	
LINE 1:	INSPECTION ID: _____	
LINE 2:	AT _____ Z_____, DTRA TEAM CHIEF_____	
	(DATE/TIME GROUP)	
	WITH _____ ESCORT MEMBERS, DEPARTED FROM (POE) _____	
	(NUMBER)	
	_____ FOR POE: _____	
LINE 3:	REMARKS: _____	
	_____	
	_____	
LINE 4:	TIME OF REPORT: _____ Z_____	
	(DATE/TIME GROUP)	
-----		
	ACKNOWLEDGMENT: SENDER'S INITIALS _____	
	RECEIVER'S INITIALS _____	
NOTE: PLACE THE COMPLETED START VOICE FORMAT #2 IN THE DAMO-ODO-M IN-BOX.		
REFERENCES: NONE		(CLASSIFICATION) UNCLASSIFIED

(CLASSIFICATION) UNCLASSIFIED	REVISION: 1 MAY 2000	pg. 1 of 1 pg.
<b>START VOICE FORMAT 3: FLIGHT PLAN DATA</b>		
<p>AOC INSTRUCTIONS: WHEN NOTIFIED BY THE DTRA OPERATIONS CENTER OF THE FLIGHT PLAN DATA, COPY THE FOLLOWING INFORMATION. <b>A CONFERENCE CALL IS NOT REQUIRED.</b></p> <p>"THIS IS THE DEFENSE THREAT REDUCTION AGENCY, ON-SITE INSPECTION ACTIVITIES, WITH A START TREATY VOICE FORMAT #3: FLIGHT PLAN DATA."</p> <p>(PAUSE) (REPEAT ONCE)</p> <p>LINE 1: INSPECTION ID: _____</p> <p>LINE 2: NARRATIVE:</p> <p style="margin-left: 40px;">(A) TYPE OF AIRCRAFT: _____</p> <p style="margin-left: 40px;">(B) CALL SIGN: _____</p> <p style="margin-left: 40px;">(C) LAST AIRFIELD PRIOR TO ENTERING THE AIRSPACE OF THE INSPECTED COUNTRY IS AIRFIELD: _____</p> <p style="margin-left: 120px;">(AIRFIELD)</p> <p style="margin-left: 40px;">(D) SCHEDULED DEPARTURE TIME FROM THAT AIRFIELD IS: _____ Z</p> <p style="margin-left: 120px;">(DATE/TIME GROUP)</p> <p style="margin-left: 40px;">(E) POINT OF ENTRY IN THE INSPECTED COUNTRY: _____</p> <p style="margin-left: 40px;">(F) FLIGHT ROUTE IS : _____</p> <p style="margin-left: 40px;">_____</p> <p style="margin-left: 40px;">_____</p> <p style="margin-left: 40px;">_____</p> <p style="margin-left: 40px;">(G) STANDING DIPLOMATIC CLEARANCE NUMBER: _____</p> <p style="margin-left: 40px;">(H) ESTIMATED TIME OF ARRIVAL: _____ Z</p> <p style="margin-left: 120px;">(DATE/TIME GROUP)</p> <p>LINE 3: TIME OF REPORT: _____ Z</p> <p style="margin-left: 120px;">(DATE/TIME GROUP)</p> <p>-----</p> <p>ACKNOWLEDGMENT: SENDER'S INITIALS _____</p> <p style="margin-left: 150px;">RECEIVER'S INITIALS _____</p> <p>NOTE: PLACE THE COMPLETED START VOICE FORMAT #3 IN THE DAMO-ODO-M IN-BOX.</p>		
REFERENCES: NONE		(CLASSIFICATION) UNCLASSIFIED

(CLASSIFICATION) UNCLASSIFIED	REVISION: 1 MAY 2000	pg. 1 of 1 pg.
START VOICE FORMAT 4: FLIGHT PLAN APPROVAL		
AOC INSTRUCTIONS: WHEN NOTIFIED BY THE DTRA OPERATIONS CENTER THAT THE FLIGHT PLAN HAS BEEN APPROVED, FILL IN THE FOLLOWING INFORMATION. A CONFERENCE CALL IS NOT REQUIRED.		
"THIS IS THE DEFENSE THREAT REDUCTION AGENCY, ON-SITE INSPECTION ACTIVITIES, WITH A START TREATY VOICE FORMAT #4: FLIGHT PLAN APPROVAL."		
(PAUSE)	(REPEAT ONCE)	
LINE 1:	INSPECTION ID: _____	
LINE 2:	_____ FLIGHT DATA CONFIRMED	
OR	_____ FLIGHT DATA UNCONFIRMED.	
LINE 3:	REMARKS: _____ _____ _____ _____ _____	
LINE 4:	TIME OF REPORT: _____ Z (DATE/TIME GROUP)	
-----		
ACKNOWLEDGMENT: SENDER'S INITIALS: _____		
RECEIVER'S INITIALS: _____		
NOTE: PLACE THE COMPLETED START VOICE FORMAT #4 IN THE DAMO-ODO-M IN-BOX.		
REFERENCES: NONE	(CLASSIFICATION) UNCLASSIFIED	

(CLASSIFICATION) UNCLASSIFIED	REVISION: 1 MAY 2000	pg. 1 of 1 pg.
<b>START VOICE FORMAT 5: DTRA ESCORTS ARRIVAL AT DIFFERENT POE</b>		
<p>AOC INSTRUCTIONS: WHEN NOTIFIED BY THE DTRA OPERATIONS CENTER THAT DTRA ESCORTS HAVE ARRIVED AT THE POE, COPY THE FOLLOWING INFORMATION. <b>A CONFERENCE CALL IS NOT REQUIRED.</b></p> <p>"THIS IS THE DEFENSE THREAT REDUCTION AGENCY, ON-SITE INSPECTION ACTIVITIES, WITH A START TREATY VOICE FORMAT #5: DTRA ESCORTS ARRIVAL AT DIFFERENT POE."</p> <p>(PAUSE) (REPEAT ONCE)</p> <p>LINE 1: INSPECTION ID: _____</p> <p>LINE 2: AT: _____ Z, DTRA TEAM CHIEF _____          (DATE/TIME GROUP)          _____ ARRIVED AT POE: _____</p> <p>LINE 3: REMARKS: _____          _____          _____          _____</p> <p>LINE 4: TIME OF REPORT: _____ Z</p> <p>-----</p> <p>ACKNOWLEDGMENT: SENDER'S INITIALS: _____          RECEIVER'S INITIALS: _____</p> <p><b>NOTE: PLACE THE COMPLETED START VOICE FORMAT #5 IN THE DAMO-ODO-M IN-BOX.</b></p>		
REFERENCES: NONE	(CLASSIFICATION) UNCLASSIFIED	

(CLASSIFICATION) UNCLASSIFIED	REVISION: 1 MAY 2000	pg. 1 of 1 pg.
<b>START VOICE FORMAT 6: INSPECTION TEAM INITIAL ARRIVAL AT THE POE</b>		
<p>AOC INSTRUCTIONS: WHEN NOTIFIED BY THE DTRA OPERATIONS CENTER OF THE INSPECTION TEAM'S INITIAL ARRIVAL AT THE POE, COPY THE FOLLOWING INFORMATION.</p> <p>"THIS IS THE DEFENSE THREAT REDUCTION AGENCY, ON-SITE INSPECTION ACTIVITIES, WITH A START TREATY VOICE FORMAT #6: INSPECTION TEAM'S INITIAL ARRIVAL AT THE POE."</p> <p>(PAUSE) (REPEAT ONCE)</p> <p>LINE 1: INSPECTION ID: _____</p> <p>LINE 2: AT: _____ Z, INSPECTION TEAM ARRIVED AT (DATE/TIME GROUP) POE: _____</p> <p>LINE 3: REMARKS: _____ _____ _____</p> <p>LINE 4: TIME OF REPORT: _____ Z (DATE/TIME GROUP) _____</p> <p>STAND BY FOR POLL, RESPOND WITH YOUR INITIALS, MY INITIALS ARE: _____</p> <p>ARMY _____ AIR FORCE _____ NAVY _____ ACC _____ TRANSCOM _____ FBI _____ PEOC _____ DOE _____ NMCC _____</p> <p>DTRA HEARS ALL CONFEREES, DTRA OUT. (OR) STANDBY FOR RETRANSMISSION, ALL OTHERS ARE RELEASED.</p> <p><b>NOTE: THIS NOTIFICATION REQUIRES ADDITIONAL HANDLING. SEE PAGE 5, PARAGRAPH 4f, "START VOICE FORMAT #6." (SEE POC LIST ON PAGE 9).</b></p> <p>NOTIFY DAMO-ODO-M POC _____, SMDC _____, AND TMO _____</p> <p><b>CONDUCT A CONFERENCE CALL:</b></p> <p>"THIS IS THE ARMY OPERATIONS CENTER WITH A START VOICE FORMAT #6: INSPECTION TEAM INITIAL ARRIVAL AT THE POE."</p> <p>"STANDBY FOR POLL, RESPOND WITH YOUR INITIALS, MY INITIALS ARE _____." (SEE POC LIST ON PAGE 9)</p> <p>AMC _____ NATIONAL GUARD BUREAU _____ 902D MI _____</p> <p>"AOC HEARS ALL CONFEREES, THIS CONCLUDES THE CONFERENCE." <b>OR</b> "_____ STANDBY FOR RETRANSMISSION, ALL OTHER CONFEREES ARE RELEASED."</p> <p><b>NOTE: PLACE THE COMPLETED START VOICE FORMAT #6 IN THE DAMO-ODO-M IN-BOX.</b></p>		
<b>REFERENCES: NONE</b>		(CLASSIFICATION) UNCLASSIFIED

(CLASSIFICATION) UNCLASSIFIED	REVISION: 1 MAY 2000	pg. 1 of 1 pg.
<b>START VOICE FORMAT 7: DECLARATION OF INITIAL INSPECTION SITE AND TYPE OF INSPECTION</b>		
<p>AOC INSTRUCTIONS: WHEN NOTIFIED BY THE DTRA OPERATIONS CENTER OF THE DECLARATION OF INITIAL INSPECTION SITE AND TYPE OF INSPECTION, COPY THE FOLLOWING INFORMATION.</p> <p>"THIS IS THE DEFENSE THREAT REDUCTION AGENCY, ON-SITE INSPECTION ACTIVITIES, WITH A START TREATY VOICE FORMAT #7: DECLARATION OF INSPECTION SITE AND TYPE OF INSPECTION."</p> <p>(PAUSE) (REPEAT ONCE)</p> <p>LINE 1: INSPECTION ID: _____</p> <p>LINE 2: INSPECTION SITE: _____</p> <p>LINE 3: TYPE OF INSPECTION: CHECK ONE</p> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input type="checkbox"/> BASELINE  <input type="checkbox"/> DATA UPDATE  <input type="checkbox"/> NEW FACILITY         </div> <div style="width: 45%;"> <input type="checkbox"/> SUSPECT SITE  <input type="checkbox"/> RV-OSI  <input type="checkbox"/> FORMERLY DECLARED FACILITY         </div> </div> <p>LINE 4: ETD: _____ Z (POE).  <div style="text-align: center; font-size: small;">(DATE/TIME GROUP)</div></p> <p>LINE 5: REMARKS: _____          _____</p> <p>LINE 6: TIME OF REPORT: _____ Z  <div style="text-align: center; font-size: small;">(DATE/TIME GROUP)</div></p> <hr style="border-top: 1px dashed black;"/> <p>STAND BY FOR POLL, RESPOND WITH YOUR INITIALS, MY INITIALS ARE: _____</p> <p>ARMY _____ NAVY _____ AIR FORCE _____ ACC _____ TRANSCOM _____ FBI _____          PEOC _____ DOE _____ NMCC _____</p> <p>DTRA HEARS ALL CONFEREES, DTRA OUT. (OR)          STANDBY FOR RETRANSMISSION, ALL OTHERS ARE RELEASED.</p>		
<p><b>NOTE: THIS NOTIFICATION REQUIRES AN IMMEDIATE GENSER FOLLOW-UP MESSAGE AND ADDITIONAL HANDLING. SEE PAGE 5, PARAGRAPH 4g "START VOICE FORMAT #7". (SEE POC LIST ON PAGE 9).</b></p> <p>NOTIFY DAMO-ODO-M POC _____, SMDC _____, AND TMO _____</p> <p><b>CONDUCT A CONFERENCE CALL:</b></p> <p>"THIS IS THE ARMY OPERATIONS CENTER WITH A START VOICE FORMAT #7: DECLARATION OF INITIAL INSPECTION SITE AND TYPE OF INSPECTION."</p> <p>"STANDBY FOR POLL, RESPOND WITH YOUR INITIALS, MY INITIALS ARE _____." (SEE POC LIST ON PAGE 9)</p> <p>AMC _____ NATIONAL GUARD BUREAU _____ 902D MI _____</p> <p>"AOC HEARS ALL CONFEREES, THIS CONCLUDES THE CONFERENCE." <span style="margin-left: 100px;"><b>OR</b></span> "_____ STANDBY FOR RETRANSMISSION, ALL OTHER CONFEREES ARE RELEASED."</p> <p><b>NOTE: GIVE THE COMPLETED START VOICE FORMAT #7 TO DAMO-ODO-M.</b></p>		
<b>REFERENCES: START TREATY, ARTICLE XI, PARAGRAPHS 2,3,4,5,6,7, OR 10</b>		(CLASSIFICATION) UNCLASSIFIED

(CLASSIFICATION) UNCLASSIFIED	REVISION: 1 MAY 2000	pg. 1 of 1 pg.
<b>START VOICE FORMAT 8: DEPARTURE FROM THE POE FOR THE INSPECTION SITE</b>		
AOC INSTRUCTIONS: WHEN NOTIFIED BY THE DTRA OPERATIONS CENTER OF DEPARTURE FROM THE POE FOR THE INSPECTION SITE, COPY THE FOLLOWING INFORMATION.		
"THIS IS THE DEFENSE THREAT REDUCTION AGENCY, ON-SITE INSPECTION ACTIVITIES, WITH A START TREATY VOICE FORMAT #8: DEPARTURE FROM THE POE FOR THE INSPECTION SITE."		
(PAUSE)	(REPEAT ONCE)	
LINE 1:	INSPECTION ID: _____	
LINE 2:	AT _____ Z, INSPECTORS AND DTRA ESCORTS (DATE/TIME GROUP)	
	DEPARTED THE POE FOR SITE: _____ (INSPECTION SITE)	
	ETA IS: _____ Z (DATE/TIME GROUP)	
LINE 3:	TIME OF REPORT: _____ Z	
-----		
STAND BY FOR POLL, RESPOND WITH YOUR INITIALS, MY INITIALS ARE: _____		
ARMY _____ NAVY _____ AIR FORCE _____ ACC _____ TRANSCOM _____ FBI _____ PEOC _____ DOE _____ NMCC _____		
DTRA HEARS ALL CONFEREES, DTRA OUT. (OR) STANDBY FOR RETRANSMISSION, ALL OTHERS ARE RELEASED.		
<b>NOTE: IF THE SITE IN LINE 2 IS AN ARMY SITE (SEE THE LIST OF ARMY SITES ON PAGE 10), THIS NOTIFICATION REQUIRES ADDITIONAL HANDLING. SEE PAGE 5, PARAGRAPH 4h "START VOICE FORMAT #8." (SEE POC LIST ON PAGE 9)</b>		
<b>IF THE INSPECTION SITE IN LINE 2 IS <u>NOT</u> AN ARMY SITE, PLACE THE COMPLETED START VOICE FORMAT #8 IN THE DAMO-ODO-MIN-BOX.</b>		
<b>IF THE INSPECTION SITE IN LINE 2 IS AN ARMY SITE, NOTIFY DAMO-ODO-M POC _____, SMDC _____, AND TMO _____), AND CONDUCT A CONFERENCE CALL:</b>		
"THIS IS THE ARMY OPERATIONS CENTER WITH A START VOICE FORMAT #8: DEPARTURE FROM THE POE FOR THE INSPECTION SITE."		
"STANDBY FOR POLL, RESPOND WITH YOUR INITIALS, MY INITIALS ARE _____." (SEE POC LIST ON PAGE 9).		
AMC _____ NATIONAL GUARD BUREAU _____ 902D MI _____		
"AOC HEARS ALL CONFEREES, THIS CONCLUDES THE CONFERENCE." <b>OR</b> "_____ STANDBY FOR RETRANSMISSION, ALL OTHER CONFEREES ARE RELEASED."		
<b>NOTE: GIVE THE COMPLETED START VOICE FORMAT #8 TO DAMO-ODO-M.</b>		
REFERENCES: NONE		(CLASSIFICATION) UNCLASSIFIED

(CLASSIFICATION) UNCLASSIFIED	REVISION: 1 MAY 2000	pg. 1 of 1 pg.
<b>START VOICE FORMAT 9: ARRIVAL OF INSPECTORS AND DTRA ESCORTS AT THE INSPECTION SITE</b>		
AOC INSTRUCTIONS: WHEN NOTIFIED BY THE DTRA OPERATIONS CENTER OF THE ARRIVAL OF INSPECTORS AND DTRA ESCORTS AT THE INSPECTION SITE, COPY THE FOLLOWING INFORMATION.		
"THIS IS THE DEFENSE THREAT REDUCTION AGENCY, ON-SITE INSPECTION ACTIVITIES, WITH A START TREATY VOICE FORMAT #9: ARRIVAL OF INSPECTORS AND DTRA ESCORTS AT THE INSPECTION SITE."		
(PAUSE)	(REPEAT ONCE)	
LINE 1:	INSPECTION ID: _____	
LINE 2:	AT _____ Z, INSPECTORS AND DTRA ESCORTS ARRIVED (DATE/TIME GROUP)	
	SITE: _____ (INSPECTION SITE)	
LINE 3:	TIME OF REPORT: _____ Z (DATE/TIME GROUP)	
STAND BY FOR POLL, RESPOND WITH YOUR INITIALS, MY INITIALS ARE: _____		
ARMY _____ NAVY _____ AIR FORCE _____ ACC _____ TRANSCOM _____ FBI _____ PEOC _____ DOE _____ NMCC _____		
DTRA HEARS ALL CONFEREES, DTRA OUT. (OR) STANDBY FOR RETRANSMISSION, ALL OTHERS ARE RELEASED.		
NOTE: IF THE SITE IN LINE 2 IS AN ARMY SITE (SEE PAGE 10), THIS NOTIFICATION REQUIRES ADDITIONAL HANDLING. SEE PAGE 6, PARAGRAPH 4i "START VOICE FORMAT #9" (SEE POC LIST ON PAGE 9)		
IF THE INSPECTION SITE IN LINE 2 IS <u>NOT</u> AN ARMY SITE, PLACE THE COMPLETED START VOICE FORMAT #9 IN THE DAMO-ODO-MIN-BOX.		
IF THE INSPECTION SITE IN LINE 2 <u>IS</u> AN ARMY SITE, NOTIFY DAMO-ODO-M POC _____, SMDC _____, AND TMO _____, AND CONDUCT A CONFERENCE CALL:		
"THIS IS THE ARMY OPERATIONS CENTER WITH A START VOICE FORMAT #9: ARRIVAL OF INSPECTORS AND DTRA ESCORTS AT THE INSPECTION SITE."		
"STANDBY FOR POLL, RESPOND WITH YOUR INITIALS, MY INITIALS ARE _____." (SEE POC LIST ON PAGE 9)		
AMC _____ NATIONAL GUARD BUREAU _____ 902D MI		
"AOC HEARS ALL CONFEREES, THIS CONCLUDES THE CONFERENCE." OR "_____ STANDBY FOR RETRANSMISSION, ALL OTHER CONFEREES ARE RELEASED."		
NOTE: GIVE THE COMPLETED START VOICE FORMAT #9 TO DAMO-ODO-M.		
REFERENCES: NONE		(CLASSIFICATION) UNCLASSIFIED



(CLASSIFICATION) UNCLASSIFIED	REVISION: 1 MAY 2000	pg. 1 of 2 pgs.
<b>START VOICE FORMAT 9A: NOTIFICATION OF INTENT TO CONDUCT A SEQUENTIAL INSPECTION</b>		
<p>AOC INSTRUCTIONS: WHEN NOTIFIED BY THE DTRA OPERATIONS CENTER OF A NOTIFICATION OF INTENT TO CONDUCT A SEQUENTIAL INSPECTION, COPY THE FOLLOWING INFORMATION.</p> <p>"THIS IS THE DEFENSE THREAT REDUCTION AGENCY, ON-SITE INSPECTION ACTIVITIES, WITH A START TREATY VOICE FORMAT #9A: NOTIFICATION OF INTENT TO CONDUCT A SEQUENTIAL INSPECTION."</p> <p>(PAUSE) (REPEAT ONCE)</p> <p>LINE 1: INSPECTION ID: _____</p> <p>LINE 2: AT _____ Z, INSPECTION TEAM CHIEF MADE (DATE/TIME GROUP) NOTIFICATION OF INTENT TO CONDUCT A SEQUENTIAL INSPECTION.</p> <p>LINE 3: TYPE OF INSEPTION: (CIRCLE APPLICABLE FOR (A) OR ANNOTATE TYPE &amp; SITE FOR (B))</p> <p>A <b>SHORT NOTICE</b> INSPECTION PURSUANT TO PARAGRAPH 2,3,4,5,6,7, or 10 of ARTICLE XI.</p> <p style="margin-left: 40px;">1 THE INSPECTION TEAM WILL PROCEED DIRECTLY TO THE NEXT INSPECTION SITE. A SITE DECLARATION TIME WILL BE ESTABLISHED AT THE COMPLETION OF THE CURRENT INSPECTION. THIS NEW DECLARATION TIME WILL BE ANNOUNCED USING A START VOICE FORMAT NUMBER 9B ALONG WITH TELETYPE (AUTODIN) MESSAGE. THE FORMAT 9B WILL REFERENCE THE INSPECTION NUMBER OF THE INSPECTION JUST COMPLETED AND PROVIDE THE NEW DECLARATION TIME.</p> <p style="margin-left: 40px;">2 THE INSPECTION TEAM WILL RETURN TO THE POE BEFORE DECLARING THE NEXT INSPECTION SITE AND TYPE OF INSPECTION. WITHIN ONE HOUR OF ARRIVAL AT THE POE, A NEW DECLARATION TIME WILL BE ANNOUNCED USING A START VOICE FORMAT NUMBER 9B ALONG WITH TELETYPE (AUTODIN) MESSAGE. THE FORMAT 9B WILL REFERENCE THE INSPECTION NUMBER OF THE INSPECTION JUST COMPLETED AND PROVIDE THE NEW DECLARATION TIME.</p> <p>B <b>SCHEDULED:</b> (CIRCLE ONE) (CONVERSION OR ELIMINATION) (CLOSEOUT) (TECHNICAL EXIBITION) (DISTINGUISHIBILITY EXIBITION) (BASELINE EXIBITION) INSPECTION AT: _____</p> <p>LINE 4: TIME OF REPORT: _____ Z (DATE/TIME GROUP)</p> <p>-----</p> <p>STAND BY FOR POLL, RESPOND WITH YOUR INITIALS, MY INITIALS ARE: _____</p> <p>ARMY _____ NAVY _____ AIR FORCE _____ ACC _____ TRANSCOM _____ FBI _____ PEOC _____ DOE _____ NMCC _____</p> <p>DTRA HEARS ALL CONFEREES, DTRA OUT. (OR) STANDBY FOR RETRANSMISSION, ALL OTHERS ARE RELEASED.</p>		
<b>REFERENCES: START TREATY, INSPECTION PROTOCOL</b>		(CLASSIFICATION) UNCLASSIFIED

(CLASSIFICATION) UNCLASSIFIED	REVISION: 1 MAY 2000	pg. 2 of 2 pgs.
<b>START VOICE FORMAT 9A: NOTIFICATION OF INTENT TO CONDUCT A SEQUENTIAL INSPECTION</b>		
<b>NOTE 1: A NEW INSPECTION IDENTIFICATION NUMBER WILL BE ASSIGNED UPON DECLARATION OF THE SEQUENTIAL INSPECTION SITE (FORMAT 9C).</b>		
<b>NOTE 2: THIS NOTIFICATION REQUIRES AN IMMEDIATE GENSER FOLLOW-UP MESSAGE AND ADDITIONAL HANDLING. SEE PAGE 6, PARAGRAPH 4j, "START VOICE FORMAT 9A." (SEE POC LIST ON PAGE 9)</b>		
<b>NOTIFY DAMO-ODO-M POC _____, SMDC _____, AND TMO _____</b>		
<b>CONDUCT A CONFERENCE CALL:</b>		
"THIS IS THE ARMY OPERATIONS CENTER WITH A START VOICE FORMAT #9A NOTIFICATION OF INTENT TO CONDUCT A SEQUENTIAL INSPECTION."		
"STANDBY FOR POLL, RESPOND WITH YOUR INITIALS, MY INITIALS ARE _____." (SEE POC LIST ON PAGE 9)		
AMC _____ NATIONAL GUARD BUREAU _____ 902D MI		
"AOC HEARS ALL CONFEREES, THIS CONCLUDES THE CONFERENCE." <b>OR</b> "_____ STANDBY FOR RETRANSMISSION, ALL OTHER CONFEREES ARE RELEASED."		
<b>NOTE: GIVE THE COMPLETED START VOICE FORMAT #9A TO DAMO-ODO-M.</b>		
<b>REFERENCES: START TREATY, INSPECTION PROTOCOL</b>		<b>(CLASSIFICATION) UNCLASSIFIED</b>

(CLASSIFICATION) UNCLASSIFIED

REVISION: 1 MAY 2000

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## START VOICE FORMAT 9B: TIME FOR SEQUENTIAL SITE DECLARATION

AOC INSTRUCTIONS: WHEN NOTIFIED BY THE DTRA OPERATIONS CENTER OF THE TIME OF SEQUENTIAL SITE DECLARATION, COPY THE FOLLOWING INFORMATION. **A CONFERENCE CALL IS NOT REQUIRED.**

"THIS IS THE DEFENSE THREAT REDUCTION AGENCY, ON-SITE INSPECTION ACTIVITIES, WITH A START TREATY VOICE FORMAT #9B: TIME FOR SEQUENTIAL SITE DECLARATION."

(PAUSE) (REPEAT ONCE)

LINE 1: INSPECTION ID: \_\_\_\_\_

LINE 2: TIME FOR DECLARATION: \_\_\_\_\_ Z  
(DATE/TIME GROUP)

LINE 3: REMARKS: \_\_\_\_\_

LINE 4: TIME OF REPORT: \_\_\_\_\_ Z  
(DATE/TIME GROUP)

STAND BY FOR POLL, RESPOND WITH YOUR INITIALS, MY INITIALS ARE: \_\_\_\_\_

ARMY \_\_\_\_\_ NAVY \_\_\_\_\_ AIR FORCE \_\_\_\_\_ ACC \_\_\_\_\_ TRANSCOM \_\_\_\_\_ FBI \_\_\_\_\_  
PEOC \_\_\_\_\_ DOE \_\_\_\_\_ NMCC \_\_\_\_\_

DTRA HEARS ALL CONFEREES, DTRA OUT. (OR)  
STANDBY FOR RETRANSMISSION, ALL OTHERS ARE RELEASED.

**NOTE: NOTIFY DAMO-ODO-M POC (SEE PAGE 9), AND PLACE THE COMPLETED VOICE FORMAT #9B IN THE DAMO-ODO-MIN-BOX.**

REFERENCES: START TREATY INSPECTION PROTOCOL

(CLASSIFICATION)  
UNCLASSIFIED

(CLASSIFICATION) UNCLASSIFIED	REVISION: 1 MAY 2000	pg. 1 of 1 pg.
<b>START VOICE FORMAT 9C: DECLARATION OF SEQUENTIAL INSPECTION SITE</b>		
<p>AOC INSTRUCTIONS: WHEN NOTIFIED BY THE DTRA OPERATIONS CENTER OF A DECLARATION OF SEQUENTIAL INSPECTION SITE, COPY THE FOLLOWING INFORMATION.</p> <p>"THIS IS THE DEFENSE THREAT REDUCTION AGENCY, ON-SITE INSPECTION ACTIVITIES, WITH A START TREATY VOICE FORMAT #9C: DECLARATION OF SEQUENTIAL INSPECTION SITE."</p>		
<p>(PAUSE)                      (REPEAT ONCE)</p>		
LINE 1:	INSPECTION ID: _____	
LINE 2:	SEQUENTIAL INSPECTION ID: _____	
LINE 3:	INSPECTION SITE: _____	
LINE 4:	<p>TYPE OF INSPECTION: <b>CHECK ONE</b></p> <div style="display: flex; justify-content: space-between;"> <div style="width: 48%;"> <input type="checkbox"/> BASELINE  <input type="checkbox"/> DATA UPDATE  <input type="checkbox"/> NEW FACILITY  <input type="checkbox"/> SUSPECT SITE  <input type="checkbox"/> RV-OSI  <input type="checkbox"/> POST-EXERCISE DISPERSAL </div> <div style="width: 48%;"> <input type="checkbox"/> CONVERSION OR ELIMINATION  <input type="checkbox"/> CLOSE OUT  <input type="checkbox"/> FORMERLY DECLARED FACILITY  <input type="checkbox"/> TECHNICAL EXHIBITION  <input type="checkbox"/> DISTINGUISHABILITY EXHIBITION  <input type="checkbox"/> BASELINE EXHIBITION </div> </div>	
LINE 5:	<p>ETD FROM AIRFIELD ASSOCIATED WITH (CIRCLE ONE: <b>POE OR CURRENT</b></p> <p><b>INSPECTION SITE</b>): _____ Z</p> <p align="center">(DATE/TIME GROUP)</p>	
LINE 6:	<p>ETA AT (<b>CIRCLE ONE</b>) POE OR NEW INSPECTION SITE: _____ Z</p>	
LINE 7:	REMARKS: _____	
LINE 8:	<p>TIME OF REPORT: _____ Z</p> <p align="center">(DATE/TIME GROUP)</p>	
<p>-----</p> <p>STAND BY FOR POLL, RESPOND WITH YOUR INITIALS, MY INITIALS ARE: _____</p> <p>ARMY _____ NAVY _____ AIR FORCE _____ ACC _____ TRANSCOM _____ FBI _____</p> <p>PEOC _____ DOE _____ NMCC _____</p> <p>DTRA HEARS ALL CONFEREES, DTRA OUT. (OR) STANDBY FOR RETRANSMISSION, ALL OTHERS ARE RELEASED.</p>		
<p><b>NOTE: THIS NOTIFICATION REQUIRES AN IMMEDIATE GENSER FOLLOW-UP MESSAGE AND ADDITIONAL HANDLING. SEE PAGE 7, PARAGRAPH 4I, "START VOICE FORMAT #9C." (SEE POC LIST ON PAGE 9)</b></p> <p><b>NOTIFY DAMO-ODO-M POC</b> _____, <b>SMDC</b> _____, <b>AND TMO</b> _____</p> <p><b>CONDUCT A CONFERENCE CALL:</b></p> <p>"THIS IS THE ARMY OPERATIONS CENTER WITH A START VOICE FORMAT #9C: DECLARATION OF SEQUENTIAL INSPECTION SITE."</p> <p>"STANDBY FOR POLL, RESPOND WITH YOUR INITIALS, MY INITIALS ARE _____." (SEE POC LIST ON PAGE 9)</p> <p>AMC _____ NATIONAL GUARD BUREAU _____ 902D MI _____</p> <p>"AOC HEARS ALL CONFEREES, THIS CONCLUDES THE CONFERENCE."      <b>OR</b>      "_____ STANDBY FOR RETRANSMISSION, ALL OTHER CONFEREES ARE RELEASED."</p> <p><b>NOTE: GIVE THE COMPLETED START VOICE FORMAT #9C TO DAMO-ODO-M.</b></p>		
<b>REFERENCES: START TREATY INSPECTION PROTOCOL</b>		(CLASSIFICATION) <b>UNCLASSIFIED</b>

(CLASSIFICATION) UNCLASSIFIED	REVISION: 1 MAY 2000	pg. 1 of 1 pg.
<b>START VOICE FORMAT 10: COMPLETION OF THE SITE INSPECTION AND INSPECTION REPORT</b>		
<p>AOC INSTRUCTIONS: WHEN NOTIFIED BY THE DTRA OPERATIONS CENTER OF COMPLETION OF THE SITE INSPECTION AND INSPECTION REPORT, COPY THE FOLLOWING INFORMATION.</p> <p>"THIS IS THE DEFENSE THREAT REDUCTION AGENCY, ON-SITE INSPECTION ACTIVITIES, WITH A START TREATY VOICE FORMAT #10: NOTIFICATION OF COMPLETION OF INSPECTION."</p>		
(PAUSE)	(REPEAT ONCE)	
LINE 1:	INSPECTION ID: _____	
LINE 2:	INSPECTION COMPLETED: _____ Z (DATE/TIME GROUP)	
LINE 3:	ETD FROM AIRFIELD ASSOCIATED WITH INSPECTION SITE: _____ Z (DATE/TIME GROUP)	
LINE 4:	DESTINATION -- (CIRCLE ONE) POE OR NEXT INSPECTION SITE (CIRCLE ONE): _____	
LINE 5:	ETA AT POE OR NEXT INSPECTION SITE: _____ Z (DATE/TIME GROUP)	
LINE 6:	REMARKS: _____ _____	
LINE 7:	TIME OF REPORT: _____ Z (DATE/TIME GROUP)	
<p>STAND BY FOR POLL, RESPOND WITH YOUR INITIALS, MY INITIALS ARE: _____</p> <p>ARMY _____ AIR FORCE _____ NAVY _____ ACC _____ TRANSCOM _____ FBI _____          PEOC _____ DOE _____ NMCC _____</p> <p>DTRA HEARS ALL CONFEREES, DTRA OUT. (OR)          STANDBY FOR RETRANSMISSION, ALL OTHERS ARE RELEASED.</p>		
<p><b>NOTE: IF THE INSPECTION SITE IN LINE 4 IS AN ARMY SITE (SEE PAGE 10), THIS NOTIFICATION REQUIRES ADDITIONAL HANDLING. SEE PAGE 7, PARAGRAPH 4m, "START VOICE FORMAT #10." (SEE POC LIST, PAGE 9)</b></p> <p><b>IF THE INSPECTION SITE IS <u>NOT</u> AN ARMY SITE, PLACE THE COMPLETED VOICE MESSAGE FORMAT #10 IN THE DAMO-ODO-MIN-BOX.</b></p> <p><b>IF THE INSPECTION SITE IN LINE 4 IS AN ARMY SITE, NOTIFY DAMO-ODO-M POC _____, SMDC _____, AND TMO _____ AND CONDUCT A CONFERENCE CALL:</b></p> <p>"THIS IS THE ARMY OPERATIONS CENTER WITH A START VOICE FORMAT #10: COMPLETION OF THE SITE INSPECTION AND INSPECTION REPORT."</p> <p>"STANDBY FOR POLL, RESPOND WITH YOUR INITIALS, MY INITIALS ARE _____." (SEE POC LIST ON PAGE 9)</p> <p>AMC _____ NATIONAL GUARD BUREAU _____ 902D MI _____</p> <p>"AOC HEARS ALL CONFEREES, THIS CONCLUDES THE CONFERENCE." <b>OR</b> "_____ STANDBY FOR RETRANSMISSION, ALL OTHER CONFEREES ARE RELEASED."</p> <p><b>NOTE: GIVE THE COMPLETED START VOICE FORMAT #10 TO DAMO-ODO-M.</b></p>		
REFERENCES: NONE		(CLASSIFICATION) UNCLASSIFIED

(CLASSIFICATION) UNCLASSIFIED	REVISION: 1 MAY 2000	pg. 1 of 1 pg.
<b>START VOICE FORMAT 11: DEPARTURE FROM THE INSPECTION SITE</b>		
AOC INSTRUCTIONS: WHEN NOTIFIED BY THE DTRA OPERATIONS CENTER THAT THE INSPECTION TEAM HAS DEPARTED FROM THE INSPECTION SITE, COPY THE FOLLOWING INFORMATION. A CONFERENCE CALL IS NOT REQUIRED.		
"THIS IS THE DEFENSE THREAT REDUCTION AGENCY, ON-SITE INSPECTION ACTIVITIES, WITH A START TREATY VOICE FORMAT #11: DEPARTURE FROM THE INSPECTION SITE."		
(PAUSE)	(REPEAT ONCE)	
LINE 1:	INSPECTION ID: _____	
LINE 2:	AT _____ Z, INSPECTORS AND DTRA ESCORTS (DATE/TIME GROUP)	
	DEPARTED SITE: _____ FOR (CIRCLE ONE) POE OR NEXT INSPECTION SITE _____	
	ETA: _____ Z (DATE/TIME GROUP)	
LINE 3:	REMARKS: _____ Z (DATE/TIME GROUP)	
LINE 4:	TIME OF REPORT: _____ Z	
-----		
STAND BY FOR POLL, RESPOND WITH YOUR INITIALS, MY INITIALS ARE: _____		
ARMY _____ AIR FORCE _____ NAVY _____ ACC _____ TRANSCOM _____ FBI _____ PEOC _____ DOE _____ NMCC _____		
DTRA HEARS ALL CONFEREES, DTRA OUT. (OR) STANDBY FOR RETRANSMISSION, ALL OTHERS ARE RELEASED.		
NOTE: PLACE THE COMPLETED START VOICE FORMAT #11 IN THE DAMO-ODO-MIN-BOX.		
REFERENCES: NONE		(CLASSIFICATION) UNCLASSIFIED

(CLASSIFICATION) UNCLASSIFIED	REVISION: 1 MAY 2000	pg. 1 of 1 pg.
<b>START VOICE FORMAT 12: INSPECTION TEAM ARRIVAL AT THE POE FROM THE INSPECTION SITE</b>		
<p>AOC INSTRUCTIONS: WHEN NOTIFIED BY THE DTRA OPERATIONS CENTER THAT THE INSPECTION TEAM HAS ARRIVED AT THE POE FROM THE INSPECTION SITE, COPY THE FOLLOWING INFORMATION. <b>A CONFERENCE CALL IS NOT REQUIRED.</b></p> <p>"THIS IS THE DEFENSE THREAT REDUCTION AGENCY, ON-SITE INSPECTION ACTIVITIES, WITH A START TREATY VOICE FORMAT #12: ARRIVAL AT THE POE FROM THE INSPECTION SITE."</p> <p>(PAUSE)                      (REPEAT ONCE)</p> <p>LINE 1:                      INSPECTION ID: _____</p> <p>LINE 2:                      AT _____ Z, INSPECTORS AND DTRA ESCORTS  <div style="text-align: center;">(DATE/TIME GROUP)</div> ARRIVED POE _____</p> <p>LINE 3:                      ESTIMATED FINAL DEPARTURE FROM POE (OR NOT APPLICABLE): _____ Z  <div style="text-align: center;">(DATE/TIME GROUP)</div></p> <p>LINE 4:                      REMARKS: _____  _____  _____  _____</p> <p>LINE 5:                      TIME OF REPORT: _____ Z  <div style="text-align: center;">(DATE/TIME GROUP)</div></p> <p>-----</p> <p>STAND BY FOR POLL, RESPOND WITH YOUR INITIALS, MY INITIALS ARE: _____</p> <p>ARMY _____ AIR FORCE _____ NAVY _____ ACC _____ TRANSCOM _____ FBI _____  PEOC _____ DOE _____ NMCC _____</p> <p>DTRA HEARS ALL CONFEREES, DTRA OUT. (OR)  STANDBY FOR RETRANSMISSION, ALL OTHERS ARE RELEASED.</p> <p><b>NOTE: PLACE THE COMPLETED START VOICE FORMAT #12 IN THE DAMO-ODO-MIN-BOX.</b></p>		
<b>REFERENCES: NONE</b>		(CLASSIFICATION) UNCLASSIFIED

(CLASSIFICATION) UNCLASSIFIED	REVISION: 1 MAY 2000	pg. 1 of 1 pg.
<b>START VOICE FORMAT 13: INSPECTION TEAM FINAL DEPARTURE FROM POE</b>		
AOC INSTRUCTIONS: WHEN NOTIFIED BY THE DTRA OPERATIONS CENTER OF THE INSPECTION TEAM'S FINAL DEPARTURE FROM THE POE, COPY THE FOLLOWING INFORMATION. <b>A CONFERENCE CALL IS NOT REQUIRED.</b>		
"THIS IS THE DEFENSE THREAT REDUCTION AGENCY, ON-SITE INSPECTION ACTIVITIES, WITH A START TREATY VOICE MESSAGE FORMAT #13: INSPECTION TEAM FINAL DEPARTURE FROM POE."		
(PAUSE)	(REPEAT ONCE)	
LINE 1:	INSPECTION ID: _____	
LINE 2:	AT _____ Z THE INSPECTION TEAM DEPARTED POE: _____ (DATE/TIME GROUP)	
LINE 3:	REMARKS: _____ _____ _____ _____	
LINE 4:	TIME OF REPORT: _____ Z (DATE/TIME GROUP)	
STAND BY FOR POLL, RESPOND WITH YOUR INITIALS, MY INITIALS ARE: _____		
ARMY _____ AIR FORCE _____ NAVY _____ ACC _____ TRANSCOM _____ FBI _____ PEOC _____ DOE _____ NMCC _____		
DTRA HEARS ALL CONFEREES, DTRA OUT. (OR) STANDBY FOR RETRANSMISSION, ALL OTHERS ARE RELEASED.		
NOTE: PLACE THE COMPLETED START VOICE FORMAT #13 IN THE DAMO-ODO-M IN-BOX.		
REFERENCES: NONE	(CLASSIFICATION) UNCLASSIFIED	



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<b>START VOICE FORMAT 14: DTRA ESCORTS RETURN TO ASSIGNED POE</b>		
<p>AOC INSTRUCTIONS: WHEN NOTIFIED BY THE DTRA OPERATIONS CENTER THAT DTRA ESCORTS HAVE RETURNED TO THE ASSIGNED POE, COPY THE FOLLOWING INFORMATION. <b>A CONFERENCE CALL IS NOT REQUIRED.</b></p> <p>"THIS IS THE DEFENSE THREAT REDUCTION AGENCY, ON-SITE INSPECTION ACTIVITIES, WITH A START TREATY VOICE FORMAT #14: DTRA ESCORTS RETURN TO ASSIGNED POE."</p> <p>(PAUSE) (REPEAT ONCE)</p> <p>LINE 1: INSPECTION ID: _____</p> <p>LINE 2: AT _____ Z, DTRA TEAM CHIEF WITH: _____          ESCORT TEAM MEMBERS, ARRIVED POE: _____ (MISSION COMPLETE)</p> <p>LINE 3: REMARKS: _____          _____          _____          _____          _____          _____</p> <p>LINE 4: TIME OF REPORT: _____ Z          (DATE/TIME GROUP)</p> <hr style="border-top: 1px dashed black;"/> <p>ACKNOWLEDGMENT: SENDER'S INITIALS: _____          RECEIVER'S INITIALS: _____</p> <p><b>NOTE: PLACE THE COMPLETED START VOICE FORMAT #14 IN THE DAMO-ODO-MIN-BOX.</b></p>		
REFERENCES: NONE		(CLASSIFICATION) UNCLASSIFIED

(CLASSIFICATION) UNCLASSIFIED	REVISION: 1 MAY 2000	pg. 1 of 1 pg.
<b>START VOICE FORMAT 15: MISCELLANEOUS REPORT</b>		
<p>AOC INSTRUCTIONS: WHEN NOTIFIED BY THE DTRA OPERATIONS CENTER OF A MISCELLANEOUS REPORT, COPY THE FOLLOWING INFORMATION.</p> <p>"THIS IS THE DEFENSE THREAT REDUCTION AGENCY, ON-SITE INSPECTION ACTIVITIES, WITH A START TREATY VOICE FORMAT #15: "MISCELLANEOUS REPORT."</p> <p>(PAUSE)                      (REPEAT ONCE)</p> <p>LINE 1:                      INSPECTION ID: _____</p> <p>LINE 2:                      REMARKS: _____</p> <p>_____</p> <p>_____</p> <p>_____</p> <p>LINE 3:                      TIME OF REPORT: _____ Z</p> <p>-----</p> <p>STAND BY FOR POLL, RESPOND WITH YOUR INITIALS, MY INITIALS ARE: _____</p> <p>ARMY _____ AIR FORCE _____ NAVY _____ ACC _____ TRANSCOM _____ FBI _____</p> <p>PEOC _____ DOE _____ NMCC _____</p> <p>DTRA HEARS ALL CONFEREES, DTRA OUT. (OR)</p> <p>STANDBY FOR RETRANSMISSION, ALL OTHERS ARE RELEASED.</p>		
<p><b>NOTE: THIS NOTIFICATION REQUIRES ADDITIONAL HANDLING. SEE PAGE 8, PARAGRAPH 4r, START VOICE FORMAT #15.</b></p> <p><b>CONTACT DAMO-ODO-M POC (SEE POC LIST, PAGE 9) TO DETERMINE THE NEED FOR FURTHER NOTIFICATION OR A CONFERENCE CALL.</b></p> <p><b>IF A CONFERENCE CALL IS REQUIRED, PASS THE FOLLOWING INFORMATION:</b></p> <p>"THIS IS THE ARMY OPERATIONS CENTER WITH A START VOICE FORMAT #15: MISCELLANEOUS REPORT."</p> <p>"STANDBY FOR POLL, RESPOND WITH YOUR INITIALS, MY INITIALS ARE _____." (SEE POC LIST ON PAGE 9)</p> <p>SMDC _____ TMO _____ AMC _____ NATIONAL GUARD BUREAU _____ 902D MI _____</p> <p>"AOC HEARS ALL CONFEREES, THIS CONCLUDES THE CONFERENCE."                      <b>OR</b>                      "_____ STANDBY FOR RETRANSMISSION, ALL OTHER CONFEREES ARE RELEASED."</p> <p><b>NOTE: PLACE THE COMPLETED START VOICE FORMAT #15 IN THE DAMO-ODO-M IN-BOX.</b></p>		
<b>REFERENCES: NONE</b>		(CLASSIFICATION) UNCLASSIFIED

(CLASSIFICATION) UNCLASSIFIED	DATE PREPARED 1 MAY 2000	pg. 1 of 1 pg.
<b>START VOICE FORMAT 16: REQUEST FOR DISPLAY IN THE OPEN OF RAIL-MOBILE LAUNCHERS OF ICBMs.</b>		
<p>AOC INSTRUCTIONS: WHEN NOTIFIED BY THE DTRA OPERATIONS CENTER THAT A REQUEST FOR DISPLAY IN THE OPEN OF RAIL-MOBILE LAUNCHERS OF ICBMs HAS BEEN MADE, COPY THE FOLLOWING INFORMATION. A <b>CONFERENCE CALL IS NOT REQUIRED.</b></p> <p>"THIS IS THE DEFENSE THREAT REDUCTION AGENCY, ON-SITE INSPECTION ACTIVITIES, WITH A START TREATY VOICE FORMAT #16: REQUEST FOR DISPLAY IN THE OPEN OF RAIL-MOBILE LAUNCHERS OF ICBMs."</p> <p>(PAUSE) (REPEAT ONCE)</p> <p>LINE 1: REQUEST NUMBER: _____ (I.E., 1999-1, 1999-2, NO MORE THAN 7 REQUESTS PER YEAR)</p> <p>LINE 2: A. RAIL GARRISON FOR REQUESTED DISPLAY: _____ _____ B. PARKING SITES: _____ _____</p> <p>LINE 3: REMARKS: _____ _____ _____</p> <p>LINE 4: TIME OF REPORT: _____ Z (DATE/TIME GROUP)</p> <p>-----</p> <p>STAND BY FOR POLL, RESPOND WITH YOUR INITIALS, MY INITIALS ARE: _____</p> <p>ARMY _____ NAVY _____ AIR FORCE _____ ACC _____ TRANSCOM _____ FBI _____ PEOC _____ DOE _____ NMCC _____</p> <p>DTRA HEARS ALL CONFEREES, DTRA OUT. (OR) STANDBY FOR RETRANSMISSION, ALL OTHERS ARE RELEASED.</p> <p><b>NOTE: PLACE COMPLETED START VOICE FORMAT #16 IN THE DAMO-ODO-MIN-BOX.</b></p>		
<b>REFERENCES: START TREATY, NOTIFICATION PROTOCOL, SECTION V, PARAGRAPH 1, SUBPARAGRAPH C.</b>		<b>(CLASSIFICATION)</b> UNCLASSIFIED

(CLASSIFICATION) UNCLASSIFIED	REVISION: 1 MAY 2000	pg. 1 of 1 pg.
<b>START VOICE FORMAT 17: REQUEST FOR DISPLAY IN THE OPEN OF HEAVY BOMBERS</b>		
AOC INSTRUCTIONS: WHEN NOTIFIED BY THE DTRA OPERATIONS CENTER THAT A REQUEST FOR A DISPLAY IN THE OPEN OF HEAVY BOMBERS HAS BEEN MADE, COPY THE FOLLOWING INFORMATION. <b>A CONFERENCE CALL IS NOT REQUIRED.</b>		
"THIS IS THE DEFENSE THREAT REDUCTION AGENCY, ON-SITE INSPECTION ACTIVITIES, WITH A START TREATY VOICE FORMAT #17: REQUEST FOR DISPLAY IN THE OPEN OF HEAVY BOMBERS."		
(PAUSE)	(REPEAT ONCE)	
LINE 1:	REQUEST NUMBER: _____ (I.E., 1999-1, 1999-2, NO MORE THAN 7 REQUESTS PER YEAR)	
LINE 2:	BASE NAME: _____ _____	
LINE 3	REMARKS: (REQUEST TIME OF RUSSIAN REQUEST IF NOT GIVEN, THIS CAN BE FOUND ON LINE #2 OF NRRC MESSAGE #72): _____ _____ _____	
LINE 4:	TIME OF REPORT: _____ Z (DATE/TIME GROUP)	
STAND BY FOR POLL, RESPOND WITH YOUR INITIALS, MY INITIALS ARE: _____		
ARMY _____ NAVY _____ AIR FORCE _____ ACC _____ TRANSCOM _____ FBI _____ PEOC _____ DOE _____ NMCC _____		
NOTE: PLACE THE COMPLETED START VOICE FORMAT #17 IN THE DAMO-ODO-M IN-BOX.		
REFERENCES: START TREATY, NOTIFICATION PROTOCOL, SECTION V, PARAGRAPH 1, SUBPARAGRAPH C		(CLASSIFICATION) UNCLASSIFIED

(CLASSIFICATION) UNCLASSIFIED	REVISION: 1 MAY 2000	pg. 1 of 1 pg.
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START VOICE FORMAT 18: REQUEST FOR DISPLAY IN THE OPEN OF SPECIAL PURPOSE SUBMARINE

AOC INSTRUCTIONS: WHEN NOTIFIED BY THE DTRA OPERATIONS CENTER THAT A REQUEST FOR A DISPLAY IN THE OPEN OF A SPECIAL PURPOSE SUBMARINE HAS BEEN MADE, COPY THE FOLLOWING INFORMATION. A **CONFERENCE CALL IS NOT REQUIRED.**

"THIS IS THE DEFENSE THREAT REDUCTION AGENCY, ON-SITE INSPECTION ACTIVITIES, WITH A START TREATY VOICE FORMAT #18: REQUEST FOR DISPLAY IN THE OPEN OF SPECIAL PURPOSE SUBMARINE."

(PAUSE)                      (REPEAT ONCE)

LINE 1:                      REQUEST NUMBER: \_\_\_\_\_  
(I.E. 1999-1, 1999-2, 2000-1 NO MORE THAN 2 PER SUB/YEAR)

LINE 2:                      PORT WHERE DISPLAY TAKES PLACE: \_\_\_\_\_  
(NO SITE COORDINATES)

LINE 3:                      REMARKS: \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

LINE 4:                      TIME OF REPORT: \_\_\_\_\_ Z  
(DATE/TIME GROUP)

---

STAND BY FOR POLL, RESPOND WITH YOUR INITIALS, MY INITIALS ARE: \_\_\_\_\_

ARMY \_\_\_\_\_ AIR FORCE \_\_\_\_\_ NAVY \_\_\_\_\_ ACC \_\_\_\_\_ TRANSCOM \_\_\_\_\_ FBI \_\_\_\_\_  
 PEOC \_\_\_\_\_ DOE \_\_\_\_\_ NMCC \_\_\_\_\_

DTRA HEARS ALL CONFEREES, DTRA OUT. (OR)  
 STANDBY FOR RETRANSMISSION, ALL OTHERS ARE RELEASED.

**NOTE: PLACE THE COMPLETED START VOICE FORMAT #18 IN THE DAMO-ODO-M IN-BOX.**

REFERENCES: START TREATY, NOTIFICATION PROTOCOL, SECTION V, PARAGRAPH 5	(CLASSIFICATION) UNCLASSIFIED
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(CLASSIFICATION) UNCLASSIFIED	REVISION: 1 MAY 2000	pg. 1 of 1 pg.
<b>START VOICE FORMAT 19: NOTIFICATION OF A JCIC SPECIAL SESSION/VISIT WITH SPECIAL RIGHT OF ACCESS REQUEST</b>		
<p>AOC INSTRUCTIONS: WHEN NOTIFIED BY THE DTRA OPERATIONS OF A NOTIFICATION OF A JCIC SPECIAL SESSION/VISIT WITH SPECIAL RIGHT OF ACCESS REQUEST, COPY THE FOLLOWING INFORMATION.</p> <p><b>A CONFERENCE CALL IS NOT REQUIRED.</b></p> <p>"THIS IS THE DEFENSE THREAT REDUCTION AGENCY, ON-SITE INSPECTION ACTIVITIES, WITH A START TREATY VOICE FORMAT #19: NOTIFICATION OF A JCIC SPECIAL SESSION/VISIT WITH SPECIAL RIGHT OF ACCESS REQUEST."</p> <p>(PAUSE) (REPEAT ONCE)</p> <p>LINE 1: INSPECTION ID: _____</p> <p>LINE 2: PROPOSED DATE: _____</p> <p>LINE 3: PROPOSED SITE FOR CONVENING OF SPECIAL SESSION: _____</p> <p>LINE 4: NATURE OF CONCERN: _____</p> <p>LINE 5: LOCATION OF CONCERN: _____</p> <p>LINE 6: NAME OF HEAD REPRESENTATIVE: _____</p> <p>LINE 7: PROPOSED METHOD TO RESOLVE CONCERN (OPTIONAL): _____</p> <p>LINE 8: REMARKS: _____</p> <p>LINE 9: OUSD (A) / S&amp;SS(SAC&amp;C) REMARKS: _____</p> <p>LINE 10: TIME OF REPORT: _____ Z</p> <p>STAND BY FOR POLL, RESPOND WITH YOUR INITIALS, MY INITIALS ARE: _____</p> <p>ARMY _____ AIR FORCE _____ NAVY _____ ACC _____ TRANSCOM _____ FBI _____</p> <p>PEOC _____ DOE _____ NMCC _____</p> <p>DTRA HEARS ALL CONFEREES, DTRA OUT. (OR) STANDBY FOR RETRANSMISSION, ALL OTHERS ARE RELEASED.</p>		
<p><b>NOTE: THIS NOTIFICATION REQUIRES ADDITIONAL HANDLING. SEE PAGE 8, PARAGRAPH 4v, START VOICE FORMAT #19.</b></p> <p><b>CONTACT DAMO-ODO-M POC (SEE POC LIST, PAGE 9) TO DETERMINE THE NEED FOR FURTHER NOTIFICATION OR A CONFERENCE CALL.</b></p> <p><b>IF A CONFERENCE CALL IS REQUIRED, PASS THE FOLLOWING INFORMATION:</b></p> <p>"THIS IS THE ARMY OPERATIONS CENTER WITH A START VOICE FORMAT #19: NOTIFICATION OF A JCIC SPECIAL SESSION / VISIT WITH SPECIAL RIGHT OF ACCESS REQUEST."</p> <p>"STANDBY FOR POLL, RESPOND WITH YOUR INITIALS, MY INITIALS ARE _____." (SEE POC LIST ON PAGE 9)</p> <p>SMDC _____ TMO _____ AMC _____ NATIONAL GUARD BUREAU _____ 902D MI _____</p> <p>MACOM (OF THE SITE IDENTIFIED IN LINE 5) _____ SITE (IDENTIFIED IN LINE 5) _____</p> <p>"AOC HEARS ALL CONFEREES, THIS CONCLUDES THE CONFERENCE." <b>OR</b> "_____ STANDBY FOR RETRANSMISSION, ALL OTHER CONFEREES ARE RELEASED."</p> <p><b>NOTE: PLACE THE COMPLETED START VOICE FORMAT #19 IN THE DAMO-ODO-M IN-BOX.</b></p>		
<b>REFERENCES: NONE</b>		(CLASSIFICATION) UNCLASSIFIED

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## **ANNEX E**

### **INSPECTIONS AND SPECIAL RIGHT OF ACCESS VISITS**

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#### **1. Purpose**

This Annex provides planning guidance for inspections and SAVs that may be conducted at Army facilities under the provisions of the START Treaty. Specific guidance for the conduct of START inspections are detailed in the Treaty. However, the exact scope of, and procedures for, a SAV are unknown until such time as they are agreed upon by the Joint Compliance and Inspection Commission (JCIC). Consequently, this Annex provides a review of procedures that should be considered when planning for a SAV.

#### **2. Applicability**

This Annex applies to all Army staffs, agencies, and commands exercising responsibility for START Treaty implementation and compliance. As of 1 January 1997, only two Army locations (Camp Navajo, AZ and Army Materiel Command – Afloat (AMC-Afloat) at Charleston, SC) are subject to START inspections. The Army should incur no additional inspection obligations or vulnerabilities as a result of START II. However, all Army facilities, including GOCOs located on U.S. national territory, are subject to SAVs. In the context of the START Treaty negotiating record, U.S. national territory includes Guam, Puerto Rico, American Samoa, and the U.S. Virgin Islands, as well as the 50 states and the District of Columbia. In addition, IAW paragraph (c) of the Eighth Agreed Statement of the START Treaty, the negotiation record obligates the Parties to discuss any ambiguity and, if necessary, to resolve concerns about START IOIs located outside national territory. The Parties “do not rule out the possibility” that inspections or visits could be used in the resolution of compliance debates involving third countries. Although a remote possibility, this might involve START OSI activity at Army facilities outside the continental United States.

#### **3. Inspections, Exhibitions, and Continuous Monitoring**

- a. Information on START inspections is summarized from the Treaty in Table E-1. A timeline illustrating the general conduct of START inspection activity is shown in Figure E-5.
- b. The START and START II Treaties provide for an array of inspections, exhibitions, and continuous monitoring. Types and purposes of START Treaty inspections are detailed in Article XI; inspection requirements are contained in the Protocol on Inspections and Continuous Monitoring (and its Annexes). START II inspection requirements are contained in the Protocol on Exhibitions and Inspections. START II does not place any additional inspection or visit requirements on the Army beyond those already in place under START. START provides for the following kinds of inspections:



**Table E-1**  
**Types of START On-Site Inspections**

Type	Purpose	Timelines*	#/Year
<b>Baseline</b>	Confirm accuracy of initial data of accountable items	EIF + 45 to EIF + 165 days	N/A
<b>Data Update</b>	Ensures that provided data and updates remain accurate	EIF + 165 through life of treaty	15/year Note 6
<b>New Facility</b>	Confirms accuracy of new facility data	No later than 60 days after notification of new facility	Note 2
<b>Suspect Site</b>	Ensures that no covert ICBM assembly is occurring at agreed facilities	EIF + 165 and thereafter	Notes 3, 6
<b>Reentry Vehicle</b>	Confirms that ICBM/SLBM RVs do not exceed MIRV limits	After post-exercise notification and no earlier than EIF + 165	10/year Note 6
<b>Post-Exercise Dispersal</b>	Confirms that ICBMs at designated bases do not exceed base allowance	After post-exercise notification and no earlier than EIF + 165	Note 4
<b>Conversion &amp; Elimination (C&amp;E)</b>	Confirms that conversions and elimination occur IAW agreed procedures	EIF + 45 and thereafter	As declared
<b>Close-out</b>	Confirms that facilities have been eliminated IAW agreed procedures	Within 60 days after closure notification	Note 5
<b>Formerly Declared Facility</b>	Confirms that facilities declared as eliminated do not violate treaty	EIF + 165 and thereafter	3/year Note 6

**Notes to Table E-1**

**Note 1:** START EIF 5 December 1994.

**Note 2:** No other type of inspection can be conducted until a new facility inspection has been completed or 60 days have expired, whichever occurs first.

**Note 3:** No more than one site at a time. No more than two at the same facility per year. Each inspection reduces the yearly-allowed data update inspections by one. Inspections can occur only at facilities subject to Suspect-Site Inspection (SSI) listed in paragraph 12 of Annex I of the START MOU and at facilities that meet criteria listed in Sect. VIII., I. (a) and (b) of the Inspection Protocol.

**Note 4:** Cannot be conducted concurrent with any other inspection at the same facility. Cannot be conducted during the baseline inspection period.

**Note 5:** No more than one closeout at each facility. No more than two closeouts simultaneously. No other inspection allowed within a 60-day period until closeout completed or until 60 days have expired, whichever comes first.

**Note 6:** No more than two inspections per year are allowed at the same facility for data update, suspect site, RV, and formerly declared facility inspections.

- (1) **Baseline Data Inspections.** Baseline data inspections are employed to confirm the accuracy of the initial Treaty data. These began 45 days after and concluded 165 days after EIF.
  - (2) **Data Update Inspections.** Data update inspections occur at declared facilities (roughly 75 facilities for the former Soviet Union and 45 for the United States) to verify that only declared strategic offensive arms are located at such facilities. The United States has the right to conduct 15 such inspections a year, no more than two of which may be at any one facility. The former Soviet republics share the 15 inspections which the START Treaty provides for the FSU. Thus, the United States is the only Party with a quota of 15 inspections.
  - (3) **New Facility Inspections.** New facility inspections (similar to baseline inspections) take place whenever new facilities are added to the list of declared facilities.
  - (4) **Suspect-Site Inspections.** Suspect-site inspections of ICBM and SLBM production facilities identified in the START Treaty MOU confirm that covert assembly of mobile ICBMs is not occurring. There is no separate quota on such inspections; they are included within the annual quota of data update inspections.
  - (5) **Re-Entry Vehicle On-Site Inspections.** Re-entry Vehicle On-site Inspections (RVOSI) of deployed ICBMs and SLBMs RVs confirm that they contain no more than their allowed number of re-entry vehicles. Ten inspections per year are allowed.
  - (6) **Post-Exercise Dispersal Inspections.** Post-exercise dispersal inspections verify that the proper number of mobile ICBMs have returned to base following exercise dispersals.
  - (7) **Conversion/Elimination Inspections.** Conversion or elimination inspections confirm that the conversion or elimination has been completed.
  - (8) **Close-Out Inspections.** Closeout inspections confirm that the elimination of facilities has been completed.
  - (9) **Formerly Declared Facility Inspections.** Formerly declared facility inspections, subject to a quota of three per year, confirm that elimination facilities are not being used for purposes inconsistent with the Treaty.
- c. The START Treaty permits exhibitions. Exhibitions are conducted for the purpose of ensuring verification of compliance with the provisions of the Treaty. Types of exhibitions include:

- (1) **Technical Characteristics Exhibitions.** Technical characteristics exhibitions, where each ICBM, SLBM, or mobile launcher is displayed to allow the parties to confirm the technical characteristics of these items, take place. An initial exhibition will cover all existing systems. Additional exhibitions will be conducted as new systems are produced.
  - (2) **Distinguishability Exhibitions.** Distinguishability exhibitions for heavy bombers, former heavy bombers, and long-range Nuclear Air-Launched Cruise Missiles (NALCMs) confirm technical characteristics and demonstrate the maximum number of long-range NALCMs for which heavy bombers are actually equipped.
  - (3) **Baseline Exhibitions.** Baseline exhibitions demonstrate that all heavy bombers equipped for non-nuclear armaments, all training heavy bombers, and all former heavy bombers meet the requirements of the Treaty.
- d. The START Treaty has a provision for continuous monitoring to ensure long-term compliance. While continuous monitoring does not pertain to Army facilities, this section is included for information. Continuous monitoring activities are used at ICBM production facilities. These include the production facilities for the SS-24, SS-25, and the Peacekeeper ICBMs. Additional facilities will be added, as required. Facilities may be removed from monitoring one year after production ceases.
  - e. The START II Treaty provides for additional exhibitions and inspections. The START Treaty Exhibition and Inspection Protocol sets forth detailed procedures for the conduct of exhibitions of heavy bombers, as well as for the conduct of inspections conducted incidental to those exhibitions. Procedures for these exhibitions stem from the rules governing exhibitions in the START Treaty, except where specifically modified by the START II Protocol. The Protocol also provides rules for the inspection of heavy bombers during exhibitions and additional procedures for conducting update and new facility inspections pursuant to the START Treaty for use 180 days after EIF of the START II Treaty. These provisions do not apply to Army locations.

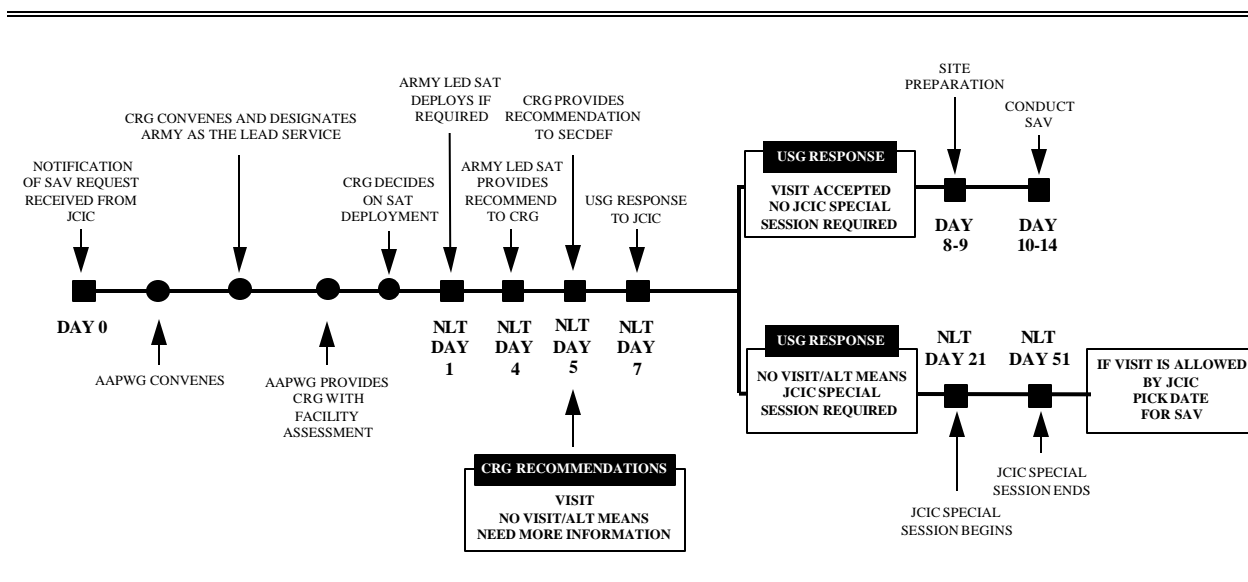
#### **4. Requests for Visit with Special Right of Access**

- a. Any Party to the Treaty may request that the JCIC be convened to address an “urgent concern” related to the compliance of other Parties. As a result of such a JCIC meeting, a SAV may be permitted to resolve the compliance issue. SAVs are not limited to facilities listed by type or specific location in the Treaty. Therefore, any Army facility located on U.S. “National Territory” may be vulnerable to a SAV. The START Treaty does not provide details on the execution of SAVs. The JCIC will determine the scope of the visit and the details

on how it will be executed on a case-by-case basis. The Army should plan to support one SAV per year.

- b. A request for convening a special session of the JCIC will include a statement of the nature of the concern, including the kind and, if applicable, the type of strategic offensive arms related to the concern and the proposed date and location for the convening of the special session. The request may also include a proposal for a specific method of resolving the concern. Specific methods may include, but are not limited to, a visit to the site where, in the opinion of the requesting Party, the activity causing the concern is taking place.
- c. Not later than seven days after receiving such a request, the USG must submit a response. The response will include either acceptance of a special session on the requested date and location, or a proposal for an alternate date and location NLT ten days after the requested date. The USG response may also include acceptance of the proposed method (to include a SAV) to resolve the concern, or a USG proposal for an alternative method (which may be a SAV) for resolving the concern. In the case of a SAV, the USG response will include a proposed date, location, and procedures for conducting the visit. If the Parties agree to a SAV or another method of resolving the concern, the Parties may agree not to convene a special session. The USG has seven days, after receipt of the request, to request additional information. Once convened, the JCIC shall remain in session no more than 30 days.

**Figure E-1**  
**Notional SAV Timelines**



## 5. Department of Defense Actions Upon Notification of a Request for a Joint Compliance and Inspection Commission Special Session to Consider a Visit with Special Right of Access

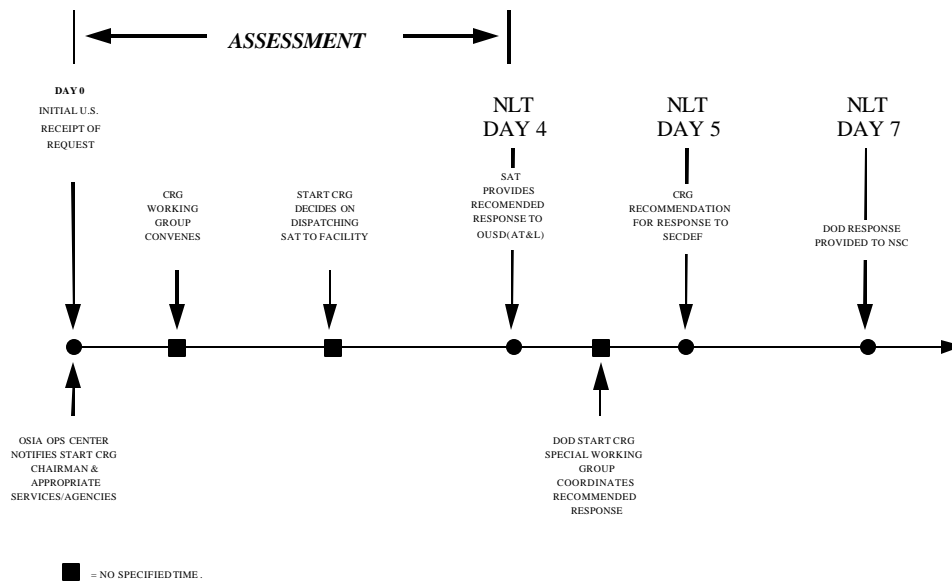
- a. The DTRA Operations Center, upon notification from the Nuclear Risk Reduction Center (NRRC) of a JCIC special session/SAV request (voice format #19), informs OUSD(AT&L), the Chairman of the START CRG. The Chairman, in turn, convenes a special working group consisting of representatives from the following offices: Assistant Secretary of Defense for International Security Policy (OASD(ISP)), General Counsel, JS, OUSD(P) for Security Policy (SP), the Office of the Assistant Secretary of Defense for Command, Control, Communications and Intelligence (OASD(C3I)), Deputy Assistant Secretary of Defense (DASD) for Counterintelligence and Security Countermeasures (CI&SCM) and, as appropriate, the Services, DTRA, and other DoD agencies. The working group coordinates the DoD recommendation for the SECDEF response to the NSC. The working group will convene as soon as possible (4-6 hours) following receipt of the notification of the special session request. The rapid development of a profile of the facility in question will be an early priority. The primary source for the information necessary for the development of this profile will be the Services, OSD, and DTIRP. The Chairman of the START CRG may convene a SAT made up of representatives from OUSD(AT&L); OUSD(P); OASD(C3I); the Services; DTRA; and other agencies, as required, to assist in developing a recommendation for the resolution of the SAV request.

The recommendation will include proposed methods of resolving the compliance concern; alternative resolution means; clarifications to enhance the ability of the USG to resolve the concern; any U.S. security concerns; and, if appropriate, the

feasibility of and ground rules associated with a visit to resolve the concern. The START CRG may dispatch the SAT to the facility of concern to assist in local assessment efforts. The Service or Agency responsible for the involved facility will lead the SAT. If the request involves a DoD-contractor facility, OUSD(AT&L) may direct the team or request that a Service or Agency lead the team. DTRA is responsible for providing logistical support and coordination of the team's movements to and from the facility, as required.

**Figure E-2**  
**SAV Process**

DOD RESPONSE TIMELINE (DOES NOT INCLUDE ACTIONS WHICH MAY REQUIRE PREPLANNING)



- b. If the USG accepts a request for a SAV, the START CRG working group forms a SPT made up of representatives from OUSD(AT&L); OUSD(P); OASD(C3I); the Services; DTRA; and other agencies, as required, to assist the lead agency in implementing the USG response to the request. The personnel assigned to the SPT may be the same personnel assigned to the SAT. The Service or Agency responsible for the involved facility will lead the SPT. OUSD(AT&L) takes the lead for DoD-contractor facilities. DTRA is responsible for providing logistical support and coordinating the team's movements to and from the facility, as required. An additional resource available for site preparation is DTIRP. The purpose of DTIRP is to assist the government and contractor community in developing security countermeasures to limit the loss of information related to the technical aspects of Treaty limited items and non-Treaty related sensitive, classified, and proprietary equities. The 902nd MI Group has been tasked by the U.S. Army Intelligence and Security Command (INSCOM) to perform the same function as DTIRP. During the conduct of a SAV, DTRA performs similar

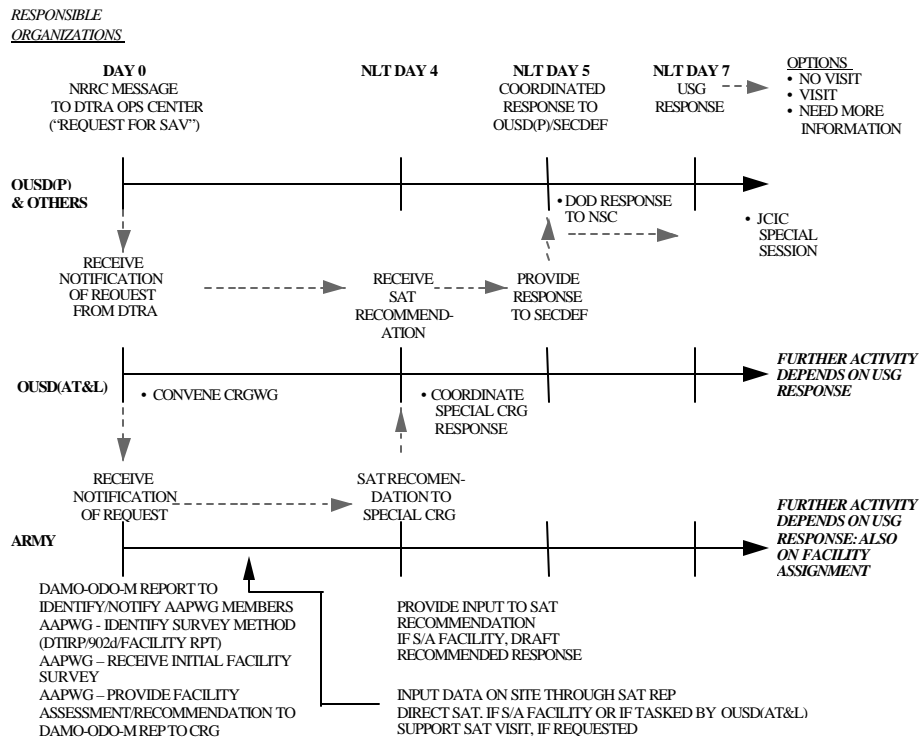
functions to those it performs during an inspection at a START-declared facility. This includes the conduct of readiness training exercises prior to the visit (in coordination with the responsible Service or Agency) and escort duties during the visit itself.

## **6. Army Actions Upon Notification of a Request for a Joint Compliance and Inspection Commission Special Session to Consider a Visit with Special Right of Access**

- a. Immediately upon notification by the DTRA Operations Center of a request for a JCIC special session/SAV (voice format #19), the AOC will notify DCSOPS and DAMO-ODO-M. If the affected facility does not belong to the Army and has no Army assets or equities relevant to the request, DAMO-ODO-M, assisted by the Army START and START II IA, will represent the Army in the START CRG working group and provide assistance, as required.
- b. If the affected facility belongs to another Service or Agency, but has co-located Army assets or equities relevant to the request, DAMO-ODO-M, assisted by the Army START and START II IA will represent the Army and its equities in the START CRG. The AOC will immediately inform all Army MACOMs of the SAV request and will attempt to execute a back-up notification to the affected facility. DAMO-ODO-M, assisted by the Army START and START II IA, forms and assumes leadership of the Army Assessment and Preparation Working Group (AAPWG).
- c. If the focus of the SAV request is an Army facility, DAMO-ODO-M, assisted by the Army START and START II IA, will represent the Army in the START CRG working group. Additionally, DAMO-ODO-M, assisted by the Army START and START II IA, forms and assumes leadership of the AAPWG. The immediate requirement of the AAPWG is the development of an assessment and recommendation to be provided to the START CRG working group, incorporating the facility commander's assessment of the situation. The AAPWG assessment and recommendation to the START CRG working group will include issues such as the security of special access programs, the degree to which the visit will disrupt normal operations, an estimate of the time needed to prepare for the visit, a recommendation for resolving the compliance concern, and any request for outside assistance.
- d. The AAPWG will consist of representatives from DAJA-IO; DAMI-POC (which may be represented by the 902nd MI Group; DAMO-ODL; DAMO-SSD; ASA(I&E); the Army START and START II IA (HQ SMDC); HQ, INSCOM and/or the 902nd MI Group; the affected MACOM and facility, as required; and the Chief, Army Public Affairs in an advisory role. Members unable to be present at meetings of the AAPWG will participate by teleconferencing or telephone. The AAPWG will be available to provide support to the START CRG working group, as required.

- e. If the START CRG working group decides to deploy a SAT to the affected facility, it will be comprised of representatives from OUSD(AT&L), OUSD(P) for Security Policy, OASD(C3I), the affected Services, DTRA, and other appropriate agencies. In the case of an Army facility, DAMO-ODO-M will lead the team, supported by the Army START and START II IA, and the team will include selected members of the AAPWG. DTRA will provide logistical support, temporary duty (TDY) orders, and transportation for the SAT to the facility within 24 hours of NRRC notification. The task of SAT is to assist the START CRG working group in developing the DoD recommendation for the Secretary of Defense (SECDEF), who will provide it to the National Security Council (NSC) for JCIC guidance. The SAT report will include a local assessment of proposed methods for resolving the compliance concern; alternative resolution means; clarifications to enhance the ability of the USG to resolve the concern; USG security concerns; and, if appropriate, the feasibility of and ground rules associated with a visit to resolve the concern.

**Figure E-3**  
**Notional SAV Process Assessment Phase**



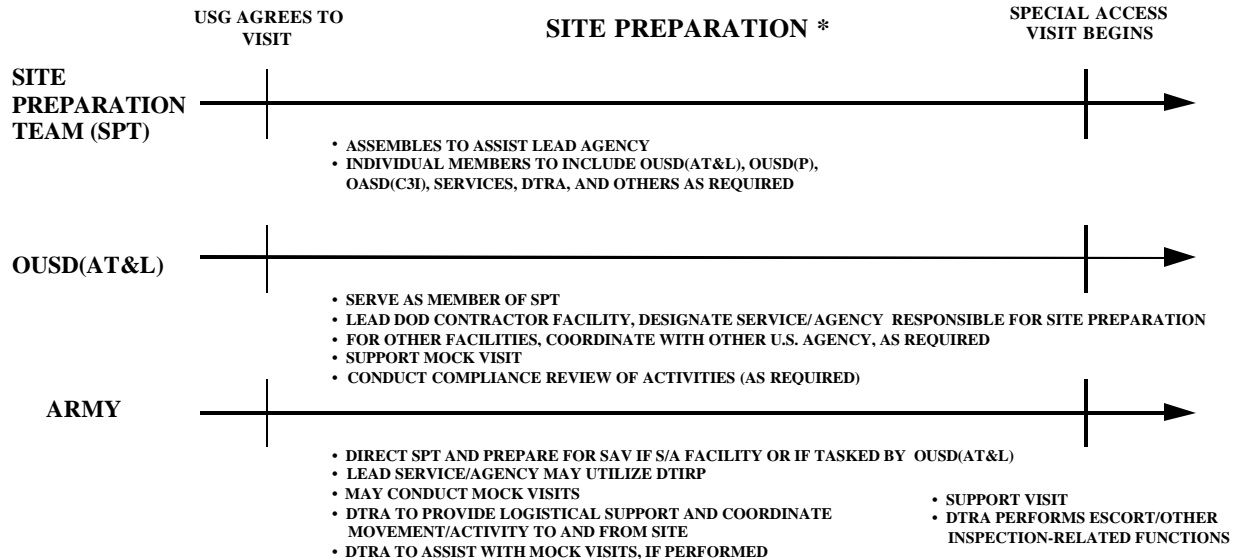


## **7. Army Actions When the United States Government Agrees to a SAV**

- a. **If the USG decides to accept the SAV request**, the NSC will provide guidance to the USG representative to the JCIC for negotiating the time and procedures for the SAV. DAMO-ODO-M will notify the installation/facility and the responsible MACOM of the USG decision to allow the SAV. The installation or facility commander at the affected site may implement procedures IAW Annex F (Operations Security Plan) of this Army START and START II I&C Plan to update local OPSEC and facility protection plans in preparation to receive inspectors. The installation or facility commander may expect assistance from the 902nd MI Group and/or DTIRP, and the START CRG working group may form a SPT with representation from OUSD(AT&L), OUSD(P) for SP, OASD(C3I), the affected Services, DTRA, and other agencies, as required. In the case of Army facilities, the SPT will be led by DAMO-ODO-M, assisted by the Army START and START II IA, and will include selected members of the AAPWG. The personnel assigned to the SPT may be the same personnel assigned to the SAT. The SPT will support the facility in preparation for receiving the SAV. The SPT may form and deploy before, during, or after the special session of the JCIC. The facility/installation commander will provide logistical support to the SPT as required.
- b. After JCIC agreement is reached on the time and procedures for conducting a SAV, the Nuclear Risk Reduction Center (NRRRC) will provide notification to the DTRA Operations Center, which will then forward the notification to the AOC. The AOC will notify DAMO-ODO-M and forward the notification to the affected MACOM (voice format 15) and execute a backup notification of the affected facility, when possible. The notification will include the date(s) of the visit and the scope and final agreed procedures for addressing the compliance concern. The notification will include information concerning the names of the inspectors and DTRA escorts, special equipment requirements, and other requirements as deemed necessary.
- c. During any readiness training exercises and the actual SAV, DTRA will provide voice message formats that detail activities, timelines, and notifications of the inspection and escort team. Voice message formats are sent to the DTRA Operation Center for further transmission to designated recipients, including the AOC. The AOC will retransmit the voice formats to designated recipients.

**Figure E-4**  
**Notional SAV Process Preparation Phase**

***RESPONSIBLE  
ORGANIZATIONS***



*\* PERIOD FOR SITE PREPARATION CAN RANGE FROM DAYS TO WEEKS*

## 8. SAV Compliance Plans

To ensure proper preparations are made for the contingency of a START SAV, Army MACOMs will ensure that all subordinate commands, agencies, activities, and facilities incorporate provisions for START SAVs into existing OPSEC and Force Protection Plans. The Army START and START II IA will provide a standard Army START SAV Operations Plan for use by MACOMs. The plan will contain a template to be used in incorporating START provisions into existing OPSEC and Force Protection Plans.

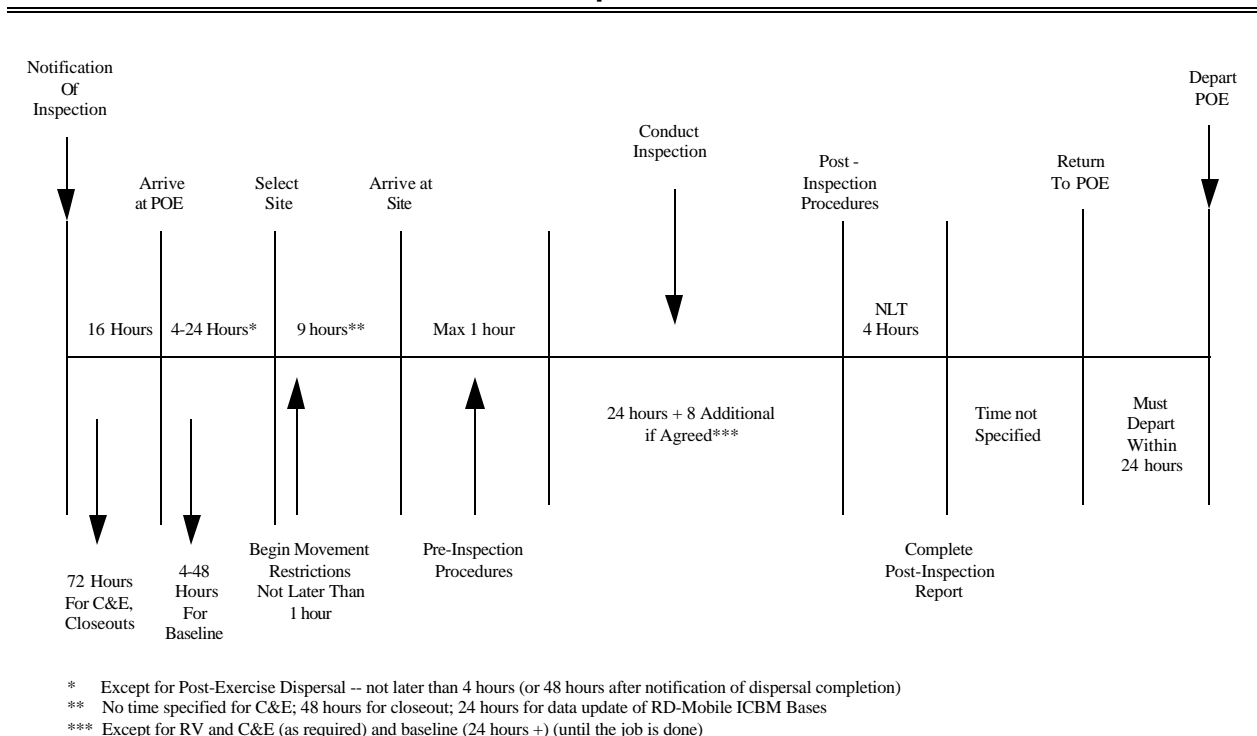
## 9. SAV Procedures

SAV procedures could closely approximate inspection procedures contained in the START Treaty Inspection Protocol and its annexes. General procedures relating to a START-declared facility inspection are provided below. Figure E-5 shows a general inspection timeline. **It is important to note that should the JCIC agree to a SAV, an actual inspection is not automatic. The JCIC may choose less intrusive options, such as a walk-through or on-site briefing. If the JCIC agrees to an actual inspection, the inspection procedures and size criteria for objects, rooms, and buildings to be inspected could follow existing Treaty criteria and procedures, or could follow new procedures as determined by the JCIC.**

a. Facility Inspection Criteria

- (1) For the purposes of the Treaty Inspection Protocol, access to or inspection may be permitted for each structure, container, launch canister, covered or environmentally protected object, vehicle, or object large enough to contain or large enough to be an item of inspection, *i.e.* each of the measured linear dimensions are equal to or greater than the reference cylinder.

**Figure E-5**  
**General Inspection Timeline**



- (2) The inspection size criterion for the reference cylinders as detailed in Annex 12 of the START Treaty are as follows: for the Peacekeeper ICBMs, 7.4 meters in length and 2.3 meters in diameter; for the Trident I/Poseidon SLBMs, 4.1 meters in length and 1.88 meters in diameter; for the Minuteman II/III ICBMs, 6.3 meters in length and 1.68 meters in diameter.
- (3) For the conduct of an inspection, facility commanders should expect that the inspection team may gain access to any structure (using Minuteman II/III ICBM criterion as the reference cylinder) 6.1 meters in length or width and having an opening or door 1.63 meters in width. These dimensions

represent 97 percent of those of the IOI reference cylinder detailed in Annex 12 of the START Treaty.

- (4) Commanders of facilities notified of a SAV may be required to implement Pre-Inspection Movement Restrictions (PIMRs) at the inspection site, which could remain in effect until the inspection team completes its pre-inspection procedures. Should that occur, vehicles, containers, and launch canisters large enough to contain an item of inspection of the inspected party and covered objects large enough to contain or to be such items may not be allowed to exit the inspection site during the period of time that PIMRs are in effect, or until the items are inspected.
- b. POE Arrival and Departure Activities. DTRA is responsible for meeting and assisting the inspection team at the POE (either San Francisco or Washington, DC). DTRA will accompany the foreign inspection team (FIT) throughout the in-country period. DTRA will provide or coordinate for with the affected facility meals, lodging, and transportation to and from the inspection site.
- c. Pre-Inspection Procedures. The inspected Party will conduct a site-specific safety briefing upon arrival of the FIT. The briefing will also cover information relevant to the conduct of the inspection. The briefing will be no longer than one hour, with the inspection starting immediately after the briefing. Before completion of PIMRs, the inspection team may designate one subgroup to inspect vehicles captured under pre-inspection restrictions that are prepared to leave in such a way as not to delay or hamper facility operations. Upon completion of the vehicle inspection, or if the FIT waives its rights to carry out such an inspection, the vehicles are free to depart.
- d. Conduct of Inspection:
  - (1) The commander of the inspected facility shall provide protective gear (as necessary), adequate lighting to conduct inspection procedures, a work space, transportation, a site representative for the escort party, telephonic communication for use by inspectors and their embassy, and a means of communication for use among subgroups of the inspecting team.
  - (2) Inspectors shall not interfere with on-going activities, nor hamper, delay, or take actions that affect the safe operation of a facility. Inspectors shall communicate with inspected personnel only through DTRA escorts. Inspectors will observe safety regulations established at the site, as well as regulations for the protection of equipment and maintenance of the controlled environment within a facility.
  - (3) During the inspection, the FIT has the right to patrol the perimeter of the inspection site and to be present at the exits of the site. Before inspection of a structure within the inspection site, inspectors may be present at exits

and entrances large enough to permit passage of an item of inspection. No object, container, or vehicle shall leave the structure until inspected, or until the inspector declares no intent to inspect.

- (4) Media representatives will not interfere with conduct of the inspection. Media will not accompany inspectors during inspections.
- e. Post-Inspection. Post-inspection begins when the inspection period expires. The inspectors complete the inspection report in this period. The inspection team may have four hours, after arrival of inspection team at pre-designated locations, or no more than three hours after arrival of all subgroups, whichever is later, to complete the report.
- f. Site Support Requirements. A SAV inspection team will likely consist of no more than ten inspectors. Inspection-related costs may be reimbursed IAW agreements reached between the Army and DTRA.
  - (1) Meals. Meals should be provided in a dining facility or at a location agreed by the inspection team leader and DTRA escort.
  - (2) Lodging. Sufficient to permit inspectors to sleep (need not be separate from work space provided at inspection site).
  - (3) Transportation. Enough vehicles to transport inspection team and up to five vehicles to transport subgroups, as designated by the inspection team leader.

**ANNEX E, APPENDIX 1**  
**SAV CONTRACTUAL PROCEDURES FOR DEPARTMENT OF DEFENSE**  
**CONTRACTOR FACILITIES**

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**1. Purpose**

This annex provides the Army with guidance for implementation and compliance of contractual obligations initiated by a START SAV or a mock SAV at a Government-Owned, Contractor-Operated (GOCO) facility.

**2. Applicability**

This process applies to all Army staffs, agencies, and commands that are responsible for START and START II Implementation and Compliance.

**3. General**

- a. A START SAV or a mock SAV may disrupt a GOCO facility's activities, for example, the production line, storage units or quality control laboratories.
- b. The suspension of production and laboratory activities may entitle the facility's employees to payment in kind or differential pay. Similarly, employees that are directly involved with the inspection may be entitled to overtime and shift differential payments.
- c. During an inspection, it may be necessary to conceal items considered sensitive. The cost of concealment includes, for example, the shrouding material and the associated labor incurred by the facility during the course of the inspection to conceal sensitive items.
- d. These and other extraordinary expenses which occur as a result of a SAV will be identified and submitted by the GOCO in accordance with standard contractual provisions. The relevant government contractor office will then forward submitted expense lists to the DTRA or START IA, HQ, SMDC, as applicable, for subsequent reimbursement action.
- e. Forward any START SAV Contractual Procedure questions or issues to the specific GOCO facility's contracting office.

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## **ANNEX F**

### **OPERATIONS SECURITY**

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#### **1. Purpose**

The purpose of this Annex is to provide guidance on the application of the OPSEC process and to describe requirements unique to the START and START II Treaties. OPSEC planning and implementation by MACOMs should begin upon receipt of this plan, IAW procedures detailed in AR 530-1. In conjunction with their staff OPSEC officers, MACOM Commanders should activate relevant aspects of their existing OPSEC procedures, and apply the guidance contained below to enhance their existing OPSEC posture. Appropriate personnel should receive a START and START II OPSEC briefing to ensure that requirements and the possible impact on organizational OPSEC are understood. This guide is intended to assist and facilitate execution of the OPSEC process.

#### **2. Background**

OPSEC provides a process by which Army commands can implement measures to eliminate or protect indicators of classified or sensitive unclassified capabilities, intentions, operations and other activities. All government organizations and their contractors should already have OPSEC programs in place to facilitate the OPSEC process. Within the Army, the responsibility for implementation of OPSEC measures rests with the command of each MACOM, installation, or facility. The START and START II Treaties represent a potential OPSEC threat to the U.S. Data obtained during START OSI activity can assist an adversary in determining probable capabilities and readiness of forces. This data may also be used, in conjunction with data acquired by other collection means, to arrive at a far greater level of accuracy and insight into Army force capabilities, vulnerabilities, and intentions.

#### **3. Actions and Responsibilities**

##### **a. MACOM Commanders:**

- (1) Ensure that OPSEC is considered as part of all START and START II Treaty operations and activities planning.
- (2) Ensure that all command personnel are cognizant of the threat to Army operational readiness, capabilities, and activities posed by disclosure of classified and sensitive unclassified information.

##### **b. MACOM OPSEC Officer**

- (1) Upon receipt of this Annex, ensure that OPSEC plans, IAW AR 530-1, address the information available under the START and START II Treaties.



- (2) Act under the commander's authority to plan, coordinate, and implement the commander's OPSEC guidance in the implementation of the START and START II Treaties.
- (3) Ensure that OPSEC considerations are included as part of all projected operations and activities. Early in the planning process, focus emphasis upon early identification and protection of information NOT required under START OSI activity.
- (4) Develop guidelines for determining, maintaining, and distributing to appropriate personnel the identified critical information and indicators that could be exploited by supplementing data and information being provided under the provisions of the START and START II Treaties.
- (5) Assist staff planners in identifying OPSEC indicators and vulnerabilities and in developing necessary OPSEC measures that will preclude release of unclassified but sensitive information.
- (6) Coordinate with supporting intelligence and security agencies to obtain threat information and assessments that support OPSEC process IAW AR 530-1.
- (7) Recommend OPSEC measures to assist in the commander's risk analysis process and to protect identified OPSEC vulnerabilities.
- (8) Identify requirements for OPSEC assessments and surveys IAW AR 530-1.
- (9) Prepare, maintain, and provide OPSEC awareness and educational materials for presentation to command personnel.

#### **4. Coordinating Instructions**

a. MACOM OPSEC officers will:

- (1) Coordinate with their Public Affairs Office (PAO) to ensure an OPSEC review process is accomplished prior to the release of information concerning START and START II Treaty implementation activities.
- (2) Coordinate with local supporting intelligence agencies to assist, as necessary, in determining the possible impact of adversary knowledge resulting from implementing the START and START II Treaties.

## **ANNEX G**

### **EDUCATION AND TRAINING**

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#### **REPLACES ANNEX G OF PRIOR VERSION**

#### **1. Purpose**

This annex outlines Army education and training responsibilities pertinent to the requirements of the START I&C Plan.

#### **2. Applicability**

This annex applies to all Army staffs, agencies and commands that are responsible for START Treaty implementation and compliance.

#### **3. Army-level Training Strategy**

To ensure treaty compliance –

- (1) HQDA, MACOMs and declared facilities will sustain proficiency of key personnel that manage this program.
- (2) The IA, in coordination with MACOMs, will identify other Army facilities subject to on-site inspections or vulnerable to special right of access visits and offer commanders of those facilities training to familiarize facility personnel with treaty compliance requirements (see para 5 below).
- (3) The IA will offer post-notification training and assistance (via SAT/SPT) to commanders of Army facilities notified of a request for a SAV.

#### **4. Responsibilities**

a. Chief, DAMO-TR will:

- (1) Oversee START and START II education and training plans and ensure that these plans are consistent with doctrine, policy, strategies and resources for Army training.
- (2) Assist DAMO-ODO-M and the Army START and START II Implementing Agent (IA) by reviewing Annex G (Education and Training) of the START I&C Plan.

b. IA, START and START II will ensure that MACOM personnel are trained to respond to the following requirements:

- (1) Report on Former-Type Strategic Offensive Arms - This applies to facilities that possess former-types. Procedures are described on page 3 of the I&C Plan.
  - (2) Support START On-Site Inspection (OSI) Activity - This applies to START-declared facilities. Ensure that training plans are in place and the I&C Plan and START SAV OPLAN are understood.
  - (3) Support START Visits with Special Right of Access - This applies to all facilities. Ensure that the I&C Plan and is understood.
  - (4) Ensure Treaty Compliance Regarding Research, Development, Testing, Production, and Storage of START Treaty IOIs - This applies to facilities that conduct research, development, testing, production and storage. Follow established procedures as described on page 4 of the I&C Plan.
  - (5) Ensure Treaty Compliance Regarding Telemetry - This applies to facilities that conduct telemetry tests. Follow established procedures as described on page 5 of the I&C Plan.
  - (6) Ensure Treaty Compliance Regarding Concealment - This applies to all facilities. Ensure that the I&C Plan is understood.
- c. MACOM Commanders will ensure that their facilities are prepared and their personnel are trained to respond to the following requirements:
- (1) Report on Former-Type Strategic Offensive Arms - This applies to facilities that possess former-types. Procedures are described on page 3 of the I&C Plan.
  - (2) Support START On-Site Inspection (OSI) Activity - This applies to START-declared facilities. Ensure that training plans are in place and the I&C Plan and START SAV OPLAN are understood.
  - (3) Support START Visits with Special Right of Access - This applies to all facilities. Ensure that the I&C Plan is understood.
  - (4) Ensure Treaty Compliance Regarding Research, Development, Testing, Production, and Storage of START Treaty IOIs - This applies to facilities that conduct research, development, testing, production and storage. Follow established procedures as described on page 4 of the I&C Plan.
  - (5) Ensure Treaty Compliance Regarding Telemetry - This applies to

facilities that conduct telemetry tests. Follow established procedures as described on page 5 of the I&C Plan.

- (6) Ensure Treaty Compliance Regarding Concealment - This applies to all facilities. Ensure that the I&C Plan is understood.
- d. Facility Commanders will ensure that their facilities are prepared and their personnel are trained to respond to the following requirements:
  - (1) Report Former-Type Strategic Offensive Arms - This applies to facilities that possess former-types. Procedures are described on page 3 of the I&C Plan.
  - (2) Support START On-Site Inspection (OSI) Activity - This applies to START-declared facilities. Ensure that training plans are in place and the I&C Plan and START SAV OPLAN are understood.
  - (3) Support START Visits with Special Right of Access - This applies to all facilities. Ensure that the I&C Plan is understood.
  - (4) Ensure Treaty Compliance Regarding Research, Development, Testing, Production, and Storage of START Treaty IOIs - This applies to facilities that conduct research, development, testing and storage. Procedures are described on page 4 of the I&C Plan.
  - (5) Ensure Treaty Compliance Regarding Telemetry - This applies to facilities that conduct telemetry tests. Since this is covered in detail in the I&C Plan, there is no need to train for this.
  - (6) Ensure Treaty Compliance Regarding Concealment - This applies to all facilities. Ensure that there are training plans in place. Become familiar with both I&C Plan and START SAV OPLAN.

## **5. START Special Right of Access Visit Familiarization Training Program**

SMDC will provide tailored instruction in the form of the START Special Right of Access Visit (SAV) Familiarization Training Program upon request. Facility commanders are encouraged to include the START SAV Familiarization Training Program, which includes both the I&C Plan and START SAV OPLAN, as part of their overall training. The program of instruction is designed to enhance the understanding of MACOM and facility arms control officers in preparing for and participating in a START Special Right of Access Visit at an Army facility. It can also be used to prepare for on-site facility assessment and assistance planning. The instructional components are: (a) a three-hour classroom presentation on the START Treaty and Special Right of Access Visit (SAV) requirements; (b) a three-hour tabletop exercise comprised of resource templates that are tailored to the

Army Staff, MACOM or facility level; (c) an assessment and assistance plan that applies practical lessons for a given facility; and (d) a resource checklist to guide the preparation for and execution of the START Special Right of Access Visit (SAV) Familiarization Training Program.

- a. Classroom Presentation - The Classroom Presentation is a three-hour block of instruction that introduces key arms control representatives to the START Treaty, the START SAV process and the tools available to execute a SAV; clarifies the mutual requirements of MACOM / facility involvement and responsibilities; identifies U.S. escort and inspector rights and obligations; and assists MACOMs / facilities in protecting classified and proprietary information. Instructional resources include the instructor text (Annex 1), course transparencies (Annex 2), a Power Point computer-generated slide presentation with accompanying script (Enclosure 1), and a fifteen-minute OSIA Defense Treaty Inspection Readiness Program video tape (Enclosure 2).
- b. Tabletop Exercises - This portion of the program of instruction includes a three-hour exercise (Annex 3) and uses a workbook as a template. The instructor will provide training on the procedures and conduct of a Special Right of Access Visit and will facilitate discussions to improve Army response planning. An exercise, which may involve participants from the Army Staff, MACOMs and / or facility representatives, consists of three exercise scenarios. The exercise workbook is indexed, arranged chronologically and contains response sections for each participant's organizational level of interest. The tabletop exercise is designed to be used in conjunction with the *U.S. Army START Special Right of Access Visit Operations Plan (OPLAN)*, promulgated in May 2000. When the START Implementing Agent prescribes assistance for a facility, he will develop a facility-specific tabletop that uses the exercise workbook as a template. Should a facility representative deem a tailored tabletop exercise impractical, the exercise workbook can serve as a generic planning document.
- c. Assessment and Assistance Plan - The Assessment and Assistance Plan will help participants conduct an on-site assessment of their facilities and prepare them to receive a SAV. The Assessment and Assistance Plan consists of a combination of lecture (Annex 4) and practical exercises (Annex 5), and is scheduled for approximately four hours. The practical exercises will help participants apply key concepts in the program of instruction to the actual facility environment. The practical exercises consist of a facility guided tour (TAB A, Annex 5), demonstrations of security considerations, facility survey procedures, facility 'walk-through' and survey completion examples, initial building preparation, and final building check (TAB B, Annex 5) procedures. The practical exercise is followed by a building inspection and inspection conclusion procedures

(TAB C, Annex 5). The key reference used in this block of instruction is the *U.S. Army START Special Right of Access Visit Operations Plan (OPLAN)*, promulgated in May 2000.

- d. Resource Checklists - The Training Resource Checklists included in this plan will assist the lecturer(s) and participants in preparing for and executing the START SAV Familiarization Training Program.

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## **ANNEX H**

### **U.S. ARMY COMPLIANCE REVIEW PROCESS**

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#### **1. Purpose**

This annex provides a description of the Army START and START II compliance review process.

#### **2. Applicability**

This compliance and review process applies to all Army staffs, agencies, and commands which have responsibility for START and START II I&C.

#### **3. General**

The Army START and START II Treaty compliance review process consists of four components:

- Education and Training
  - Reporting
  - Internal Review
  - External Review
- a. Education and Training. The foundation of the Army START and START II Treaty compliance review process is education and training to ensure that all Army commands are aware of START and START II limitations, verifications, and notification requirements. This process includes publication of the Army START and START II I&C Plan and, as a reference for commanders, a Training and Education Annex (Annex G of this Army START and START II I&C Plan). Other aspects may include periodic START and START II newsletters, conferences, or visits by liaison personnel from DAMO-ODO-M or the Army START and START II IA. Affected MACOMs may send representatives to DTRA-sponsored START Treaty orientation courses. Appropriate personnel may observe and participate in readiness training exercises. Each MACOM and agency shall identify to DAMO-ODO-M a single point of contact or office to receive and disseminate current DoD and HQDA guidance and other information on compliance issues. POCs names will be updated to DAMO-ODO-M yearly, NLT 31 January (see subparagraph 11.e. of Annex D of this Army START and START II I&C Plan).
- b. Reporting. Appropriate Army commands and staffs are required to report/certify compliance with START when requested. In addition, proponents or agencies responsible for Army regulations and directives governing research, development, testing, and production activities related to Army missiles must incorporate text on START and START II restrictions, reviewed by DAMO-ODO-M, prior to finalization and publication of revised



- regulations or directives. Commanders and agency heads must review all existing and future planning documents, budget requests, and contracts for research, development, testing, and production programs to ensure compliance with START and START II. They must also seek, in writing, contractual guidance and interpretation concerning Treaty issues from HQDA as required.
- c. Internal Review. For in-house RDT&P of missiles and missile components, commanders and agency heads will submit to DAMO-ODO-M, for approval, their written procedures and review process for START and START II compliance. The results of any subsequent reviews also will be submitted to DAMO-ODO-M prior to local implementation. The Director, DAMO-OD, is the Army START and START II Treaty Compliance Certification Official. As the Certifying Official, the Director compiles the annual MACOM compliance reports and certifies Army Treaty compliance should it be required by DoD. The Director will also chair meetings of an internal Army START and START II Compliance Review Board which will meet as needed to consider Army compliance issues, as required. This board will be made up of representatives from the command within which the compliance issue arises, DAMO-ODO-M, the SJA, SMDC, and other technical representatives as needed.
  - d. External Review. Any issues that cannot be resolved by the internal Army process will be referred to a START CRG for final decision.

## **ANNEX I**

### **PUBLIC AFFAIRS**

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#### **1. Purpose**

This annex provides Army public affairs guidance concerning START implementation and compliance.

#### **2. Applicability**

This public affairs process applies to all Army staffs, agencies, and commands which have responsibility for START and START II I&C.

#### **3. General**

- a. The *Department of Defense Public Affairs Plan for Activities Relating to the START Treaty*, dated 3 August 1992, provides public affairs policy and responsibilities to inform the American public on activities related to the Treaty. Army Public Affairs will distribute this Plan separately.
- b. Affected Public Affairs officers will establish procedures to coordinate releases of information with the installation OPSEC officer to prevent inadvertent release of sensitive unclassified information to the inspection/SAV party. IAW Treaty provisions, no START inspection results are releasable to the public.
- c. Forward Plan questions or issues to the Army Chief of Public Affairs, Plans Division (SAPA-PD), at (703) 697-5716 or DSN 227-5716.

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## **ANNEX J**

### **LEGAL RESPONSIBILITIES**

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#### **1. Purpose**

This annex provides guidance for the resolution of legal issues and problems in implementing the START Treaty within the Army.

#### **2. Applicability**

All Army affiliated staffs, agencies, and commands that exercise responsibility for Treaty implementation and compliance.

#### **3. Background**

The Treaty may cause numerous legal problems. Some will relate directly to Treaty interpretation; some will involve the interplay of the Treaty and other international agreements; and some will involve the interplay between the Treaty and other U.S. laws. In dealing with international legal issues it is necessary to distinguish policy concerns and legal requirements. Characterizing a particular matter as one of policy may result in an unintended breach of our treaty obligations. Early involvement of OTJAG can help avoid problems.

#### **4. Implementation**

##### **a. Responsibilities**

- (1) Judge Advocates, Legal Advisors, and Army attorneys advising activities involved in Treaty implementation will be called upon to provide legal advice on treaty implementation and compliance. Each command or activity legal office should designate an individual as the primary action officer/POC for Treaty issues.
- (2) Within the Army staff, the International and Operational Law Division (DAJA-IO), Office of the Judge Advocate General (OTJAG), is the office responsible for providing legal advice on the Treaty. Refer questions involving the legal requirements of the Treaty and its implementation to that office. Other Army legal offices will coordinate Treaty actions with the International and Operational Law Division. The International and Operational Law Division will help define the issue, provide a draft opinion, coordinate the opinion with other staff agencies or legal offices, as appropriate, and, as necessary, forward the draft opinion through the Army General Counsel to the General Counsel, DoD.

(3) The Office of the Army General Counsel will provide legal advice to the Secretariat on legal issues relating to the Treaty.

(4) the Office of the General Counsel, DoD, is charged with rendering final opinions on the interpretation of the Treaty.

b. General Guidance

(1) The Treaty can result in a myriad of legal issues. The inspection process involves questions of military aid to civilian authorities and the general policy powers of the military. Additionally, the Treaty involves constitutional questions concerning access to non-DoD contractors. Treaty compliance will also involve questions of future research and development efforts.

(2) Army elements affected by the Treaty will be alert to possible issues and will involve their servicing legal advisors in the resolution of these issues.

(3) The International and Operational Law Division, OTJAG, will work closely with HQDA and DoD General Counsels to ensure prompt and accurate legal advice which is commensurate with the interests of the Army.

c. Special Guidance

(1) Field legal offices are not expected to provide final legal opinions on Treaty issues. It is crucial that the Army and DoD be consistent in the implementation of the Treaty. When confronted with a legal issue concerning the Treaty, the servicing legal office will define the particular issue and refer it to the International and Operational Law Division for resolution. This Division will inform DAMO-ODO-M of any START legal questions it receives. Defining the issue will require some familiarity with the Treaty. Some questions, particularly those relating to on-going inspections, may require an answer on the spot. Inform the International and Operational Law Division of these issues.

(2) During normal duty hours, field legal offices and Army staff elements can contact the International and Operational Law Division at (703) 695-3170. After normal duty hours contact the OTJAG Duty Officer (through the Army Operations Center) who will provide the name and telephone number of an International Law attorney.

## **ANNEX K**

### **RESOURCE MANAGEMENT**

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#### **1. Purpose**

This annex describes resource management procedures for Army arms control, and, specifically, the program and budget requirements determination process for START and START II implementation and compliance.

#### **2. Applicability**

These procedures apply to all Army staffs, agencies and commands which have START and START II implementation and compliance responsibilities.

#### **3. General**

HQ, SMDC will exercise total Planning, Programming, Budgeting and Execution System (PPBES) responsibility for all funds provided to or required by them for the performance of approved implementation activities.

HQDA, DAMO-ODO-M, in coordination with the Army Budget Office (ABO), SAFC-BUO-S, will acquire, maintain and oversee the expenditure of funds in the Operations and Maintenance, Army, (OMA) appropriation for the payment of bona-fide obligations which are directly related to START and START II missions. These funds will be recorded and accounted for in Management Decision Package (MDEP) VTSM, Strategic Arms Control Treaties.

HQDA, DAMO-ODO-M, will retain primary resource management responsibility for START and START II implementation, MDEP definition, and the presentation and defense of resource requirements to representatives of ABO and the Program Evaluation Group (PEG), DAMO-TRP.

Applying the standards and methodology outlined below, Staffs, agencies and commands will identify, program and budget for START and START II requirements within their organizations and will forward their requests to the Army START Implementing Agent (IA) for review, validation, and consolidation. The START IA will, in turn, submit his consolidated requirements to DAMO-ODO-M, as required, to meet PPBES submission schedules.

Arms control funds will not be obligated for non-arms control program use without prior coordination with DAMO-ODO-M and the approval of ABO.

#### **4. Standards and Methodology**

Army programming and budgeting procedures for arms control require the organizations requesting resources to display and justify their requests at the Standard Arms Control Task (Appendix 1) level of detail. All requests must withstand rigorous validation reviews, the primary elements of which are: the identification of explicit assumptions, the mapping of mission increments to their corresponding resource requirements, and the existence of clear audit trails between initial and subsequent resource requirements.

These standards are best met by using a zero-based methodology for both mission and resource determinations.

The zero-based methodology associates the resources required to accomplish the specified and implied missions of each Standard Arms Control Task with its associated Object Class. Object Classes, which are defined in Appendix 2, describe resources according to their nature rather than their purpose. The association between Object Classes and Standard Arms Control Tasks is recorded on a Funding Estimation Schedule (Appendix 3). A Funding Estimation Schedule is completed to account for all resource requirements for each fiscal period.

## **ANNEX K, APPENDIX 1**

### **STANDARD ARMS CONTROL TASK DEFINITIONS**

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#### **STANDARD ARMS CONTROL TASK DEFINITIONS**

**Notification Systems and Data Reporting** – Resources required to purchase or upgrade notification systems associated with arms control requirements. Does not include the mission support operations necessary to make daily reports.

**Treaty Planning, Preparation and Technical Support** – Resources required to plan and prepare for implementation and compliance activities.

**Mission Operations and Facility Support** – Resources required to support activities in the field, such as support to units subject to inspection and the headquarters activities that support them.

**Elimination Activities and Weapon System Modifications** – Resources required to relocate, modify, retire or destroy equipment when such activities are the direct result of arms control agreements and would not have been undertaken in the absence of such agreements.

**Other (Specify)** – Resources required to perform all other activities that directly support arms control implementation and compliance. Includes resources devoted to existing or future arms control treaties and agreements that do not belong in the above categories. The descriptions of these activities must be specific.



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## **ANNEX K, APPENDIX 2 RESOURCE MANAGEMENT**

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### **OBJECT CLASS DEFINITIONS**

**1. FTP CIVILIAN PAY (PART I).** Gross basic compensation or base pay (less benefits) for personal services rendered to the Government by Federal civilian employees. Includes:

- a. Full-Time Permanent Civilian Pay, Graded US Citizens;
- b. Full-Time Permanent Civilian Pay, Wage Board US Citizens;
- c. Full-Time Permanent Civilian Pay, Senior Executive Service;
- d. Full-Time Permanent Civilian Pay, General Merit;
- e. Terminal Leave Pay;
- f. Overtime Pay;
- g. Holiday Pay;
- h. Sunday Pay;
- i. Night Work Differential Pay;
- j. Hazardous Duty Pay;
- k. Overseas Differential Pay; and
- l. Other Premium Pay.

**2. NON-FTP CIVILIAN PAY (PART III).** Gross basic compensation (base pay; less benefits) for personal services rendered to the Government by Federal civilian employees who are not employed on a full-time permanent basis. Includes:

- a. Full-Time Temporary, Part-Time and Intermittent Civilian Pay, Base Pay;
- b. Terminal Leave Pay;
- c. Overtime Pay;

- d. Holiday Pay;
- e. Sunday Pay;
- f. Night Work Differential Pay;
- g. Hazardous Duty Pay;
- h. Overseas Differential Pay; and
- i. Other Premium Pay.

**3. CIVILIAN BENEFITS (PARTS II & IV).** Includes allowances paid to civilian employees as categorized above and includes:

- a. All Overseas Allowances;
- b. Uniform Allowances;
- c. Federal Employees Group Life Insurance;
- d. Civil Service Retirement System;
- e. Federal Employees Group Health Insurance;
- f. Federal Insurance Contribution Act (FICA);
- g. Federal Employees Compensation Act;
- h. Separation Allowances;
- i. Federal Employees Retirement System Basic Benefit Plan;
- j. Federal Employees Retirement System Thrift Savings Plan; and
- k. Other Benefits Not Otherwise Classified.

For estimation purposes, it is acceptable to cost benefits as a percentage of base salary.

**4. TRAVEL AND TRANSPORTATION OF PERSONS (PART V).** Transportation of Government employees or others, their per diem allowances while in an authorized travel status, and other expenses incident to travel that are paid by the Government either directly or by reimbursing the traveler. Includes:

a. Both travel away from official stations, subject to regulations governing civilian and military travel and local travel and transportation of persons in and around the official station of an employee, e.g., TDY, Installation/Station Travel.

b. Contractual obligations for services in connection with carrying persons from place-to-place, by land, air, or water and the furnishing of accommodations incident to actual travel.

c. Commercial transportation charges; rental or lease of passenger cars; charter of trains, buses, vessels, or airplanes; and expenses incident to the operation of rented or chartered conveyances.

d. Mileage allowances for use of privately owned vehicles and related charges that are specifically authorized (such as highway and ferry tolls).

e. Rental or lease of passenger motor vehicles from Government motor pools.

f. Subsistence paid to travelers of authorized per diem allowances or reimbursement of actual expenses for subsistence. Excludes obligations incurred for contractual services for board and lodging, which may be charged to Other Contractual Services.

g. Incidental travel expenses directly related to official travel, such as baggage transfer, and telephone and telegraph expenses, as authorized by travel regulations.

**5. TRANSPORTATION OF THINGS (PART VI).** Contractual obligations for the transportation of things, for the care of such things while in process of being transported, and for other services incident to the transportation of things. Excludes transportation paid by a vendor regardless of whether the cost is itemized on the bill for the commodities purchased by the Government. Includes:

a. GSA and commercial leases/rentals for cargo vehicles in support of installation/community operations and related services.

b. Freight and express. Charges by common carrier and contract carrier, including freight and express, demurrage, switching, crating, refrigerating, and other incidental expenses.

c. Mail transportation (Cargo). Postage used in parcel post and charges for express package services. Excludes other postage and charges that are properly classified under Rents, Communications, Utilities and Miscellaneous Charges (Part VII).

d. Trucking and other local transportation. Charges for hauling, handling, drayage, cartage, and other services incident to local transportation, including contractual transfers of supplies and equipment.

**6. RENTS, COMMUNICATIONS AND UTILITIES (PART VII).** Charges for possession and use of land, structures or equipment owned by others and charges for rental of equipment. Includes:

a. GSA rental payments. For rental of space and rent-related services assessed by the GSA as rent, formerly known as Standard Level User Charges (SLUC).

b. GSA rents/leases for civilian aircraft.

c. GSA rents/leases for computer and peripheral hardware; non-computer office automation equipment; and software for computers and peripherals.

d. Non-GSA rents and leases for space, land, structures, and equipment. Obligations for possession and use of space, land, and structures leased from a non-federal source.

e. Non-GSA rents/leases for civilian aircraft.

f. Non-GSA rents/leases for computer and peripheral hardware; non-computer office automation equipment; and software for computers and peripherals.

g. ADP and communication services and rentals. Obligations for the transmission of messages or data, such as land telegraph service, marine cable service, radio and wireless telegraph service, electronic data transmission service, and telephone and telegraph service; postage (excluding parcel post and express mail service for freight, which is chargeable in PART VI); contractual mail (including express mail for letters) or messenger service; rental of post office boxes, postage meter machines, mailing machines and telegraph equipment.

h. Obligations for utilities: heat, light, power, water, sewage disposal, gas, electricity and other utility services.

i. Payments to the Defense Communications Agency for purchased communications.

**7. PRINTING AND REPRODUCTION (PART VIII).** For contractual printing and reproduction (including photo composition, photography, blueprinting, photostatting, and microfilming), and the related composition and binding operations performed by the Government Printing Office, and other agencies or units of the same agency (on a reimbursable basis), and commercial printers or photographers. Also includes:

a. All common processes of duplicating obtained on a contractual or reimbursable basis.

b. Standard forms when specially printed or assembled-to-order, and printed envelopes and letterheads.

**8. OTHER CONTRACTUAL SERVICES (PART IX).** For contractual services not otherwise classified. Includes:

- a. Repairs and alterations - repairs and alterations to buildings, vessels, equipment and like items, when done by contract.
- b. Storage and maintenance of vehicles - for contractual services for the storage and care of vehicles.
- c. Subsistence and support of persons - for contractual services for board, lodging and care of persons (except travel expenses, included in PART V). Contract lodging for TDY personnel ONLY IF for a firm and fixed price; for a fixed number of rooms and a fixed number of days, regardless of actual usage. Does not include contract travel, which is recorded under PART V.
- d. Typing and stenographic services - for contractual stenographic reporting and typing.
- e. Publication of notices, advertising, and radio and television time.
- f. Tuition.
- g. Licenses, fees and other charges.
- h. Operation of facilities or other service contracts.
- i. Research and development contracts.
- j. Purchased equipment maintenance.
- k. Purchased ADP services - commercial.
- l. Purchased ADP services - reimbursable.
- m. Contracted research.
- n. Professional management and services.
- o. Contractor engineering technical services.

**9. SUPPLIES AND MATERIALS (PART X).** For commodities, whether acquired by formal contract or other form of purchase that are ordinarily consumed or expended within one year after they are put into use, converted in the process of construction or manufacture, or used to form a minor part of equipment or fixed property. This list includes:

- a. Office supplies.
- b. Publications. For purchases for or subscriptions to pamphlets, documents, books, newspapers, periodicals, records, cassettes, or other publications whether printed, microfilmed, photocopied, or otherwise recorded for visual or auditory use that are off-the-shelf rather than specially ordered by or at the request of the agency. Excludes publications acquired for permanent collections, which are classified under Equipment (PART XI).
- c. ADP supplies and materials. Includes off-the-shelf software and licenses of \$25,000 or less.
- d. Chemicals, surgical and medical supplies.
- e. Fuels for cooking, heating, generating power, making artificial gas, and operating motor vehicles, trains, aircraft, and vessels.
- f. Cleaning supplies.
- g. Ammunition and explosives.
- h. Materials and parts. For commodities (including building materials) used in the construction, repair, or production of supplies, equipment, machinery, buildings, and other structures.
- i. Assemblies, spares and repair parts.
- j. Items issued from working capital inventories to the point of ultimate transfer or most likely end use, even though not yet consumed in use.

**10. PURCHASE OF EQUIPMENT (PART XI).** Includes acquisition of capital assets. For the purchase of personal property of a durable nature; that is, property that normally may be expected to have a period of service of a year or more after being put into use without material impairment of its physical condition. **Excludes** off-the-shelf software valued at \$25,000 or less, supplies, and materials classified under PART X and fixed equipment that is classified elsewhere (i.e., fixtures and equipment that become permanently attached to or a part of buildings or structures - plumbing, fire alarm systems, HVAC systems, that are either an addition or a replacement, when acquired under contract). **Includes:**

- a. Transportation equipment. For vehicles, including passenger-carrying autos, trucks, etc.
- b. Furniture and fixtures. For movable furniture fittings, fixtures, and household equipment. Includes desks, tables, chairs, calculators, typewriters, etc.

- c. Publications for permanent collections.
- d. Tools and implements.
- e. Machinery. For engines, generators, transformers, pumps, manufacturing machinery, and other production/construction equipment.
- f. Instruments and Apparatus. For surgical instruments, X-ray apparatus, signaling equipment, telephone and telegraph equipment, electronic equipment, scientific instruments and appliances, measuring and weighing instruments and accessories, photographic equipment, picture projection equipment and accessories, and mechanical drafting devices.
- g. ADP and telecommunications equipment. For hardware, such as CPUs, peripheral devices, input/output devices, modems, and personal computers. Includes office automation equipment, such as micrographics, and word processors. Also includes purchases of off-the-shelf and custom software of over \$25,000. Excludes office copiers as part of ADP equipment.
- h. Services in connection with the initial installation of equipment when performed under contract.



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## **ANNEX K, APPENDIX 3 RESOURCE MANAGEMENT**

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### **FUNDING ESTIMATION SCHEDULE**

#### **1. Purpose**

The Funding Estimation Schedule records the resource requirements for each Standard Arms Control Task according to the type of resources required (Object Class) for its accomplishment. One schedule should be completed for each fiscal period (e.g., fiscal year).

#### **2. Completion Instructions**

Enter dollar values at the appropriate matrix intersections that associate a given Standard Arms Control Task with its corresponding Object Class. Write a narrative justification that explains what is being purchased and how that purchase relates to the specific task of the overall treaty mission.

Total the Object Class dollar requirements and enter the sum in the far right column under “Task Total.” Similarly, add the Standard Arms Control Task dollar requirements under each Object Class and enter the sum in the appropriate cell in the “Object Class Total” row at the bottom of the matrix. The totals for all Object Classes must match the totals for all Standard Arms Control Tasks on the schedule.

Record personnel end-strength and work-year requirements for each task in the “Task Description” narrative. Ensure that the corresponding Object Class dollar amounts for FTP Civilian Pay, FTP Civilian Benefits, Non-FTP Civilian Pay, and Non-FTP Civilian Benefits fully support the end-strength and work-year requirements.

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## **ANNEX L**

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